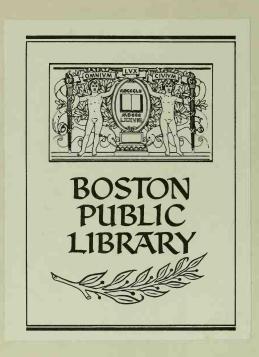
# SERIALS ACCESSIONING MANUAL

Prepared by Jean Ott Edited & Illustrated by Bridgetta Jenkins



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Prepared by Jean Ott

Edited and Illustrated by Bridgetta Jenkins

Serial Record Division Library of Congress

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This manual serves as a comprehensive guide for Library of Congress staff responsible for accessioning serial receipts into the Library's principal serial record file. It addresses the procedures peculiar to a single library and is not intended as a general guide.

Serial check-in at the Library of Congress is an awesome task, complicated by aged manual files, multiple sources of receipt, materials in many languages, and a myriad of procedures. This manual explains the why's and how's of accessioning for new employees as well as those with years of experience.

The majority of LC's serials holdings are maintained in the serial record, a visible and 3X5 card file, housed in the Serial Record Division. Of the approximately 850,000 entries, about 130,000 are for currently received titles. Entries are mainly in catalog form and at least four sets of cataloging rules have been applied to create these entries over the years.

Approximately 6,000 serials are received daily by the Processing and Reference Section; these include titles in the Roman, Cyrillic, Hebraic, and Greek alphabets. After initial sorting by letter the staff of the Accessioning Unit processes those pieces for which an entry is located in the file. The establishment of new serial record entries, the recording of bound holdings, and other editorial updating is performed by other units and is not explained in this manual.

The manual consists of three parts. The first part defines a serial, LC's treatment of serials, and the various parts of the serial record. It also explains the types of entry used and defines various search strategies. The four sources of receipt are explained along with the stamps used for each. Finally, the basic procedures for accessioning are outlined, according to the type of serial in hand.

Specific procedures for handling difficult and problem materials are given in Part II. A special section defines specific types of materials and the procedures for accessioning them. Included are cumulative indexes, series, and rare books.

Part III includes a glossary of terms commonly used, various appendices, and an index. Numerous examples have been added throughout the manual to clarify the text.

This manual was prepared and written by Jean Ott, Head, CONSER Minimal Level Cataloging Section. Illustrations and editing were done by Bridgetta Jenkins, Assistant Section Head, Processing and Reference Section.

#### **ACKNOWLEDGEMENT**

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Special recognition goes to David Marshall, Unit Head, Accessioning Unit and to P&R supervisors and staff who took time out from their normal work schedules to share their work experiences and to critique drafts of this reference tool for accuracy, currency, consistency, and readability.

To the clerical support staff of the Serial Record Division a special "thank-you" is due for their untiring efforts in producing this valuable document.

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G E N E R R O C E D U R E S

#### I.1 INTRODUCTION

The Serials Accessioning Manual explains the procedures followed for recording serials received by LC's Serial Record Division (SRD). All of the guidelines outlined in this Manual are performed by SRD's Processing and Reference Section which is currently comprised of five units (four of which perform some type of accessioning). This Manual attempts to provide a comprehensive guide to the accessioning function performed by the Section with special emphasis on the activities of the Accessioning Unit.

The Processing and Reference Section is one of seven sections in the Serial Record Division whose major function is the maintenance of the serial record file. The other sections are primarily concerned with bibliographic control of serials through cataloging and input of titles for the CONSER Project, ISSN and key title assignments, and preparation of New Serial Titles. (See organization chart, p. 4.)

The five units within the Processing and Reference Section and their primary duties are as follows:

<u>Sorting Unit</u> - Screens, sorts, counts, and distributes incoming serials and identifies possible non-serials. This is the first point of contact for a serial received in the Division.

<u>Accessioning Unit</u> - Enters into the serial record information pertaining to the receipt of serials; prepares issues for the bindery, and assigns proper routings and assignments according to instructions on the serial record entry.

<u>Editorial</u> and <u>Reference Unit</u> - <u>Enters</u> into the serial record information on binding, microform holdings, and other special categories, and edits and updates the file.

Search and Visible File Cataloging Unit - Searches and identifies new titles which are forwarded to the Selection Officer, and prepares entries for titles not given MARC cataloging.

<u>Maintenance Unit</u> - Prepares serial record entry cards for titles given MARC cataloging; files all new serial record entry cards; performs general maintenance activities for the serial record.

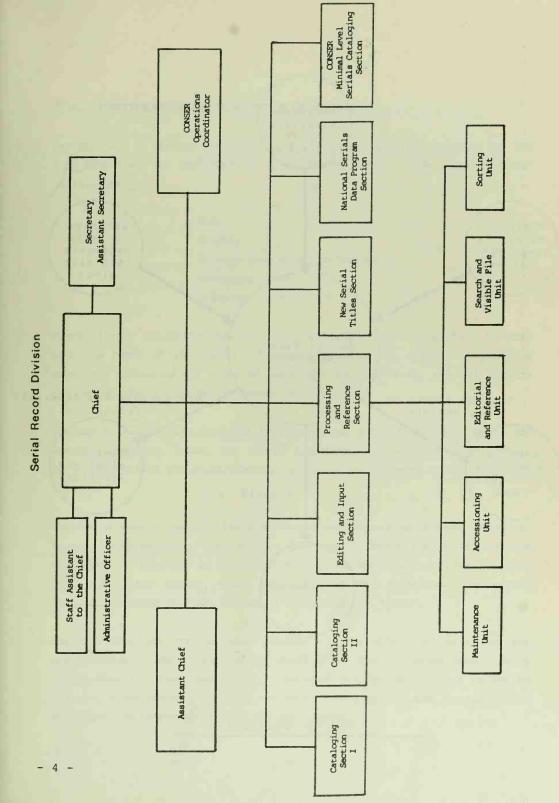
Materials enter the Division through purchases, copyright deposits or registrations, and, exchanges and gifts from other libraries and individuals (See diagram, p. 5.) These receipts may be continuations of existing titles or titles new to the Library. All new titles are screened by a Selection Officer assigned specifically to serials who determines whether the title should be retained, number of copies needed, and the desired level of cataloging to be performed.

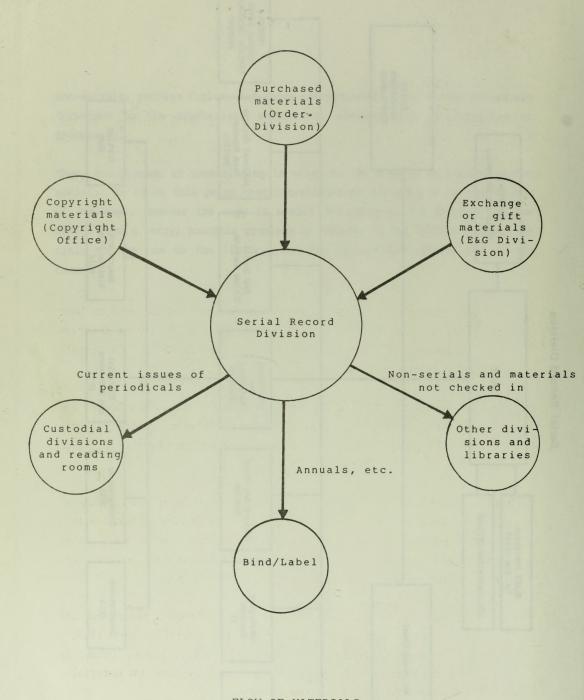
Serials are divided into two basic categories: non-keep and keep titles. Non-keep titles are those which the Selection Officer decides not to retain for the permanent collections. These are processed entirely within the Processing and Reference Section. Keep titles are those for which one or more copies are retained. These receive some form of MARC cataloging and must travel through other sections in SRD before returning to the Processing and Reference Section for preparation of the serial record entry and accessioning.

Once serials have been processed by the Division, they are forwarded to custodial areas or the general collections, according to the instructions on the serial record entry. Current issues of periodicals are housed in reading rooms and custodial divisions until bound or discarded. Serials complete in one volume, such as yearbooks, are sent first to the Bindery for binding and/or labeling and then forwarded to the appropriate reference or stack location.

Non-serials, problem titles, and those not recorded in the serial record are forwarded to the appropriate section or division for investigation or processing.

Thus, the process of accessioning is vital to the overall processing of the serial. It is at this point that determinations are made as to the source of the copy; whether the copy is needed according to the holdings on the serial record entry; possible problems or changes in the serial; whether the title may be new to the library; and the appropriate destination of the issue.





#### I.la. IDENTIFICATION AND DISPOSITION OF SERIALS

Serials are a diverse category of publications grouped together by the fact that they are published on a continuing basis. Some commonly known serials are:

Time
Playboy
Guiness Book of World Records
Washington Post
Telephone directories

Every library determines how to treat different types of serials to best meet the needs of its users. The collections of the Library of Congress span many languages and types of materials and therefore, not all serials can be recorded in the Serial Record Division.

The serial record file includes serials in the Roman (including Gaelic and Gothic), Cyrillic, Greek, and Hebrew alphabets. It also includes certain bilingual serials (oriental/Western languages) as assigned by the Selection Officer.

The serial record does not include serials exclusively in Chinese, Japanese, Korean, South Asian and Near Eastern languages. Categories of publications which are not recorded in the serial record include newspapers, comic books, most monographic series, trade catalogs, and most telephone directories. These are handled by other divisions throughout the library.

Certain materials are excluded because of the difficult nature of the publications. These are better handled by the divisions specifically responsible for these materials. Included are musical scores issued as serials, which are maintained by the Music Division, and some law materials, which are the responsibility of the Law Library.

#### 1. Definition of a serial

A serial is defined as:

A publication in any medium issued in successive parts bearing numerical or chronological designations and intended to be continued indefinitely. Serials include periodicals, newspapers, annuals (reports, yearbooks, etc.), the journals, memoirs, proceedings, transactions, etc. of societies, and numbered monographic series.

Thus, to be considered a serial a publication must:

- a. Bear some form of designation which will distinguish the various issues from one another. This designation may consist of numbers, volume numbers, dates, letters of the alphabet, etc.
- b. Be an on-going publication, intended to be issued indefinitely. Obviously, most serials will not continue forever; however, it is the original intent of the publisher that is important.

For example, an encyclopedia that is issued in many volumes over a span of years is <u>not</u> a serial because the publisher has planned to issue a finite number of volumes;

but a monthly journal that ceases after only one or two issues have been published  $\underline{is}$  a serial because the original intent was to publish an issue every month.

In addition to the above the serial must also bear a **title common to** all issues. If a publication is issued on a regular basis but each issue bears only a distinctive title, there is no common title by which all issues can be grouped; therefore, the publication is not a serial.

Serial titles may be found in various locations and sometimes variant forms of the same title appear on the piece. Following are brief definitions of the various types of title that you may encounter.

Title page title. The title page is usually found at the beginning of the serial, and bears the title of the serial. Often it will also include the statement of responsibility and publishing information. When a serial bears a title page, the title that is found there is considered to be the official title of the serial. Title pages are most commonly found on annual serials, such as directories, yearbooks, or annual reports. Most periodicals do not have a title page. (See Example 1.)

**Cover title.** Most serials bear some form of cover and the title is frequently found on the cover. In cases where there is no title page, as with many periodicals, this may be the primary place where the title appears. In other cases, the title which appears on the cover may vary from the title found on the title page. In such cases a note may appear on the serial record entry card reading: Cover title: [variant form of title]

**Caption title.** A caption title is one which appears above the beginning of the text. Some serials lack a cover or title page and the text begins on the first page. This is commonly found on government documents.

Spine title. A title appearing on the spine of the serial.

Masthead title. A title appearing above the table of contents or editorial page.

## FOREIGN AFFAIRS William P. Bundy/David Watt The Conduct of American Foreign Policy-Walter Laqueur U Arms Control-Chr Larry L. Fabian Th FOREIGN AFFAIRS Central Americ Riordan Roctt De **AMERICA** Chalmers Johns Jennifer Se William P. Bundy, Editor PERGAMON PRESS New York • Oxford • Toronto • Sydney • Frankfurt • Paris Cover title Title page title

EXAMPLE 1: COVER TITLE AND TITLE PAGE TITLE - BIND LABEL SERIAL

#### 2. Recognizable features of a serial

Number and/or date designations. Since all serials must have a distinguishing number and/or date, this is usually the most obvious indication that a publication is a serial. (See Example 2.)

These designations are most commonly found:

On the title page or cover,
As part of, or close to, the title,
On the editorial or table of contents page, or on the spine.

**Words in the title.** Words in the title which indicate the type of publication such as:

Journal Newsletter Yearbook Revista Bulletin

or frequency of publication:

Annuaire de ...

Monthly report of ...

Quarterly digest of ...

indicate that the publication is of an on-going nature and is probably a serial. (See Example 3.)

ISSN. The ISSN, or International Standard Serial Number, appears on many publications and generally indicates that the publication is a serial. The ISSN may appear on the cover or title page or on the editorial page. (See Example 4.)

The presence of an ISSN does not always mean that the publication is a serial. The ISSN may have been assigned to the series of which the

publication is a part and not the title of the publication itself. If an ISSN and an ISBN (International Standard Book Number) both appear on a piece the publication is likely to be a monograph in a series. However, the presence of an ISBN does not, alone, give sufficient reason to reject the publication as a serial. NATIONAL LIBRARY of MEDICINE

# INDEX MEDICUS

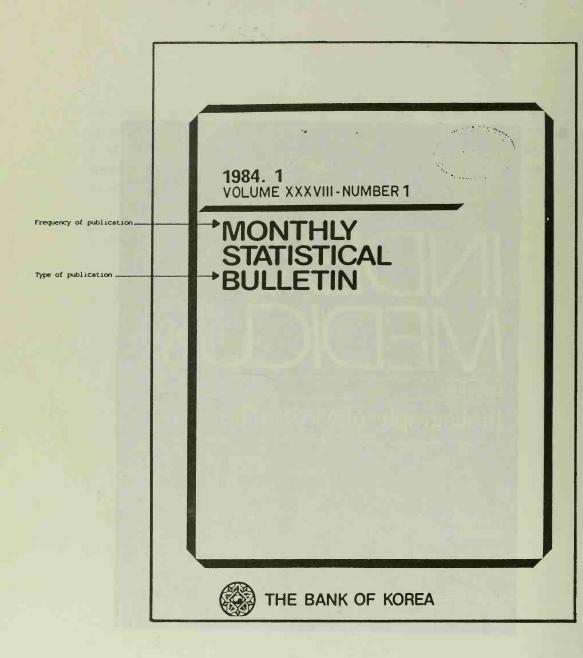
including

Bibliography of Medical Reviews

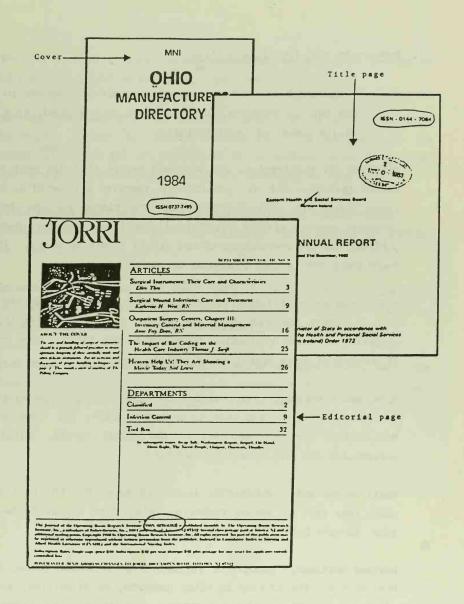
Volume 25, Number 9 September 1984

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Public Health Service National Institutes of Health

EXAMPLE 2 : NUMBER AND DATE DESIGNATIONS



EXAMPLE 3: TITLE WITH WORDS INDICATING TYPE AND FREQUENCY



#### 3. Monographs that look like serials

A monograph is defined as: an item either complete in one part or complete, or intended to be completed, in a finite number of separate parts.

Although all publications are screened before they are sent to Serial Record Division, not all publications received are serials. Publications which are obvious monographs are placed on the appropriate monograph shelves without searching in the file. For more questionable publications the accessioner should try to locate an entry. If none is found the piece is then placed on the monograph shelves.

Monographs are most likely to be received by the Serial Record Division when they bear features which are similar to those on serials, such as numbering or words indicating frequency in the title. Some categories of monographs which appear to be serials are:

Monographic series. Publications that fall into this category bear an individual title in addition to a common title, and often numbering. Most series are not recorded in the serial record. (For further information see Part II and Example 5.)

Multi-volume set. Monographs issued in more than one part or volume which bear part or volume numbering making them appear to be serials. (See Example 6.)

Revised editions. Monographs revised on an irregular basis, or as the need arises. The edition is often numbered, as 3d edition, 4th revised edition, etc. These are not serials because there is no intent to issue the publication indefinitely and because a serial usually has different material in each issue. These items contain, basically, the same material with additions, changes, and deletions. However, publications

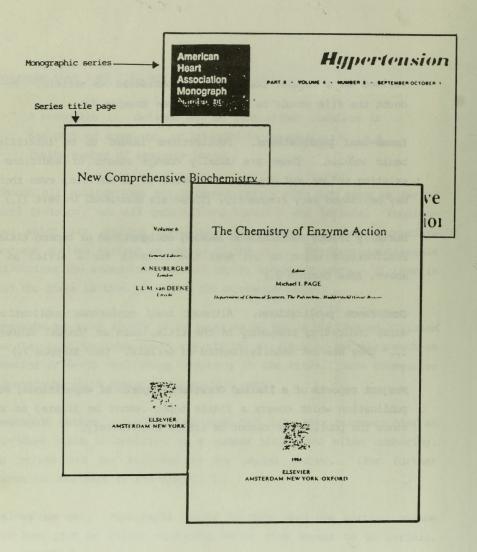
revised on a regular basis may be considered as serials. In case of doubt the file should be searched. (See Example 7.)

Loose-leaf publications. Publications issued to be interfiled in a basic volume. These are usually change sheets or additions to the existing volume and are not considered to be serials, even though they may be issued very frequently. (These are discussed in Part II.)

Serially issued publications lacking designations or common titles. Publications which do not meet the criteria for a serial as defined above. (See Example 8.)

**Conference publications.** Although many conference publications bear words indicating frequency in the title, such as "Annual conference on ..." they are not usually treated as serials. (See Example 7.)

Project reports of a limited duration, reports of expeditions, etc. Any publication which covers a finite topic cannot be treated as a serial since the publication cannot be issued indefinitely.



EXAMPLE 5: MONOGRAPHIC SERIES

Volume Two
THE SUPREME COURT:
TRENDS AND DEVELOPMENTS

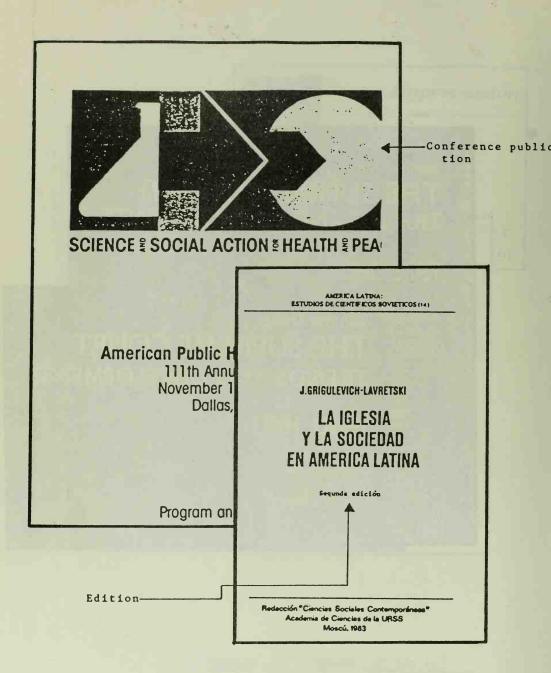
1979-1980

Jesse Yale K Laurer Volume Three
THE SUPREME COURT:
TRENDS AND DEVELOPMENTS

1980-1981

Jesse Choper Yale Kamisar Laurence Tribe

EXAMPLE 6: MULTIVOLUME SET





#### COMMON DISEASES OF BARLEY IN ALBERTA

Barrey crops are attacked by a number of diseases that are frequently responsible for significant loss of yield Different diseases can attack the crop from the seeding stage through to crop maturity. The major diseases of barley are parastic in nature and are caused primare, by lung: and occasionally by bacteria and viruses. Other diseases are nonperastic and are caused by environmental factors such as nutrient deficiencies or adverse weather. This factsheet is intended to aid producers in the recognition and management of some common pathopenic diseases of barley

#### COMMON ROOT ROT AND SEEDLING BLIGHT

Common root rot is the most important disease of barley in Alberta. Average yield losses are approximately 10% annually and may exceed 40% in severely diseased. crops. Yield loss is caused mainly by a decrease in the number of heads per plant and is greatest in dry years when the plants are stressed for moisture. Although common root rot occurs everywhere barley is grown in Alberta if often goes unnoticed by the producer because the dis-Incline symptoms develop below ground,

Cause: Several lung: primarily Cochiobolus samus and Fusanum sop

Symptoms: Small brown lesions develop on the roots, lower test sheath or subcrown intermode Lesions may elongate and grow together causing the entire subcrown to constrict and turn brown or nearly black

infected plants may be sturted and tack vigor and show brown discolaration of the crown, stem bases, and teef sheaths. When infection occurs at the seeding stage, the young plants may be surried or fulled and the disease it often carted seeding blight.

Disease Cycle: The common root rot fund attack barley. wheel, and other arreal grains and are lound in soil in all coreal-growing areas of the province. Resting structures of the turg; can survive for years in the soil and lend to remain mactive until a host is available. Spores germinate in the soil and trittete primary infections on the coleoptie. roots and subcrown inserneds. The root and crown area

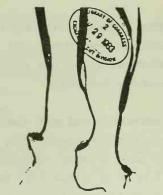


Figure 1. Common root ret en beriey.

of infected plants become discolored as the disease pro-

The lungs produce abundant spores on intected bases as the plants reach maturity. Common root roll fungi are appressive pathogens in plants under stress Drought and warm temperatures are the most important predisposing factors although plants under nutritional stress are also subject to attack.

Cultural Control: Shalow seeding (5 cm), when soil moreture conditions are tevorable reduces the MA of infection. Crop rotation with noncereal crops MA MAX. rape, legumes, and grasses will reduce the number of

EXAMPLE 8: SERIALLY ISSUED PUBLICATION LACKING A COMMON TITLE

#### 4. Types of serials

Serials are handled in different ways according to their frequency, binding status, or the nature of the serial itself. (Serials of a special nature are treated separately in Part II). Below is a description of the three basic categories within which a serial might fall according to its frequency or binding status.

NOTE: These are general categories; there are exceptions which the accessioner will encounter. Specific instructions for individual titles are indicated on the entry card and should be carefully followed.

**Unbound serials issued more than once a year.** This category includes magazines, journals, newsletters, etc. Current issues are routed to the Serial Division or other custodial division for service to readers. If the title is to be retained for the permanent collection, the issues are bound and labeled once the volume is complete.

Examples of serials in this category:

People
Atlantic
LCPA broadside
Quarterly journal of the Library of Congress
American music
Irish University review

Unbound serials issued once a year or less frequently. This category includes most annual reports, and serials issued every other year (biennial) or every five years (quinquennial). Serials in this category need not await the arrival of additional issues; they are sent directly to Bind/Label and then to the appropriate shelving location. (Excepted

are state document annual reports which are sent to Serial Record Division, not Bind/Label).

**Bound serials.** Serials issued in publisher's binding, regardless of frequency, fall into this category. They are sent to be labeled and then routed to their appropriate shelving assignments.

Examples of serials in categories 2 and 3:

American library directory United States government manual Contemporary authors Minerals yearbook

NOTE: The term "periodical" is used in this manual to refer to serials in category 1. The term "Bind/Label" is used for serials in categories 2 and 3.

#### 5. Retention

When a serial is received for the first time, i.e., no entry is located in the file, the Selection Officer examines it to determine whether it should be retained for the permanent collection. This selection decision is then recorded on the serial record entry for future processing of issues as received.

Selection decisions are made which reflect the serial title as a whole as well as individual copies of one issue. In general, selection decisions which apply to the entire serial are found on the entry card, while those which relate to individual copies are found on the overriders. (See Example 9.)

#### a. Selection decisions which relate to the whole serial:

Discard. Titles marked discard are not retained for the Library's collections but are forwarded to the Exchange and Gift Division for possible exchange with another library. A serial record entry is maintained in the file for purposes of information and to assume that further issues will be treated in the same manner.

Sample discard. A sample file is maintained by the Serial Division consisting of the first issue received for some discarded titles. The citation for that issue is indicated on the entry card. The following stamp is used to indicate sample file discards:

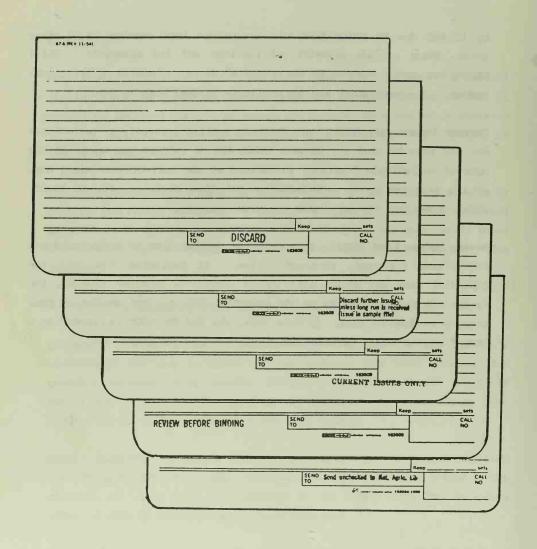
"Discard further issues unless long run is received (Issue in sample file)"

Send unchecked to (various locations). Some serials, rather than being discarded, are forwarded to other libraries, such as the National Agricultural Library or D.C. Public Library. Other serials are retained

by LC but due to their difficult nature are best checked-in in other units. These include oriental publications and law materials. Still others are sent unchecked to the custodial division because of irrational numbering patterns which are too difficult to deal with in SRD.

Current issues only (CIO). This decision applies primarily to periodicals but may also be used for annuals which are of current use only. In the case of periodicals "current" is defined as the current year minus one, or the last two years. Periodicals with this decision are not bound; older issues are discarded when no longer current.

Review before bind (RBB). This decision applies also to periodicals but it is made for newly received titles. It indicates that once the custodial area has accumulated enough issues to consider binding, the issues are to be forwarded to the Selection Officer, who decides whether to keep or discard them. At this time, the RBB decision is changed to a "keep" or "non-keep" decision and is recorded on the entry card.



EXAMPLE 9: STAMPS - RETENTION DECISION

## b. Treatment of individual copies

**Keep sets.** A copy which is to be retained for the permanent collection is referred to as a set. The number of sets needed is found on the entry card in the box labeled: "Keep ... sets."

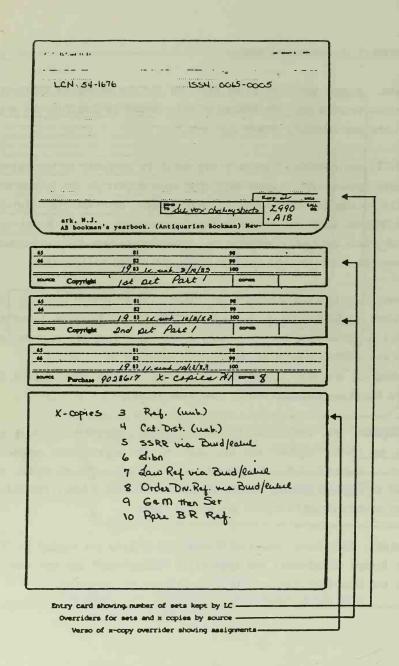
A serial is not considered to be a set until it is ready to be shelved. This means that serials issued more than once a year do not become sets until the volume is complete and bound. Thus, the custodial division will determine which copies actually become part of the bound set. For Bind/Label serials, however, the accessioner determines the set as volumes are received and this is indicated in the call number.

**X-copies.** When additional copies are needed for reference purposes they are called X-copies. This designation applies to Bind/Label serials only. All X-copies have specific assignments where they are kept as long as they are needed, then discarded.

The number of X-copies needed and individual routing instructions for them are found on the overrider. (See Example 10.)

Extra copies. All additional copies of current periodical issues are treated as "Extra copies" and are sent to the appropriate custodial division. A check ( $\checkmark$ ) is placed in the stamp. These copies are retained to replace missing issues needed for binding. After the volume has been bound all extra copies are marked "DUPL".

**Duplicates.** Additional copies of Bind/Label serials not needed to fill sets or X-copy assignments are treated as "Duplicates" and are sent to E&G via the Disc/DUPL shelf. "DUPL" is written in the stamp.



EXAMPLE 10: SETS AND X COPIES

# 6. Custody of serials

Serials are housed where they can best be serviced. Most serials of a general nature are housed first in the Serial Division; however, when these are bound they become part of the general collections. For specialized materials, reading rooms or other divisions can provide better servicing. When materials are particularly difficult, such as oriental publications, the division, (Asian), retains and services all issues, bound and unbound.

The division responsible for servicing a serial at a particular time is known as the "custodial division". Thus, Serial Division is the "custodian" for current issues assigned there; Asian Division is the "custodian" for current and bound issues of titles assigned to it. Some materials are assigned by language (European Reading Room, etc.) or LC classification (K=Law Library, and M=Music Division).

In many cases more than one division may require a copy of a particular title, or it may be needed for in-house use. In this case multiple copies are purchased specifically for particular locations.

Instructions for each copy are given on the verso of the appropriate source overrider. The symbols used are taken from the list "Approved Office Symbols" which is updated each year.

Although appropriate destinations for serials should be accurately indicated on each entry the accessioner should be alert for incorrect or out-dated destinations and/or symbols.

All serials must be sent to one or more locations after processing in the Serial Record Division. Some locations are temporary while others are of a more permanent nature. Two terms are used with which the accessioner must be familiar.

Routings are temporary locations to which serials are sent immediately after processing in SRD. For periodicals, the routing will be the reading room or division which houses the current issues, and in some cases a special office or person in the Library. One copy may be routed to two or three locations. To determine the appropriate routing first check the "SEND TO" box on the serial record entry card. If multiple routings are given for specific copies this information will be listed on the verso of the overrider and the note "See verso of checking sheet" will appear in the SEND TO box. If all copies are to be sent to the custodial division the appropriate symbol for the division will appear in the box. (See Examples 11 and 12.)

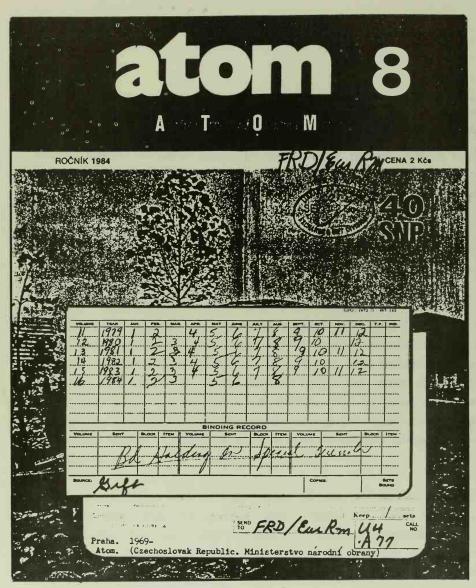
For Bind/Label serials the routing is always "Bind/Label" since all of these serials must first be bound and/or labeled before they can be shelved. This will be given in the SEND TO box, unless there are specific assignments, in which case the note "See verso of checking sheet" will appear in the box. The routing will be given after each assignment. The routing is always written on the cover in the upper right hand corner. (Bound serials are an exception, since they are automatically sent to be labeled.)

Assignment is a term used with Bind/Label serials to indicate a specific location, other than the general stacks which will house the serial after it has been bound and/or labeled. Examples of assignments are Main Reading Room Alcove (MRR Alc) and Social Science Reading Room (SSRR). All X-copies have assignments since they are, by definition, required for specific locations.

Assignments for each copy are always given on the verso of the overrider. (See Example 13.) Usually the copy notation will list the assignment and the routing such as:

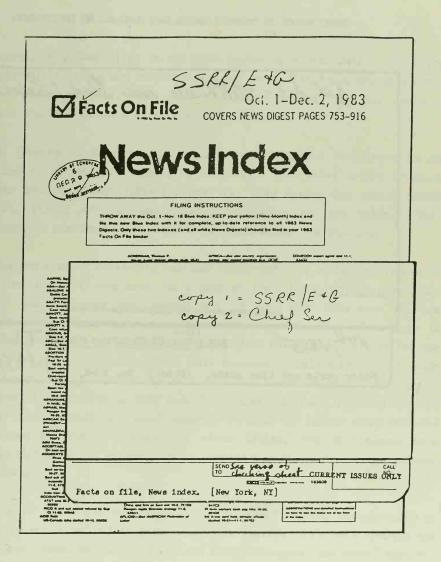
Copy 1 MRR Alc via Bind/Label

Assignments are also included as the last part of the call number. In addition they are written on a special attention slip which is inserted in the serial.



1. Routings in "Send to" box of entry card.

EXAMPLE 11: PERIODICAL WITH MULTIPLE ROUTINGS



1st Set-MRR ale via Bird/Sabel

LW 4/68f (rev 10/62)

NUMBERED SERIES OR ANNUAL-LONG FORM 2

474,1975

SEND SEE VERSO OF CHECKING SHEET

TL 152

Motor parts and time guide. (Motor) New York.

.M82

EXAMPLE 13: OVERRIDER WITH ASSIGNMENT ON VERSO

#### I.1B. CATALOGING OF SERIALS AND ESTABLISHMENT OF ENTRY CARDS

Cataloging, very basically, is the description of a publication as to its bibliographic features and subject content. It also includes the establishment of filing entries, call numbers (classification), and for serials, defining the relationships with other serial titles.

At the Library of Congress all subject cataloging and classification is performed in the Subject Cataloging Division. The Serial Record Division catalogs the piece descriptively, that is, they define the bibliographic features, filing entries, and relationship notes for the title. This cataloging is performed by cataloging sections within the Division.

Once the descriptive cataloging has been completed, the cataloging record is input into the OCLC data base, as a part of the CONSER Project (see Glossary). These records then become part of LC's MARC data base and are referred to as MARC (Machine-readable cataloging) records. Once this is completed the record is forwarded to the Subject Cataloging Division for the assignment of subject headings and call numbers. (Call numbers are later added to the entry card by the Editorial and Reference Unit.) At present this form of cataloging is only done for titles which are retained for the Library's collections, or "keep" materials. Once the filing entry has been established by the catalogers for these titles, the Maintenance Unit prepares Serial Record entry cards and establishes the overrider and pattern of check-in.

Titles which are not to be retained, or "non-keep" titles, are processed by the Search and Visible File Cataloging Unit (S&VF). This unit creates the filing entry based on current rules and interpretations, and establishes the entry card and overrider.

All titles which currently receive LC MARC cataloging are represented by green entry cards. Those which are cataloged by S&VF are typed on

yellow entry cards. Yellow entry cards color-coded with a green slash also indicate a MARC record. Prior to the advent of MARC cataloging, manilla card stock, was used for all "keep" and "non-keep" entries. The majority of the entries in the file are on these cards.

The distinction between different types of cataloging records and responsibility for updating that cataloging is important to accessioners. For example when a title change is suspected for a "keep" entry, the cataloging sections determine whether it has actually changed. If there is a "non-keep" decision, the S&VF Unit makes this determination. Thus, the accessioner must know where to route the problem serial.

#### I.1C. FILES USED FOR SERIAL CHECK-IN

The serial record consists of three separate files: a visible file of flat trays, a 3x5 card file, and a book file called the "sheet shelflist." This last file is rarely used and is not discussed here.

The serial record was established in 1941 to consolidate the serial holdings throughout the Library. Prior to this date records of holdings existed in 32 separate files in 14 different locations!

The scope of materials included in the file as well as the cataloging rules used to determine the entry have changed over the years. Entries were created, therefore, according to a variety of procedures and cataloging codes.

There are an estimated 850,000 titles in the Serial Record. About 130,000 of these are "active" titles, meaning that an issue has been received within the past five years. The remaining titles are considered "inactive" since there is no assurance that the serial is "dead."

Visible file. The visible file is a file of 4x6 inch hard cards and checking sheets (called overriders) in flat tray files. Of the three files, it is the most recently established. Examination of the visible file reveals multiple colored-cards as well as cards marked with colored dots, lines, etc. Some of these colored-cards and markings relate to existing cataloging (e.g., green indicates a MARC record) while others were used for various projects which have little or no current significance.

Two basic forms are used in the visible file: hard cards and overriders.

Hard cards. Hard cards are used for permanent or temporary use in the file. The filing entry is typed at bottom for searching and filing visibility. Hard cards are used for:

Serial Record entry Cross references
Authorities Purchase order slips

## Card-outs

Serial record entry cards (yellow, manilla and green). These cards
used to record the serial record entry and bibliographic information
concerning it. Cards which are printed on both sides are called
"treatment cards" and are used for monographic series (see Part II).

The significance of the yellow and green cards has already been discussed (see I.lC.).

Serial record entry cards contain three categories of information: the visible file entry, bibliographic information, and retention and routing instructions. (See Example 14.)

- a. <u>Visible file entry</u>. The entry consists of the title alone, or, in many cases the title preceded by the issuing body or jurisdiction. The entry is typed and read from the bottom with subsequent lines added above the first.
- b. <u>Bibliographic information</u>. This includes varying kinds of information which are considered useful for the proper identification of the serial title. Most commonly included are:

LC card number (LCN)
International Standard Serial Number (ISSN)
Frequency of publication
Variant title information
Notes relating to the publisher, issuing body, etc.
Linking notes for earlier or later titles
Series treatment note (on verso)
Cross references for variant forms of title (on verso)
Date cataloged (on verso)

c. Retention and routing instructions. These are recorded at the bottom of the card in the various boxes marked "Keep ... sets," "Send to," and "Call no."

<u>Keep ... sets</u> - indicates the number to be retained for the collection.

Send to - indicates routing instructions such as SER or Bind/Label. "Discard" is also stamped in this box.

Call no. - the LC call number is included here for titles with a
"keep" decision; the stamps "CIO" and "Discard further issues ..."
are found in this box for "non-keep" titles.

2. Authority cards (Orange). Prior to 1981 orange authority cards were made to reflect the established form of heading and the cross references necessary to provide variant forms of heading. New authority cards are no longer made.

The authority card bears the name of the body at the bottom and the cross references listed above. Authority cards are filed before the entries which begin with name of the body listed on the authority card. (See Example 15.)

3. Cross references (Blue). Specific and general cross references are made to provide access to variant forms of issuing bodies and titles as necessary. The variant name or title is typed first at the bottom of the blue card, followed by "SEE" or "SEE ALSO" and the correct or alternative form of entry.

When cross references were required for issuing bodies an authority card (see above) was also prepared to list these references. When cross

references are needed for a particular title the cross references are traced on the verso of the entry card for that title. (See Example 16.)

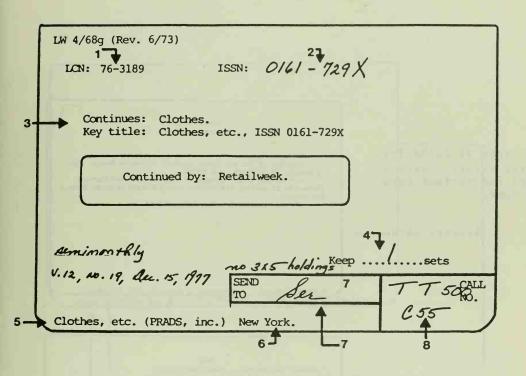
4. Purchase orders (white slip attached to blue card or existing entry).

Purchase orders are placed in the file when a serial has been placed on order by the Order Division.

Purchase orders may consist of a blue hard card and blank overrider with duplicate white order slips stapled to each, or an existing serial record file entry with a white order slip stapled to it. The order slip is stapled to the blue card to display the entry at the bottom for filing. (See Example 17.)

5. <u>Card Outs (White)</u>. Card Outs are placed in the file to replace a serial record entry card when it is removed. The entry card may be removed for cataloging purposes, editorial updating, or review by the Selection Officer.

The Card Out indicates the entry and place of publication at bottom, and includes the following information: the person who withdrew the card, the countersigner, the reason for withdrawal, the date of withdrawal, call number for the serial, or the treatment decision. (Specific instructions for preparing a Card Out are found in Part II.) (See Example 18.)



- 1. LC Card number.
- 2. International Standard Serial Number.
- 3. Notes area.
- 4. Number of sets retained by LC.
- 5. Serial Record entry.
- 6. Place of publication.
- 7. Routing of serial.
- 8. LC Call number.

EXAMPLE 14: SERIAL RECORD ENTRY CARD

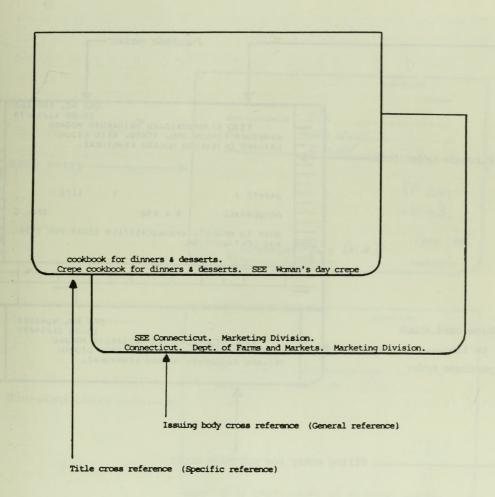
Information Card Two types of Authority Belfast, cap. Consists of the six Ulster counties of Antrim Armagh, Down, Permanagh, Londonderry, and Tyrone. Part of the United Kingdom of Great Britain and Northern Ireland or of earlier (1801-1922) United Kingdom of Great Britain cards exist: History card and Variant Form of Name. and Ireland. SEE also authority card under: IRELAND. History card-Northern Ireland. bcs 3-21-81 x Connecticut. Dept. of Farms and Markets. Marketing Division Variant Form of Namex Connecticut. Dept. of Agriculture. Marketing Division Connecticut. Marketing Division. Correct entry for issuing body

AUTHORITY CARD (orange card stock)

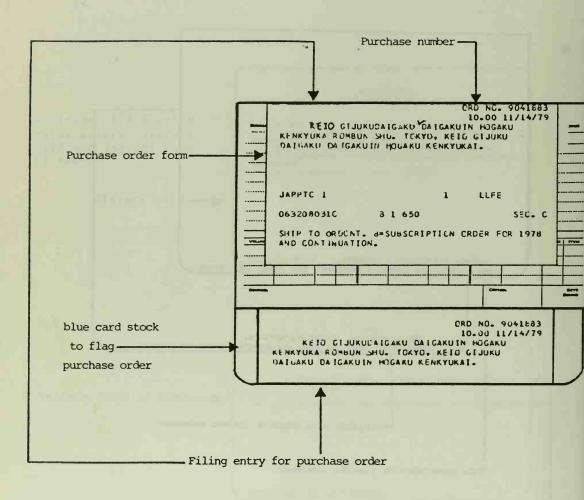
EXAMPLE 15:

SERIAL RECORD

Cross references -



EXAMPLE 16: CROSS REFERENCE CARDS



	LC et	1-47 (L/TI)
	Card withdrawn by \$ Off	
eep entry —	Date: 9-12-83	
ecep enery	AND THE REAL PROPERTY AND THE PROPERTY AND	KF 200 ·N 43
	Entry: News and views	Keep 1 set
	LC et	47 (L/TI)
	Card withdrawn by: Jenkins.  For: Decision Change.  Date: 12-14-83.	8°4 . ET-0-110-689
on-keep entry		
	ena ich estina untries for some sanstat sill come some some some some some some some s	CIO
	Entry: Nuts and bolts of forming	5 · CARD OUT

EXAMPLE 18: CARD OUT

Overriders. Overriders are temporary slips placed over the entry card. Overriders are used to record the individual issues of a serial as they are received. There are various overriders used for recording this information according to the frequency and nature of the serial. In addition, there are special overriders for Binding Records and Records of Permanent Holdings.

In addition to receipts, recorded in pencil, the overrider also indicates the source of acquisition, and the number of copies to be retained. In July 1983 color-coded overriders were introduced for serial check-in based on the source of acquisition.

Many overriders also have information recorded on the back, such as assignments for multiple copies, notes concerning particular issues, or holdings for issues falling outside the normal numbering scheme.

When additional overriders are needed they are added by the accessioner, who provides all necessary information and continues the pattern of recording the serial.

# 3X5 file

The 3X5 file is located above the visible file and consists of 3X5 cards in drawers. The 3X5 file retains entries for some serials issued annually or less often or inactive titles removed from the visible file. While the majority of serials listed in the 3X5 file have ceased publication, there are still many active titles in this file.

Currently issued serials (those issued within the last 5 years) located only in the 3X5 file are brought down to the visible file. Excepted are law materials and city directories which are not converted. Specific instructions regarding this procedure are found in Part II.

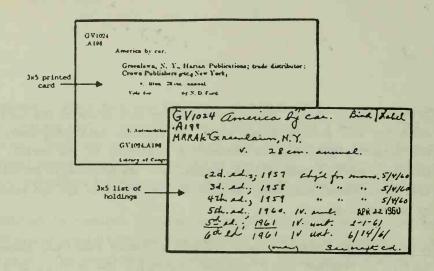
The 3X5 file contains several types of cards: catalog entry cards, holdings cards, and Card Outs. (See Example 19.)

- Printed catalog cards. The printed catalog card precedes the holdings cards for some entries in the file. It includes cataloging entry and all necessary bibliographic information relating to the serial. In addition, specific instructions, stamps, etc. are added which pertain to treatment. (Note: Most newer entries in the 3X5 files are not preceded by printed cards.)
- 2. Holdings cards. Holdings cards are used to record individual issues of serials as received and/or combined holdings. The holdings card may contain the following information:

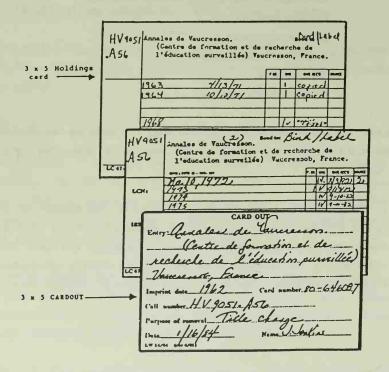
Cataloging entry (Typed at top of card)
Holdings of the serial issues received
Binding status
Date accessioned
Source of acquisition
Call number
Routing instructions

When additional holdings cards are needed, they are made by the accessioner. Green holdings cards are used to indicate titles appearing on the MARC data base.

3. Card Outs. The Card Out in the 3X5 file serves the same purpose as the Card Out in the Visible file. It is used to replace the entry card and holdings cards when they are removed for any reason. All Card Outs currently used in the 3X5 file are green and contain similar information to that in the Visible file. The color bears no special significance.



# EXAMPLE : 3 x 5 CATALOG CARD AND HOLDINGS CARD



EXAMPLE 19: 3 x 5 HOLDINGS CARDS AND CARD OUT

## I.2 SOURCES AND STAMPS

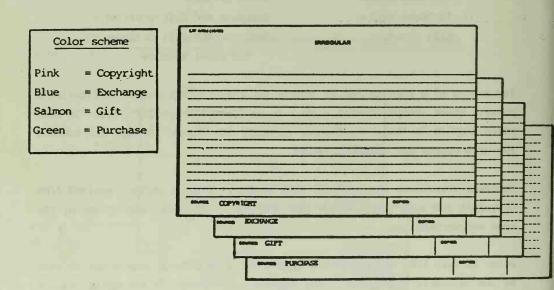
Four types of source materials are received in the Serial Record Division. Often more than one copy is received from a particular source. The sources and their origin are:

Source	<u>Origin</u>
Copyright copies	Copyright Office
Purchase copies	Order Division
Exchange copies	Exchange and Gift Division
Gift copies	Exchange and Gift Division
	and other sources

The source of a publication is determined from a stamp on the publication's cover. These stamps include words such as "Copyright", "E&G", etc. In some cases numbers in the stamp further identify the source. Stamps and numbers for each source are explained below.

Separate overriders are used for each source. Thus, a serial received from Copyright and also purchased should have two overriders, each covering the same volume/date span.

Prior to July 1983 one type of overrider was used and the source was written at the bottom of each sheet. The majority of records in the serial record contain these old overriders. Beginning in July 1983, color-coded overriders were introduced with the source printed at the bottom. Whenever additional overriders are necessary or new records are established these color-coded overriders are used. (See Example 20.)



## I.2A. COPYRIGHT

Serials bearing this stamp are received by the Copyright Office for initial processing, then are forwarded to Serial Record Division for accessioning. Usually two copies are received from Copyright, however, they are frequently not received at the same time. (If the publisher is registering the issues, the second copy is delayed; however, if he is merely depositing the issues both copies will be forwarded to SRD at the same time.)

When issues have been registered they are stamped with a "TX' number.

**Stamp.** Only one stamp is assigned to copyright materials and no special numbers are used in the stamp. (See Example 21.)

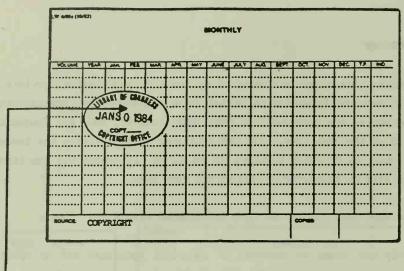
**Special instructions.** Unstamped issues received in SRD bearing a "TX" number may be stamped with the Copyright stamp since this is a sure indication of the source. (See Example 22.)

## I.2B. PURCHASE

Purchase copies originate in the Order Division and are the copies which the Library buys; therefore, it is very important that purchase copies be recorded accurately and on the appropriate overrider.

**Stamps.** All publications bearing a stamp with number "6" are recorded on the purchase overrider. This includes two types of materials originating in the Order Division, plus Hispanic materials, discussed below.

Two stamps are used for Order Division materials. The stamp bearing the words "Cont. copy" is used for continuation copies; the stamp bearing the word "Copy" is used for blanket orders. These are discussed below. (See Example 23.)



-1. No number assigned to stamp.

	_										-		_			
	LW 4464 (M	V(13)														
								MONT	HLY							
	VOLUME	YEAR	JAN	PER	MAR	APR	BMY	AME	ALV	AUG	MP	OCT.	MOV	DEC.	17	P40
					ļ	ļ										
															••••	
		LIA	BAR	. 06	COL	VGRE		• • • • • • • • • • • • • • • • • • • •	•••••	•••••	•••••	•••••		•••••	••••	•••••
			SER	AL I	RECO	ED.	- 5-5	******							••••	
				<b>*</b>												
1			JA	N 2 9	9.00	,										
		_			, 400											
1		•••••	•••••	•••••	•••••		•••••	•••••	•••••	•••••	•••••	•••••		• • • • • • • • • • • • • • • • • • • •	••••	•••••
		•••••	•••••	•••••	•••••	•••••	•••••	•••••	•••••		•••••			•	••••	•••••
														لب		
	BOURCE	GI	PT								-	COPIES				
1 7 7																
	2 41											-	_	-		-

1. No number assigned to stamp.2. Stamp assigned to serials bearing no accessioning stamp.

EXAMPLE 21: COPYRIGHT AND GIFT OVERRIDERS WITH SOURCE STAMPS

TX 1-183-272

# FEDERAL TAXATION OF INCOME, ESTATES AND GIFTS

1983 CUMULATIVE SUPPLEMENT NO. 2 • TEXT

BORIS I. BITTKER
Sterling Professor of Law, Yale University

and

ANCIL N. PAYNE, JR.

Member of the New York and Oklahoma Bars

Research Fellow in Law, Yale University

Annotations to January 1983

WG

WARREN, GORHAM & LAMONT Boston • New York

EXAMPLE 22: TX NUMBER

**Continuation copies.** A continuation order is an on-going order which covers all issues of a serial as published.

When a continuation copy is first received, the order number is recorded from the order slip on the bottom of the overrider by the Maintenance Unit. This number is used by the Order Division when issues are claimed. If the order number does not appear on the overrider the accessioner supplies the number from a set of microfiche housed in SRD for this purpose. If the order number is not on the microfiche, the accessioner supplies an asterisk adjacent to "purchase" at the bottom of the overrider and a note on the verso: "Order no. not available on microfiche as of (date on upper right side of microfiche)."

When additional overriders are made the order number is recorded from the first overrider.

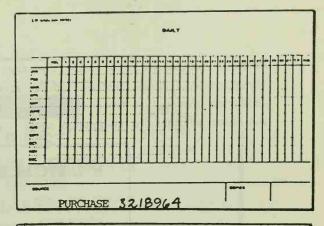
Blanket order copies. A blanket order is a one-time order, usually used for retrospective issues. It may also be used for specific issues of current titles. When making an overrider for blanket order material, the number "6" is written in after the word "Purchase" at the bottom of the overrider. This is referred to as "Purchase-6" material.

**Hispanic materials.** These are materials purchased as a blanket order through the Exchange and Gift Division. They bear a stamp marked E & G/HAP. These titles are later reviewed and may or may not be ordered as continuation copies.

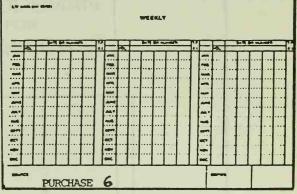
The purchase overrider is used with the designation "E & G/HAP" written after the word "Purchase" at the bottom. If later issues are received as continuation copies bearing the order Division stamp the designation is crossed out and the order number is written in. (See Example 25.)

Order Division stamp





FEB 6 - 1981



Purchase materials are divided into two categories: Continuation copy or Blanket order materials.

# Continuation copy

1. Assign a "Purchase" overrider.

 Indicate purchase order number at the bottom of the overrider (number is obtained from either the New Serial title slip or the microfiche reader).

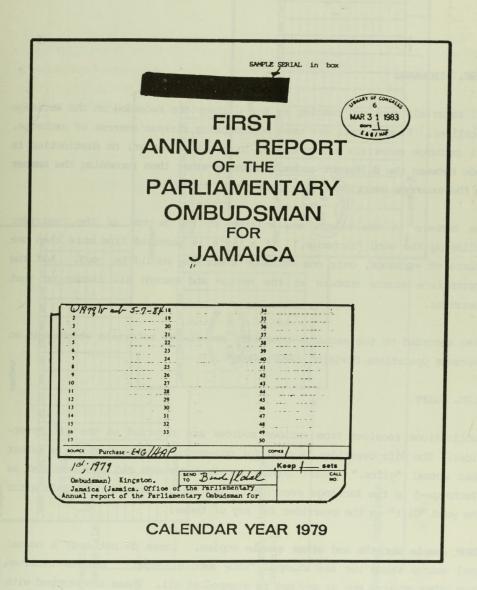
## Blanket order

1. Assign a "Purchase" overrider.

Indicate number six from the center of the Order Division stamp. Note: Problem purchase materials are returned to Order division for investigation. These include: duplicates, discards, unstamped materials, and extra copies. A "Purchase 6" slip is completed and inserted in piece and piece is placed on designated shelf. (See Example 24.)

PURCE	ASE 6
□Not stamped □Extra copy	□ Duplicate □ Discard
	100-0
a specific	un an alaipean a
TO THE PROPERTY OF THE PROPERT	vice withings
Discard	□Exera copy
Duplicate	DANG SCOMBEG

EXAMPLE 24: Purchase 6 slip



EXAMPLE 25: E&G/HAP SERIAL

#### I.2C. EXCHANGE

All materials received bearing an E & G stamp are recorded on the Exchange overrider. Five numbers are used representing various sources of exchange. All exchange materials are processed in the same manner; no distinction is made between the different exchange sources other than recording the number on the exchange overrider. (See Examples 26 and 27.)

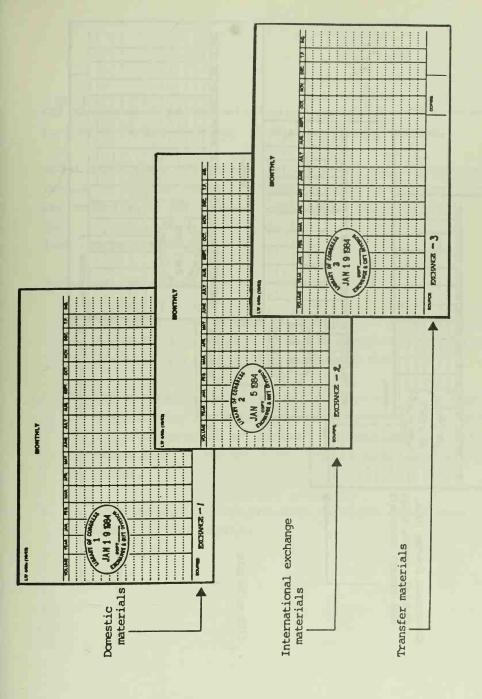
The numbers in the stamps are written at the bottom of the overrider following the word "Exchange." If a title is received from more than one source of exchange, only one exchange overrider should be used. Add the appropriate source numbers at the bottom and record all issues on that overrider.

Also recorded on the exchange overrider are PL-480 materials which bear an Overseas Operations Division (OVOP) stamp.

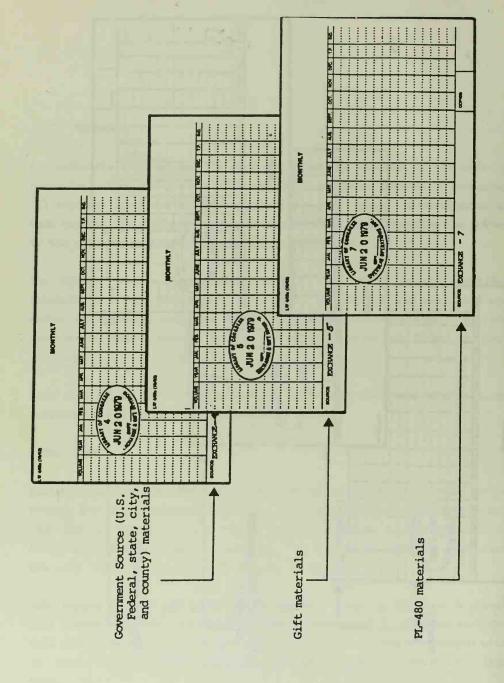
#### I.2D. GIFT

Publications received from various sources are recorded on the gift overrider. The Gift overrider is used for non-specific source materials rather than actual "gifts." (Gift copies received through E&G are recorded as "Exchange-5 on the Exchange overrider.) No further notation is made after the word "Gift" on the overrider for any of these.

NSDP sample serials and other sample copies. These do not bear a normal oval source stamp but are stamped "NSDP sample issue." Samples received from other sources may or may not be stamped at all. These are stamped with the Serial Record Division stamp and recorded on the gift overrider. (See Example 28.)



EXAMPLE 26: SOURCE STAMPS AND EXCHANGE OVERRIDERS - 1



EXAMPLE 27: SCURCE STAMPS AND EXCHANGE OVERRIDERS - 2

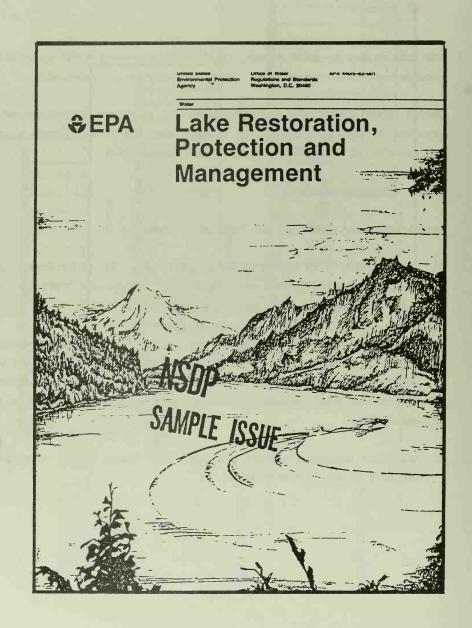
CIP (Cataloging in publication). Publications bearing a CIP stamp are also recorded on the gift overrider. These are very rarely received.

**Serial Record stamp.** All unstamped materials which are not determined to be purchase or copyright copies are stamped with the Serial Record stamp and are recorded on the gift overrider. (See Example 21.)

## I.2E. UNSTAMPED MATERIALS

Review unstamped materials and treat as follows:

- If they bear a TX number stamp as Copyright. If no TX number appears but current holdings are recorded only on a copyright overrider, assume that the issue is a Copyright copy and stamp as such.
- 2. If it appears that the serial may be a purchase copy, it is returned to the Order Division for investigation. This may be determined when a purchase overrider exists and the issue or needed copies are wanting. A "Purchase-6 slip" is inserted in the item, and piece is placed on designated shelf.
- All other unstamped serials are given the Serial Record stamp and are recorded on the gift overrider.



EXAMPLE 28: NSDP SAMPLE ISSUE

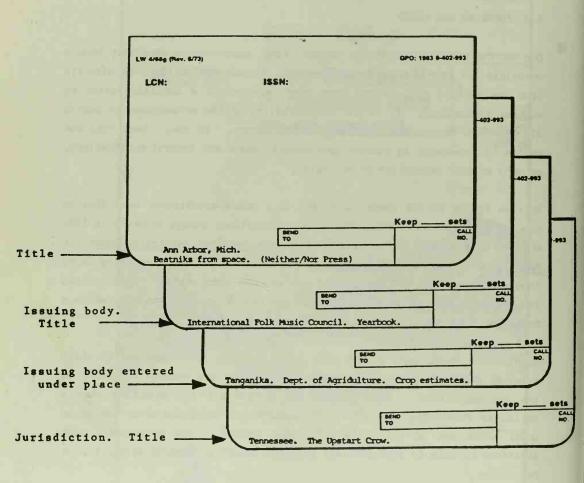
# I.3 LOCATING THE ENTRY

Due to the size of the serial record files, accessioners generally handle materials for only one letter in the file. These may be received directly from the Sorting Unit or may have been resorted to a specific letter by another accessioner. It is the responsibility of the accessioner to search all possible combinations within his/her letter. In many cases only one search is necessary; in others, particularly state and federal publications, as many as four possibilities may exist.

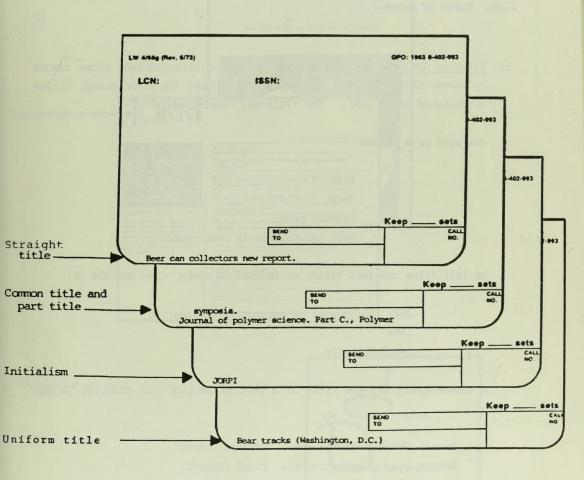
Entries in the Serial Record were for many years established according to the current cataloging code. The latest significant change occurred in 1981 when LC adopted the 2nd edition of the <a href="Anglo-American Cataloging Rules">Anglo-American Cataloging Rules</a>, or <a href="AACR 2">AACR 2</a>. With the adoption of <a href="AACR 2">AACR 2</a>, adherence to the cataloged entry is no longer followed and all new serials are entered under title. An exception is made for publications of U.S. state and federal governments, for which a new type of entry was created.

All entries which were in the file prior to 1981 have remained in their original form. Only new titles added to the file are entered according to the new guidelines. Thus, unless the accessioner can determine that a serial is relatively new, it is not possible to determine from the piece under which set of rules the entry was created. The accessioner must therefore be able to formulate all possible search strategies within his or her letter.

Following are the three types of entry used in the serial record and instructions for searching under each type. (See Example 29.)



EXAMPLE 29: SERIAL RECORD ENTRY BY TYPE



EXAMPLE 30: TITLE ENTRIES

#### I.3A. TYPES OF ENTRY

1. <u>Title or Initialism</u>. Serials entered under title include those issued by commercial publishers and all non-government (U.S. or state) titles established since 1981. The title may consist of:

One word or a phrase

Life
Beep
Laundry news
Beer can collectors news report

An initialism and full title, or initialism alone (See Example 31)

JAMA
JAPOS bulletin

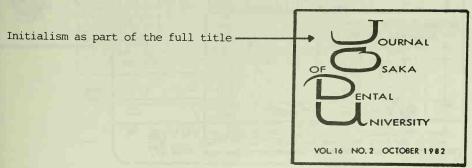
A common title and the title of a part or section (See Examples 32 and 33.)

Beever County legal journal. Advance sheets
Beever County legal journal. Final reports
Journal of polymer science. Part C, Polymer symposia

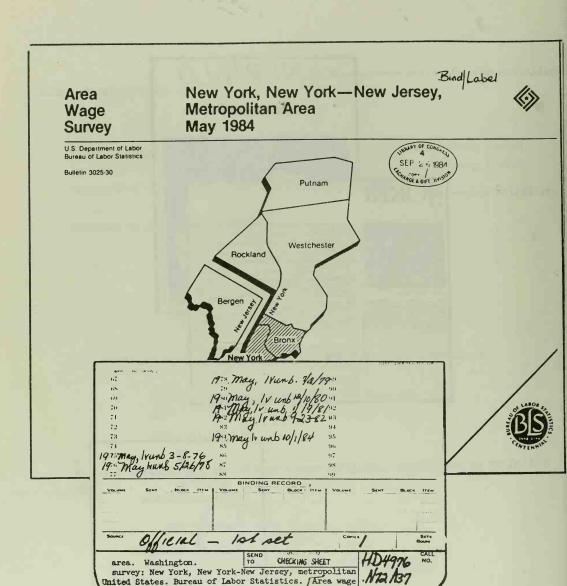
Parallel titles (same title in more than one language) (See Example 34.)

Monthly commodity price bulletin. Bulletin mensuel des prix des produits de base. Boletin mensual de precios de productos basicos

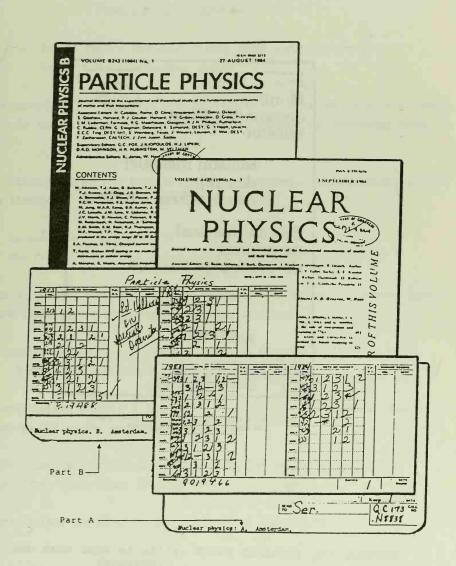




EXAMPLE 31: INITIALISMS



EXAMPLE 32: COMMON TITLE AND PART TITLE



Monthly commodity price bulletin



**Bulletin mensuel** des prix des produits de base

Boletin mensual de precios de productos básicos

1960-1982



SUPPLEMENT SUPPLEMENT SUPLEMENTO

Search under the first title. If not found, resort or search under next title listed.

EXAMPLE 34: PARALLEL TITLE (title in more than one language)

Annual report (National Police Cadet Corps (Singapore))
Bear tracks (Washington, D.C.)

It is essential to identify the full title in order to perform a proper search in the file. Following are some quidelines for searching based on title.

 Initial articles are ignored for filing purposes. (See Appendix B.2 for a listing of articles in other languages.)

Le monde is filed under "M"

The Crawford families exchange newsletter is filed under "C"

b. Dates, volume numbers, and other designations are not considered part of the title when they represent an issue of a serial.

Title on piece: 1983 Annual review of chemistry Entry in serial record: Annual review of chemistry

However, numbers which are truly part of the title are filed as they would be spelled out in the language of the text.

1001 truck and van ideas is filed under "O" for "One thousand" 100 [i.e. Cent] jours is filed under "C" for "Cent"

c. Initialisms are filed at the beginning of each letter.

d. If a serial bears both an initialism and a full title the entry may be under either one. Both should be searched.

NER/BLQ, New England review and bread loaf quarterly (Search first under NER/BLQ; if not found, search under New England)

e. When a title is given in more than one language (parallel titles), search first under the title given first on the title page or cover. If not found, search or resort under the second title. The order may have changed but the entry would not be changed in the file.

Journal of liberal thought. Le Journal de la pensee liberale

(Search first under Journal of ...; if not found search under

Journal de la ...)

- f. Serials which bear a common title and a part title may be very complex and require careful searching. There may be more than one breakdown or series of parts to consider. The placement on the title page may read from common to part title or it may be arbitrary. If unsure about which part constitutes the beginning of the filing entry, search all possible parts listed on the title page or resort as appropriate.
- g. Many serials have identical titles which may be found on more than one serial publication. In order to distinguish each serial the title is qualified by the publisher or place of publication and this information is found in parentheses following the title. This is called a uniform title. It is important when searching titles that the accessioner determines that an entry matches the piece in-hand. In order to accomplish this the entire entry card should be examined for issuing body, place of publication, or ISSN.

# Journal of International Studies MILLENNIUM

Vel 11 No. 2

Who Pulled Whom and How United States and the Making Treaty Nikolaj Petersen

Legal and Political Aspects of the tional Liberation Movements Helmut Freudenschuts

Energy Security and Canadian lective Versus Independent Act David J. Blair

DISCUSSION: Normalisation in Eastern Europe the Soviet System George Schöpfim

The Place of the European Coms Policy of British Governments, Paul Sharp

BOOK REVIEWS

Published by Martin Robertson Millennium Publishing Group

London School of |

MILLENNIUM

Journal of International Studies

Voteme 11, Number 2

USA) \$13.75 (Comm

MILLENNIUM

Vol. 11, No. 2

Table of Contents

Abstracts

O MILLEHMIUM

stiend Ed, Salidwy, With Presid and bound by the Counter Press,

The example above shows various representations of two titles on the cover, table of contents page, and editorial page. From the cover the title appears to be Journal of International Studies. Closer examination reveals the title is Millenium.

Mertin Robertson

108 Cowley Road

Oxford OX4 1LR 10865) 724041 Bear tracks (Bear Park, inc.)
Bear tracks (Hunting for Bears, inc.)
Bear tracks (Washington, D.C.)

There are only three entries for the title "Bear tracks" so finding the correct entry should not be difficult. However, there are 23 entries for the title "People." By carefully checking the information following the title on the entry card with data found on the serial in-hand the appropriate entry should be determined. If this information is not sufficient, check the numbering sequence to determine whether the serial would readily fit into a vacant slot on the serial overrider. Never record a serial when you are unsure about the correctness of the entry—Refer the problem to a reviser or unit head.

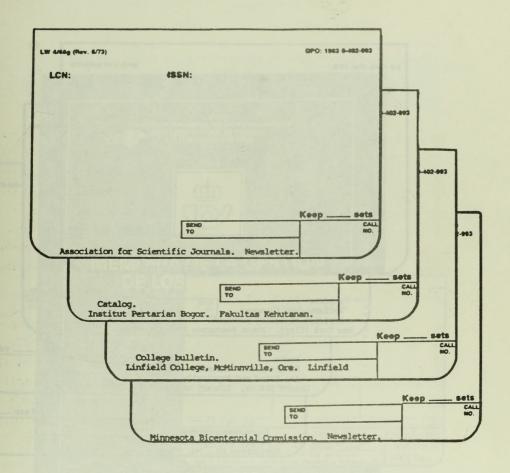
2. <u>Issuing body. Title.</u> The issuing body is the corporate body responsible for the issuance of the serial. This may be an association, corporation, government agency, museum, etc. In some cases the body is entered directly under its own name, such as: National Safety Council. In other cases it is entered first under the place of the body, such as: Belgrad. Muzej grada. The place may be a city, county, state, country or other type of geographic location. (Titles are underlined in examples for emphasis.)

(County) United States. Dept. of Agriculture. Agriculture handbook

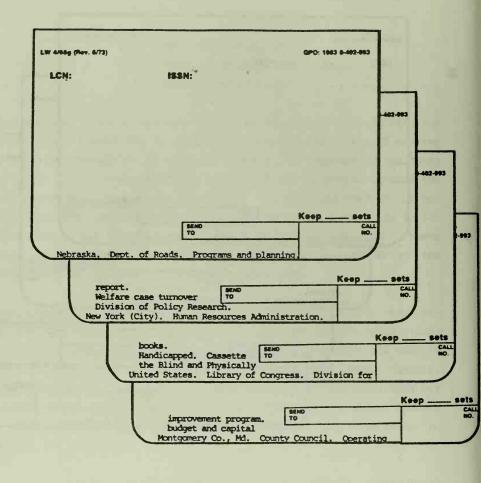
(State) California. Dept. of Agriculture. <u>Telephone</u> directory

(City) Belgrad. Muzej grada. Katalogizolzhi

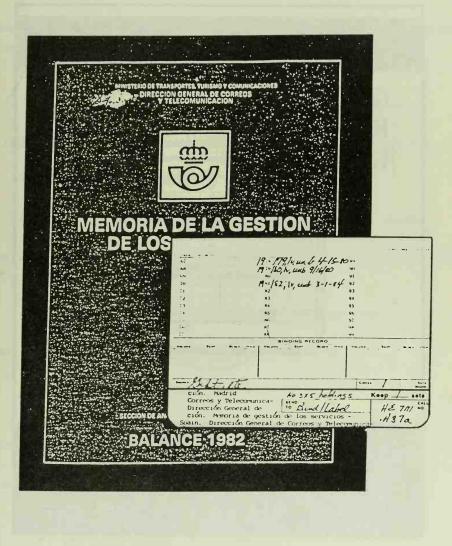
This type of entry was used prior to 1981. No new serial record entries created since 1981 are entered under issuing body. However, since the majority of the entries in the serial record were created prior to 1981, a great number are entered in this form. (See Examples 36-39.)



EXAMPLE 36: ISSUING BODY. TITLE ENTRIES

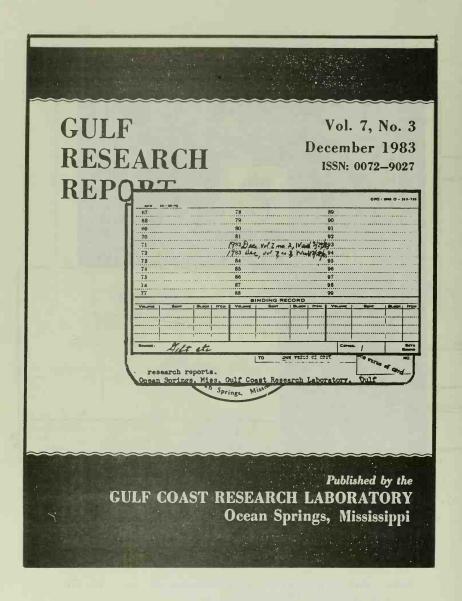


EXAMPLE 37: ISSUING BODY. TITLE ENTRIES (entered under place)



Note that Ministerio de Transportes, ... is not included in the entry.

EXAMPLE 38: ISSUING BODY ENTRY



EXAMPLE 39: ISSUING BODY ENTRY ENTERED UNDER PLACE

An issuing body may consist of the parent body alone, or the parent body and one or more subordinate units.

(Parent body) (Subordinate unit)
National Safety Council. Aeronautical Industries Section

The filing order for issuing bodies with subordinate units is as follows:

- Parent body. Title
- Parent body. Subordinate unit A. Title.
- Parent body. Subordinate unit A. Subordinate unit B. Title.

Often the full name of the body as found on the piece is not used in the entry. For example, the body on the piece may apear as:

United States Department of Agriculture Forest Service

However, the body will be found in the serial record under:

United States. Forest Service

The intervening, or parent body in this case, has been omitted from the heading since it was considered unnecessary for the identification of the body. When this occurs a cross reference will lead from the full form to the form of heading as established.

United States. Dept. of Agriculture. Forest Service SEE United States. Forest Service When searching by issuing body and title:

- a. Identify the full name of the issuing body from the serial in hand.
- b. If the name consists of a parent body only search under the body and the title. Multiple titles issued by the same body are arranged alphabetically after the name of the body.

National Foundation of the Arts and Humanities. Addresses
National Foundation on the Arts and Humanities. Handbook
National Foundation on the Arts and Humanities. Programs and planning in literature

search for the entry after those entries entered under the parent body alone. Look for any cross references leading to a different form of the heading.

National Safety Council. Summary of motor vehicle traffic
National Safety Council. Transactions
National Safety Council. Aeronautical Industries Section.
Safety newsletter

3. <u>Jurisdiction. Title.</u> In 1981 a new type of serial record entry was created for U.S. and state government publications and those of territorial possessions, consisting of the jurisdiction, or place name of the government, and the title. This form of entry is not used for foreign publications. (See Examle 40.)

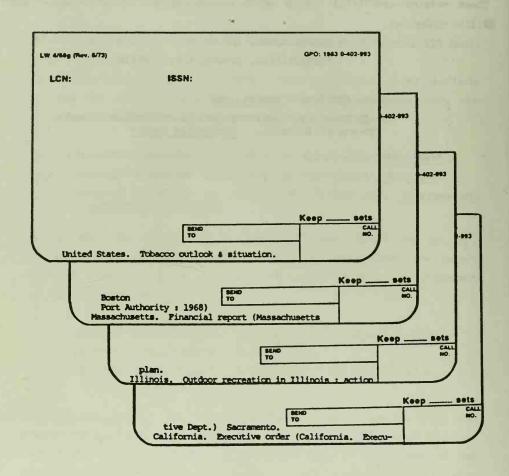
United States. <u>Agricultural research results. ARR-W.</u>
Tennessee. <u>The Upstart cow</u>

These entries are filed before those containing the issuing body. The filing order is:

- Jurisdiction. Title
- Jurisdiction. Issuing body. Title

South Carolina. <u>Hornet news</u>

South Carolina. Advisory Council on Vocational and Technical Education. <u>Evaluation report</u>



## I.3B. AIDS IN SEARCHING

 Cross references. Cross references are made when necessary to direct the searcher to the correct form of entry, or an alternative form also used.

There are two types of references, General and Specific.

**General references.** These were used for issuing bodies prior to 1981 and direct the searcher to a heading which may be used on multiple entries. There are two kinds:

From incorrect to correct form of issuing body heading.

United States. Dept. of Agriculture. Forest Service SEE

United States. Forest Service

From another form of heading for the body  $\underline{\text{also}}$  used.

Chile. Direccion de Estadistica y Censos SEE ALSO

Chile. Servicio Nacional de Estadistica y Censos

**Specific references.** These direct the searcher to the exact entry for a particular title. They include the following types:

From one form of title to another.

Canadian journal of medical sciences

SEE

Canadian journal of biochemistry and physiology

From one form of entry to another.

Canfarm farm business analysis SEE

Alberta. Farm Management Branch. Canfarm farm business analysis

Child nutrition programs newsletter (Alabama) SEE

Alabama. Child nutrition programs newsletter

When the cross reference indicates the correct form of entry within the same letter, the accessioner should proceed to that entry and accession the piece.

 Linking notes. Linking notes refer to an earlier or later entry for the serial. These notes indicate a change in the title or issuing body, when used in the entry.

Linking notes are found only on the entry card. No separate blue cross reference is made for them. (See Example 41.)

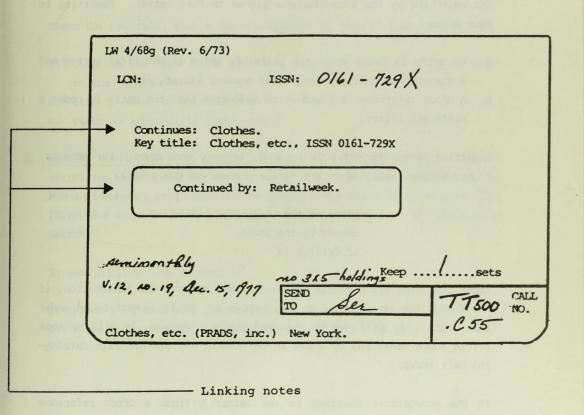
Linking notes are especially valuable for distinguishing minor title changes as in the following example.

Title on Serial Record entry card

The Crawford families exchange newsletter

Linking notes

Earlier title Later title Continues: Crawford exchange newsletter Continued in Aug. 1982 by: Crawford families exchange



#### I.3C. ENTRY NOT FOUND

- 1. <u>Resorting</u>. Resorting involves routing the publication to another letter for searching by the accessioner assigned to that letter. Resorting is done when:
  - a. No entry is found under the letter to which it was first sorted and a search possibility exists under another letter, or
  - b. A cross reference is found which indicates that the entry is under a different letter.

Resorting, when the entry is unknown, is only done **once**. For example, an accessioner assigned to the letter C receives the serial:

Reports of the Court of Appeals, issued by the State of California

After searching all possible places in the C drawers the publication, if not found, may be resorted to the letter R. If it is not found under that letter, it will not be resorted again. Instead, it will be sent for in depth searching by staff in the Search and Visible File Cataloging Unit (S&VF).

If the accessioner assigned to the letter R finds a cross reference which indicates that the exact entry is under a third letter, the publication may be resorted to that letter.

Steps for resorting when entry is not found:

a. Place a "O" and your initials below the first letter of the word(s) searched.

- b. If another search possibility exists place a check and your initials above the letter of the appropriate word.
- c. Place the publication on the appropriate letter shelf.

Steps for resorting when a cross reference is found: (See Example 42.)

- a. Complete the "SEE" cross reference slip with the full entry as found on the reference.
- b. Clip to cover of publication.
- c. Place on appropriate letter shelf.
- 2. Sending publications to Search & Visible File Cataloging Unit. When a serial has been resorted but not found, or when it is unlikely to be found elsewhere, it is sent to the Search & Visible File Cataloging Unit (S&VF) for more in depth searching. This is referred to as "sending to Search."

To send publications to Search:

- a. Place a "O" and your initials below letters already searched.
- b. Place on Search shelves under the last letter searched.

Hungare XVIII. évfolyam, 1. szám LEGUGYI A LEGUCYI HATOSEC HIVE TARTALOM TOTAL OF CLASSIC Jogozabályok, utasítások olgári repülésről szóló 1981, evi 8. enyerejű rendeletnek és a végrelis adott 17/1981, (VI. 9.) MT számi rendeletnek 1983 évi 7. számú és az 1983 évi 9. venyerejű rendelettel, valamint a 1. 1.) MT számú rendelettel módosíto szerkezetbe foglalt szovege — — Jogszabályok, utasítások delkezės tck és a valamint A polgári repülésről szóló ból végz, (t repülésre is alkalmani kell, ha a re-pülést a volgári repülésirányító szolgálat által el-lenőrzött légtérben vagy légiútvenalon végzik. 1981. évi 8. számú törvényerejű rendelet és a végrehajtására kiadott 17,1981. (VI. 9.) MT számú rendeletnek (3) Magyar polgári légíjárműve a Magyar Nép-köztársaság területén (légterén) kívül, illetőleg az 1983. évi 7. számú és az 1983. évi 9. számú törvényerejű rendelettel, vala SEC ha cg: del-[A vas számú to Tvr.), a v 17.1-9.) MT sz delkezése ly-. Cross reference is written on "SEE" slip. Slip is inserted into A polg gvtörvényer -וווי piece. S-anak ( ווור alapján a Isttest var :1.1-Ξy. isa. yί. Tvr. 1. kiterjed kon repülésre, mui repülést, Legugyi Foigazgatosag. Legugyi kozlony. letén (lég Legugyi kozlony. SEE Hungary. Kozlekedes es Postaugyi Miniszterium végzett re ségekre, valamint a Magyar Népköztársaság légterének egyéb célú igénybevételére. rének egyéb célű igényhevételére.

(2) E tőrvényerejű rendeletnek a repülésírányításra és a repülések végrehajtására vonatkozó rentásra és a repülések végrehajtására vonatkozó rentásra és a repülések végrehajtására vonatkozó ren-

 Piece is searched under Legugyi ... and reference is located.

## I.4 ACCESSIONING

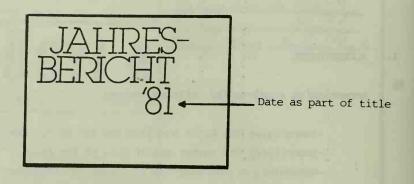
# Accessioning involves the following steps:

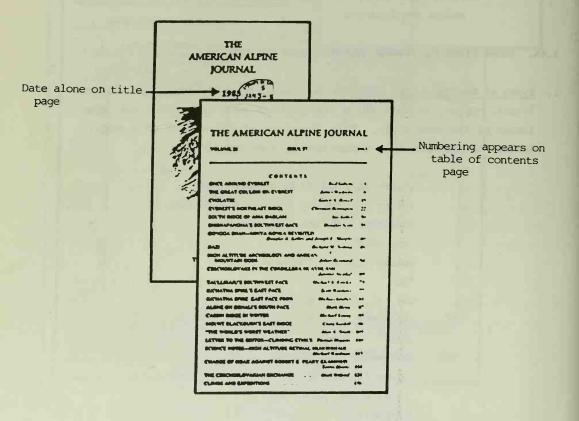
- -Identifying the title and locating the entry (See I.3)
- -Identifying the number and/or date of the issue,
- -Recording, or checking-in, the issue on the appropriate overrider, and
- -Marking the issue to indicate copy, entry, call number, and/or destination.

# I.4A. IDENTIFYING THE NUMBER AND/OR DATE OF THE ISSUE

 Types of designations. Serials, by definition, must have an identifying letter, number, or date which distinguishes each issue from all other issues of the same title. This "designation" may consist of a number, letter, or date alone, or a combination. (See Example 43.)

> Volume 112, Number 6, November 1983 Volume 10, Numbers 3 and 4, Autumn 1983 September 1983 Vol. III, No. 9 June 1983 Volume 115B + C (1983) No. 3 **'**81 Vol. 11, no. 2, Summer 1982 1960-1982 1983/3 Year ended 31st December, 1982 Volume 37, Number 10, November-December, 1983 Whole number 360 J 1983:16 Godina XXXIV Broj 5-7 maj-juli 1983 40th annual report and accounts for year ended December 31, 1981





Numbered serials. Serials bear various kinds of numbering which may or may not be accompanied by a date. The "number" may consist of a number alone, volume number with its own numbering, or an alphabetic designation.

No. 56 Volume 1, no. 8

There are two kinds of numbering: consecutive (or whole numbers) and non-consecutive. Non-consecutive numbers repeat with each volume or year while consecutive numbers continue indefinitely, regardless of date or volume. (See Examples 44 and 45.)

Consecutive - number independent of date or volume. (These may be recorded on the numbered series overrider.

No. 533, December 1983

No. 534, January 1984

Non-consecutive - number repeats with date or volume

Volume 8, no. 1, Jan. 1983

Volume 9, no. 1, Jan. 1984

No. 1, Jan. 3, 1982 {These should not be record-

No. 1, Jan. 4, 1983 ed on a numbered overrider,

No. 1, Jan. 6, 1984 unless a separate overrider is used for each year }

When a non-consecutive number is to be recorded on the overrider and/or in the call number, it must be accompanied by a volume number, date, or other distinguishing designation.

Dated serials. Serials often bear two types of date: coverage dates and publication dates. The date of coverage is the period covered by the issue and usually appears with the title. The publication date indicates when the

issue was published and normally is found with the publisher and place of publication.

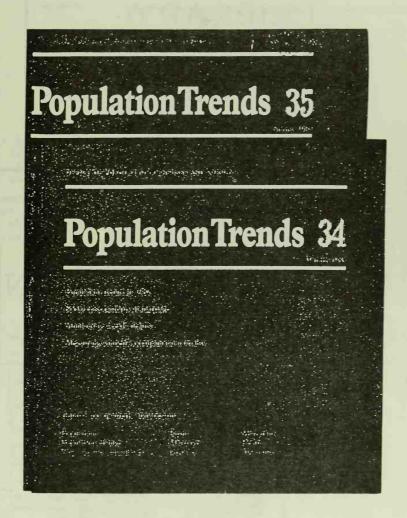
When determining the date for unnumbered serials, the coverage date is always preferred. For example: 1981 Annual report (published in) 1982. "1981" is recorded on the overrider and used in the call number.

The coverage date does not always appear on the cover or title page and may take some searching to determine. Nevertheless, it is the job of the accessioner to determine and record the correct date for the issue.

2. <u>More than one designation</u>. Occasionally serials bear two separate numbering schemes, each independent of the other.

For example, a serial bears the designation: Vol. 5, no. 2, Feb. 1983. It also bears a number: No. 86.

Generally, only one designation is recorded on the Serial Record entry. The accessioner by examining the overrider will determine which number scheme is to be recorded. (See Example 46.)



# BRARY ISSN 0041-7904

Vol. 43, No. 16

Orthor List flox req ( ly \ ape ma last / Box Syx of t here use firs i ma pay

wil 16, 1984

Library Will Hold Conference on George Orwell on April 30 and May 1

Also in This Issue . . .

# ISSN 0041-7904 BRARY

Vol. 43, No. 17

April 23, 1964

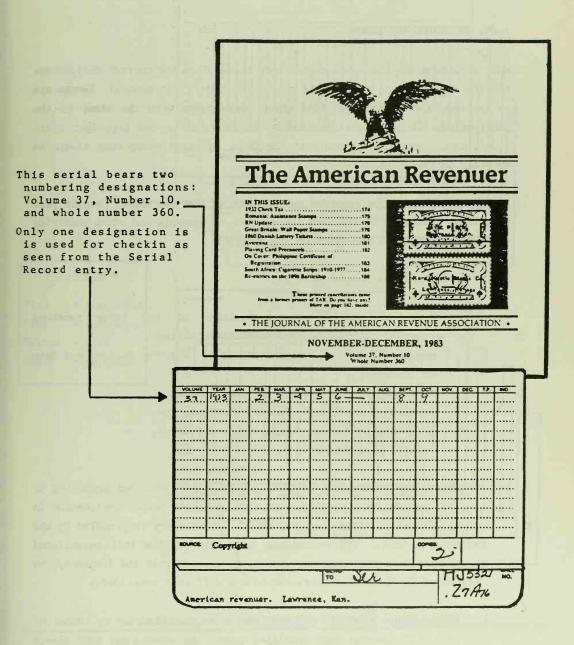
Anthony Hecht Will Give Final Lecture As Library's Poetry Consultant on May 7

As Library's Poetry Consultant on May 7
Consultant in poetry Anthony Hecht will deliver a lecture midd The Pathetic Fallacy' on Monday, May 7, as 8 ps. in the Library's Coolidge Auditorium. The lecture, sponsored by the Gertrude Clarke Wintail Poetry and Literature Fund, is open to the public free of charge, and and Literature Fund, is open to the public free of Charge, and the charge of the public free of Charge, and the control of the Charge of

Cartographic Conference Meets at Library ....134-135 Coolidge Foundation
Nominates Composers for
Pulitzer Prize ......135–136 Mass Descridification
Project Moves into
New Phase ..........136–137 Legal Groups Receive
LC Orientation .......136 Jameson Fellow to Lecture April 26.......135 Oscar Sonneck Is Focus of New Publication . . . . . 137 Recent Acquisitions .... 137-138 Library of Congress
Publications . . . . . 199-140

Also in This Issue . . .

NON-CONSECUTIVELY NUMBERED SERIAL EXAMPLE 45:



## I.4B. RECORDING THE ISSUE

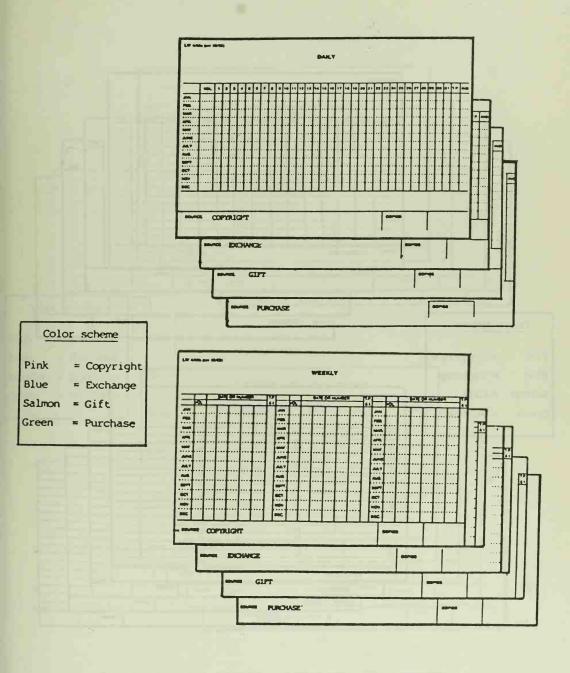
When recording the issue the accessioner transcribes the correct designation for the issue on the appropriate source overrider. In general, issues are to be recorded on the overrider which corresponds with the stamp on the publication. A copyright publication is recorded on the Copyright overrider, etc. Serials received with the Order Division stamp must always be recorded on the Purchase overrider.

Issues should never be recorded on the back of the overrider, despite past practice. This area is reserved for special assignments and notes referring to issues recorded on the front of the overrider.

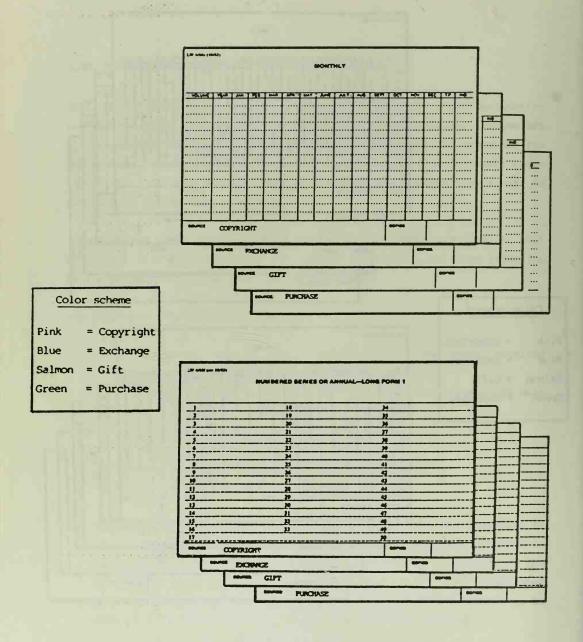
The following information is recorded:

- Numerical and/or date designation of the issue received recorded by frequency and established pattern.
- Number of physical volumes per issue, binding status, and date of receipt.
- 3. Number of copies received for that issue.
- 4. Receipt of special supplements, indexes, etc.
- 5. Erroneous information appearing on the issue.
- 6. Receipt of discards.
- Frequency and established pattern. Serials are recorded according to their frequency and numbering. In most cases the proper designation is established at the time that the serial record entry is prepared by the Maintenance Unit. The accessioner must then follow that established pattern. (The accessioner may change the overrider if the frequency, as determined by the accessioner, requires a different overrider.)

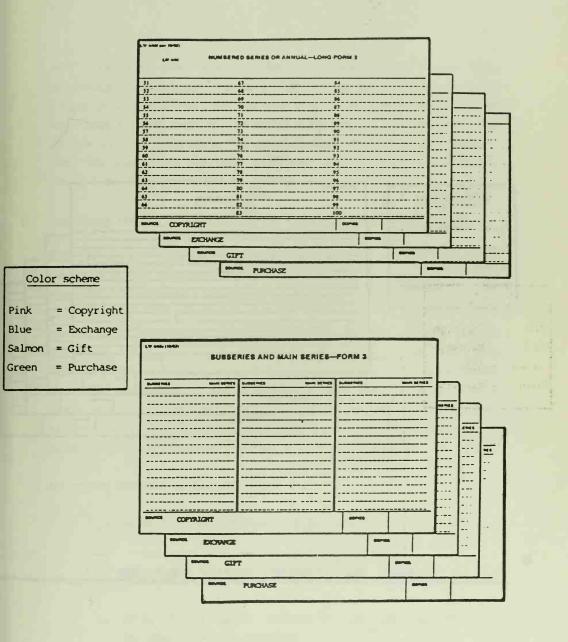
For example, a weekly numbered serial may be recorded by number or date. If the overrider specifies number the accessioner must always record the number in the appropriate box, and not the date.



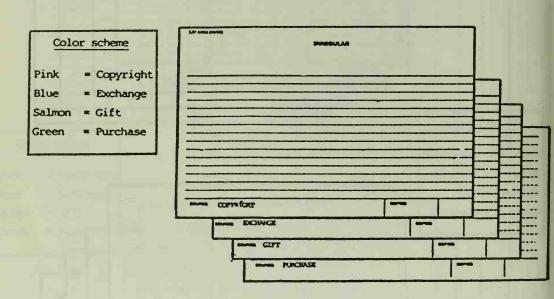
EXAMPLE 47: FREQUENCY DISPLAY OVERRIDERS - 1



EXAMPLE 48: FREQUENCY DISPLAY OVERRIDERS - 2



EXAMPLE 49: FREQUENCY DISPLAY OVERRIDERS - 3



EXAMPLE 50: FREQUENCY DISPLAY OVERRIDERS - 4

Several kinds of overriders are used for various frequencies and types of serials; however, an overrider does not exist for every type of frequency that an accessioner may encounter. Thus, overriders are used which best fit the needs of the serial's frequency. See Examples 47-50 for the various overriders. A chart listing the most common frequencies, the appropriate overrider to be used and instructions for recording is located in Appendix A.1.

### 2. Indicating receipt of multiple copies received from the same source

Multiple copies received from the same source must be accurately indicated on the overrider for all copyright and purchase copies, and some gifts or exchange titles that are routed.

The accurate recording of copies received serves two purposes for the Library. First, it tells the Library how many copies have been received. This is important to Copyright and to the Order Division for claiming. Secondly, it tells the accessioner how to assign further copies as they are received. Because each copy may have its own routing or assignment, the accessioner must know which copies have already been received in order to properly assign the remaining copies.

### All copies received at the same time:

1. Write issue designation only on overrider.

1984

### All copies not received at the same time:

1. Write in the number received to the right of the issue designation on the overrider.

1984<sup>14</sup> (24 copies to be received)

When additional copies are received, change number to reflect current total.

1984<sup>10</sup> (6 additional copies rec'd)

When all required copies have been received erase the number, leaving the issue designation alone.

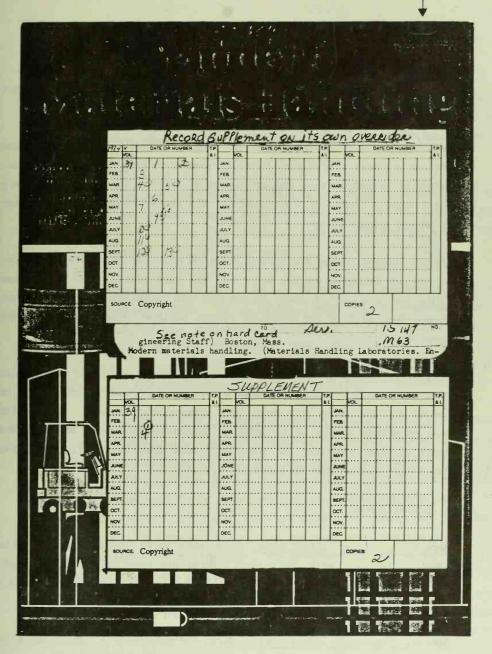
1984 (remaining 4 copies rec'd)

3. Supplements, indexes, and other special issues. Frequently special issues are received which do not fit into the normal numbering scheme of the parent serial. These are handled in various ways according to the type of issue and the overrider used for the parent entry. When these issues are to be checked-in, record on the front of the overrider or on a separate overrider. DO NOT record on the back of the overrider, even though older supplements may have been recorded there. When creating a separate overrider write the word "Supplement", etc. at the top for clarity.

Supplements. Supplements fall into several categories depending on their bibliographic features and publishing status. Some will be recorded with the parent title, but on a separate overrider, while others will require a separate entry. The catalogers will make the determination as to whether a separate entry is needed for all supplements.

If supplements have already been recorded on a separate overrider record the issue in hand on that overrider. (See Example 51.)

If supplements have only been recorded on the back of the overrider, create a separate overrider with "Supplement" written at top. If the holdings for supplements listed on the verso are not extensive the accessioner should transfer the information onto the new overrider and line out the old information. If extensive, the entry should be pulled and sent to the Editorial & Reference Unit.



EXAMPLE 51 : SUPPLEMENT

Whenever a supplement is received for the first time it should be sent to S&VF or the cataloging sections for further investigation.

Indexes. There are various types of indexes. Most indexes index the contents of a particular title, however, some such as <u>Index Medicus</u>, index the contents of many titles and are separate serials in themselves. Only the first type of index is covered here. The latter is treated in the same manner as any other serial.

Annual indexes. When the annual index to a serial is separately issued it may be recorded on the front of the overrider in the box marked "Ind." or "T.P.&I." A check ( ) in this box is sufficient. If for some reason the front of the overrider cannot be used, record on a separate overrider.

<u>Cumulative indexes</u>. These are indexes which cover a span of years, such as a quinquennial index which covers five years. These may or may not be issued by the same publisher that issues the serial. **Cumulative indexes should always be recorded on separate overriders, NEVER on the front of the overrider in the "Ind" box. Specific instructions for cumulative indexes are included in Part II.** 

### Other special issues

<u>Separately issued title pages</u>. Some publishers issue separate title pages to be bound with the volume. These are recorded by checking  $(\checkmark)$  the appropriate box on the front of the weekly, daily, or monthly overrider.

Special issues on particular topics. Occasionally a publisher will dedicate one issue to a specific topic. If the issue is numbered as part of the regular numbering scheme, accession according to normal procedures.

If the issue bears its own title and is unnumbered or is otherwise apart from the parent title, it should be treated as a monograph and placed on the appropriate shelf. In case of doubt, refer issue to reviser or unit supervisor.

4. Recording errors or other notes relating to the issue. If notes must be made relating to the issue, these are recorded on the back of the overrider. An asterisk (\*) is placed next to the designation on the front to indicate the note on the verso.

Notes may be made to explain publication errors, withdrawals, or to explain combined issues. It is critical that they be recorded **neatly** and accurately so that they may be easily interpreted. Record the note giving both the correct and incorrect designation such as "\*Vol. 3, no. 1 incorrectly printed as Vol. 2, no. 1." For all notes be sure to begin the note with the appropriate issue designation.

5. Recording discards. Serial entries bearing treatment decision, DISCARD, or SAMPLE DISCARD, are typed on yellow entry cards. These serials do not receive an overrider. Only the entry card will appear in the serial record. It is desirable, however, to know that a serial is still being issued and received. This is accomplished by indicating the year on the entry card. This indicates that an issue for that year has been received. As subsequent issues for the year are received a check is placed to the right of the year. The frequency of the serial does not matter (i.e., if the serial is a monthly write only the year, not the month and year). No other notation is made until an issue for the next year is received. Thus, it can be determined which serials are no longer being issued or received without actually recording all of the issues. (See Example 52.)

	67.6 PE 11: 94	
	200	
	1981	
67-6 (REV 11:54)		
T ST OF		
1987		
1982	. A journal of new beat writings.	
19830		
		DW 4/14/81
		Keep sets
	No. 1, summer 1980.	CALL NO
	ev	n + inu 162034-1900
	Beatniks from space. (Neither/Nor Press) A	nn Arbor, Mich.
		sets
No. 8, Oct.	1900	NO
	1900	
	1900	
	1900	
	1900	
Bear tracks.	1900	

EXAMPLE 52: RECORDING OF DISCARD ENTRIES

### I.4C. MARKING THE ISSUE

- 1. Periodicals. Periodicals are marked to indicate:
  - a. Copy
  - b. Entry (for shelving by custodian)
  - c. Routing
  - a. Copy. All serial stamps are marked to indicate copy. This may be done with numbers, checks, words, or 0's as appropriate.

### Keep sets, Current issues only, Review before bind.

- Copyright copies. Number each copy as prescribed at bottom of overrider. If additional copies are received put a checkmark in the stamp.
- 2) Purchase copies. Number all purchase copies as prescribed on overrider. Additional copies should be noted on a "Purchase-6 slip" which is inserted in the piece. Place the piece on the Order shelf for forwarding; do not check or treat as a duplicate.
- 3) Exchange and Gift copies. Check all copies unless multiple routings are indicated on the overrider, in which case number as appropriate.
- 4) Extra copies received after volume has been bound. When an extra copy is received and it is evident from the binding record that the volume has been bound, treat the issue as a duplicate; write "DUPL" in stamp and add your initials next to the stamp.

### Discard, Sample Discard

1) Write "DISC" in stamp.

## Send unchecked to ...

1) Write "O" in stamp.

- 2) If serial is stamped "Discard NAL" this indicates that NAL has sent the issue to LC. If the entry card reads "Send unchecked to NAL," DO NOT send it back to them! Write "DISC" in stamp and place on Disc/Dupl. shelf.
- 3) If serial entry reads "Send unchecked to NLM," Prepare a Card Out according to instructions in Part II and forward to Selection Officer for review. Serials are no longer routed to NLM.

### b. Entry

### Keep sets, Current issues only, Review before bind

Serials are shelved by the custodial division according to the complete entry in the serial record file. Thus the accessioner must indicate the appropriate entry and provide any missing parts.

If the serial is established under title the first letter of the title is checked ( $\checkmark$ ). (NOTE: Do not check inital articles or other non-filing characters.)

When issuing body is used as part of the entry that body must appear in full form. If the full form is not present on the cover or title page it is written in above the title. The various elements of the entry are numbered. This includes all units of the issuing body plus the title.

<sup>1</sup>Alabama. <sup>2</sup>Annual report of energy.

United States. <sup>2</sup>Dept. of Transportation. <sup>3</sup>Aviation news.

**NOTE:** This procedure is necessary on Serial Division material only. For periodicals being sent to other custodial divisions the entry is not needed on the title page.

### Discard, Sample discard.

Do not indicate the entry on the piece.

### Send unchecked to ...

Do not indicate the entry unless the serial is being sent uchecked to Serial Division.

### c. Routing

### Keep sets, Current issues only, Review before bind

The routing or assignment is taken from either the overrider or entry card and written in pencil on the cover in the upper right corner of the serial. If the cover is too dark or the writing would be illegible, use the first available page.

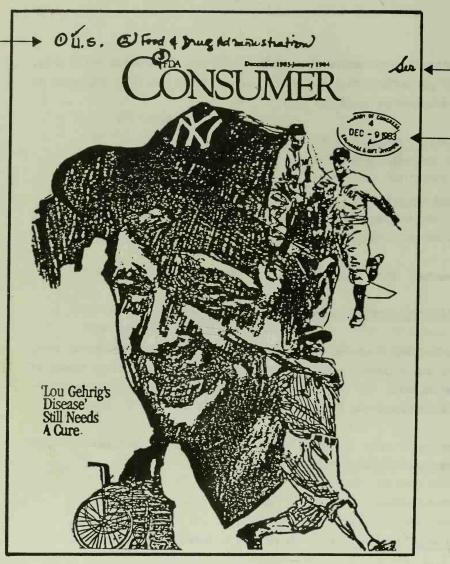
When more than one destination or routing is indicated on the overrider include each, separated by a slash.

### FRD/SER

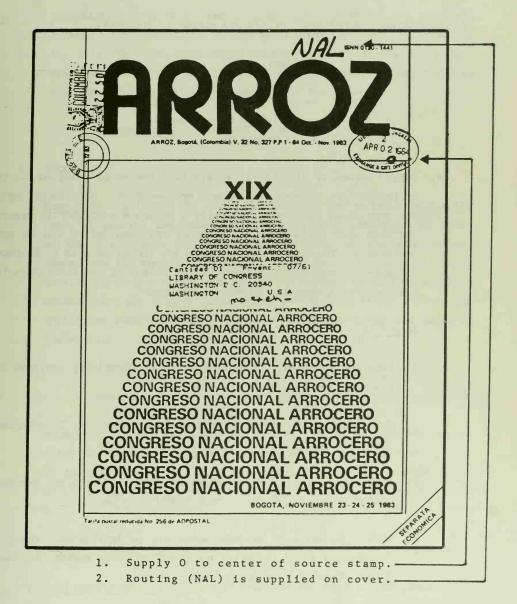
<u>Discard</u>, <u>Sample Discard</u>. No routing is necessary for discards.

<u>Send unchecked to ...</u> Write on the cover the library or division to which the serial is being sent.

NAL



- Stamp checked ( ✓) because source is Exchange and Gift. -
- Entry is under issuing body which is written-in above title and numbered.
- 3. Routing is "Ser.". -



EXAMPLE 54: SEND UNCHECKED TO NAL MATERIAL

- 2. Bind/Label. Bind/Label serials are marked to indicate:
  - a. Copy
  - b. Entry
  - c. Call number, including assignment
  - d. Initials of accessioner
  - e. Routing
  - a. Copy. Copies of Bind/Label serials that are to be retained for the collection are referred to as sets and X-copies. Additional copies are treated as duplicates. Usually a separate overrider is created for each set, while multiple X-copies are combined on one or more overriders. The entry card indicates the number of sets to be kept, while X-copy numbers are found on the bottom of the overrider with the specific assignments listed on the verso.

### Keep sets

 Sets and X-copies. Copy numbers are consecutively assigned and written in the stamps.

For example, 24 copies are received: 2 sets kept, 22 X-copies. These are marked as: 1, 2, X-3, X-4, X-5, etc.

2) Duplicates. Copies which exceed the number of sets and X-copies needed become duplicates. Mark "DUPL" in stamp.

NOTE: Do not mark Purchase materials as duplicates. Insert a "Purchase-6 slip" in serial and place on shelf marked "Purchase 6 Disc./Dups" for return to Order Division.

Discard, Sample Discard. Write "DISC" in stamp.

Exception: If bound volumes or long runs of a serial are received, forward to the Selection Officer and make a Card Out. If, however, the note "Decision from bound volumes" appears on the entry card treat as a discard. This indicates that the Selection Officer does not want to retain even bound volumes of the title.

Send unchecked to ... Place "O" in stamp.

### b. Entry

Keep sets. Indicate the entry, as found on the serial record entry card, by placing two dots (..) under the first letter of the entry on the title page or first available page in the publication. (Never use the cover!) If entered under an issuing body or jurisdiction which does not appear on the title page, write in the information above the title. If the entry is a uniform title, include the qualifying information in parentheses. (Do not number the parts of the entry.)

Title entry: American book directory.

Issuing body entry: Washington Bible College. Catalog

**Note:** It is not necessary to mark the entry on multiple copies after the first copy has been marked, if all copies are being sent to the same location. All copies should be secured together. If multiple copies are to be sent to various locations the entry should be indicated on each copy.

Discard, Sample discard. Do not indicate the entry on the piece.

Send unchecked to ... Do not indicate the entry on the piece.

c. Call number and assignment. The call number is written in the serial whenever a call number appears in the box marked "Call no." (For instructions on processing Bind/Label serials for which no call number has been assigned, see Part II, Other volumes in process). The call number consists of:

-LC Classification number as found on the entry card

-Issue designation

-Set or X-copy designation, except for the 1st

-Assignment (when there is one)

**Note:** Most call numbers are comprised of the above elements; various types of call numbers are also used and are described below.

The call number is written on the verso of the title page in the upper right corner or wherever space is available. The call number should be written clearly and accurately on the piece. Do not write too close to either edge of the publication, allowing 1" from top and side, to meet binding specifications.

Note: The call number should also be added to loose material accompanying a bound volume, such as maps, errata sheets, etc.

### Writing the call number on the piece

 LC classification number. Write in the information as found on the serial record entry card using a separate line for letters and numbers following each decimal point.

HC1743	1743 HD1345				
.C43	.P3A5	.7			
		.N48			

2) Issue designation. Write in the issue designation below the class number as it has been recorded on the overrider, using the language of the piece. Supply words such as "No." when necessary (in English).

HC1743	HD1345	Z633
.C43	.D3	.7
v.3, 1970	Bd. 85	.N48
		No. 8

### 3) Sets and X-copy designations

<u>Sets</u>. The set number is written below the issue designation. The first set is not indicated but assumed.

1st set	2nd set
HC1743	HC1743
.C43	.C43
v.3, 1970	v.3, 1980
	2d set

<u>X-copies</u>. For all X-copies write only "X-copy" in the call number. No numerical designation is given since this is recorded in the stamp.

HC1743
.C43
v.3, 1970
X-copy

4) Assignments. Not all serials will have assignments; for those that do it will be recorded as the last element of the call number. (The information is found after the copy number on the verso of the overrider.) The assignment consists of the curently approved office symbol and should be checked against the current list when necessary. Whenever a serial has an assignment a special assignment slip is inserted in the piece with the correct symbol for the office or division written in the "Assign to" space.

The complete call number with assignment will appear as:

HC1743	HC1743	HC1743
.C43	.C43	.C43
v.3, 1970	v.3, 1970	v.3, 1970
MRR Alc	2d Set	х-сору
	SSRR	Law Ref

When there is no assignment the call number will end with the set or X-copy designation, or the issue designation for 1st sets.

HD1345	HD1345
.P3A45	.P3A45
Bd. 85	Bd. 85
	2d set

### Other types of call numbers

Minimal level cataloging. Call numbers are assigned which serve as shelf numbers. These are assigned in the Serial Record Division rather than the Subject Cataloging Division and apply only to those publications chosen for minimal level cataloging. The third part of the call number is the issue designation and is assigned by the accessioner. The appropriate custodial division designation is included as the last element of the call number.

WMLC L	WMLC L
82/67	82/291
1976	36th, 1984
Ser	Ser

2) Law materials. Serials in the category Law for which a full LC call number is not available are given a call number consisting of words, numbers and dates. The issue designation is the last element of the call number and is supplied by the accessioner. These appear as:

LAW	LAW
IVORY COAST	FRANCE
6	2
*(1982-)	(1983-)
1984	1984

(\*This date is part of the call number, not a designation date.)

d. Initials. The accessioner writes his/her initials on the verso of the title page, or whatever page has been used to record the call number, in the upper left corner.

### e. Routing

### Keep sets, Current issues only, Review before bind

Unbound serials Write 'Bind/Label' in pencil on the title page in the upper right corner and place on appropriate shelf.

**Bound serials** Do not mark but place on designated shelf for transfer to Binding Division.

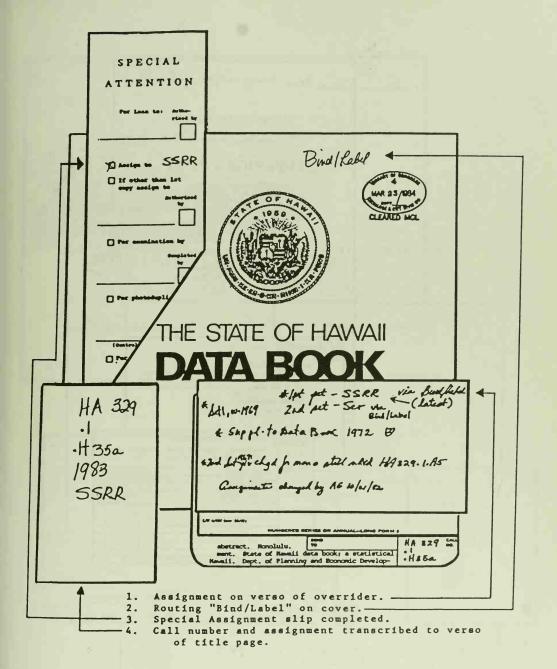
### Discards, Sample Discard

No routing or assignment applies to discards; place on designated shelf.

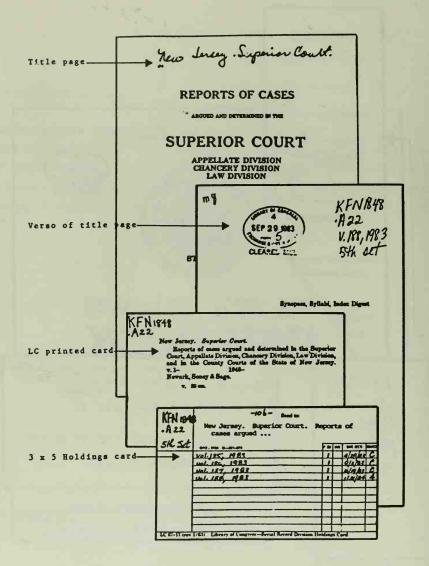
### Send unchecked to ...

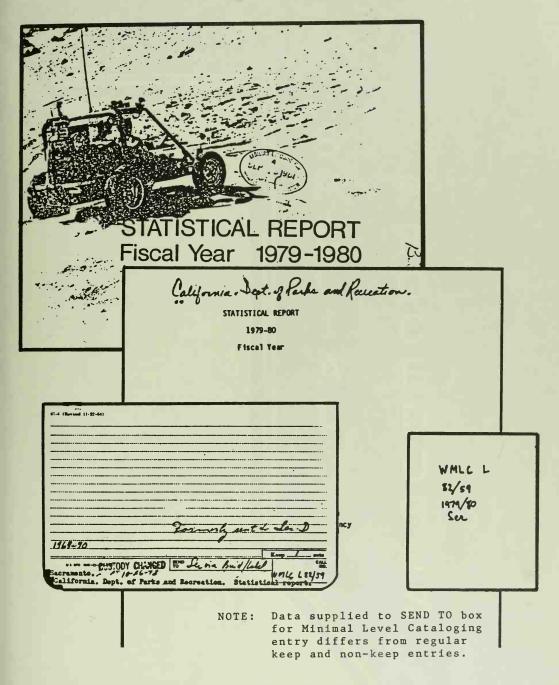
Unbound serials Write destination on cover.

**Bound serials** Write "Send unchecked to (destination)" on waste card and insert. **NOTE:** This rarely occurs and should be investigated by the unit head; therefore, forward the publication to the unit head before placing on the designated shelf.

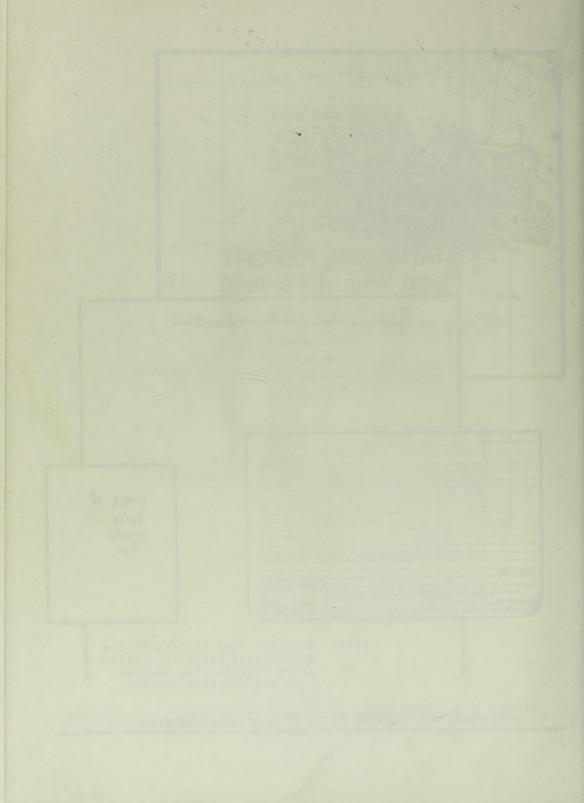


EXAMPLE 55: ACCESSIONING PROCEDURES - BIND LABEL





EXAMPLE 57: MINIMAL LEVEL CATALOGING PROCEDURES



Part II describes procedures for problems, changes, and special types of materials. Various methods are used for processing these materials. In some cases the accessioner is able to recognize and solve the problem. In other cases the piece is referred to other staff members in the Division for further investigation.

### CARD OUTS AND CARD OUT SLIPS

The basic question encountered when processing problem material is when and how to prepare a **Card Out**. In general, a Card Out is prepared when a serial record entry is removed from the file. (See chart on p. 124.)

The Card Out, completed by the accessioner, provides bibliographic information similar to the serial record entry card. This entry prevents further accessioning of issues while a change or problem is being investigated.

Separate Card Outs are used for the visible and 3x5 files. (See Examples 58A and 58B.)

Card Outs are prepared for the situations listed in Columns B and C on the chart. For specific instructions, please refer to the chapter or section which covers each particular problem or change. Those situations listed in Column A do not require a Card Out because only one person is involved in processing the material.

Depending on the situation, the accessioner either pulls the entry and replaces it with the Card Out or places the Card Out in the piece. In either case the piece is forwarded to another staff member who will countersign the Card Out (i.e., he/she crosses out the surname of the accessioner and adds his/her own surname and date to the Card Out).

If further issues are received while the Card Out remains in the file they are not to be accessioned and are forwarded to the countersigner. This is done by filling out a Card Out Slip, inserting it in the piece, and placing the

piece on the designated shelf. (See Example 58C.)

Instructions follow for preparation of a visible file or 3x5 Card Out and the Card Out Slip.

# Methods for Handling Problems and Changes

The	problem	
γ.	pr	
necessary	handles	
is	ner	0)
OUT	ssion	change
CARD	accessioner	or cl
A		

B Prepare CARD OUT. File; send serial record entry to the appropriate destination.

C Prepare CARD OUT. Do not remove entry from file. Insert CARD OUT in piece; send to appropriate destination.

Title or heading change

Numbering error on publication

Transferring 3 x 5 entries to the Visible File

Major change in designation

Duplicate entries in file

Frequency change

Numbering change that does not involve a title change

Cumulative index to be added to catalog record

Office symbol change

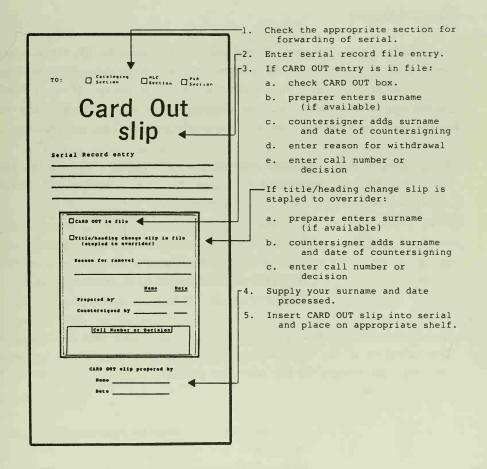
Selection decision question needing review by the Selection Officer (i.e., long runs of DISCARD material)

Supply your surname. Give reason for withdrawal of card. .2. -3. Supply date of preparation of card. 67-47 (1/71) GPO: 1984 - 0-436-103 Card withdrawn by: Key 2 sets CARD OUT 4. Keep material = supply call number and number of sets retained. Non-keep material = supply selection decision (e.g., CIO, RBB, etc.). -5. Supply complete entry and place of publication.

EXAMPLE 58A: COMPLETED CARD OUT (VISIBLE FILE)

Supply complete entry and place of -1. publication. Keep material = supply call number - 2. and number of sets retained. Non-keep material = supply decision (e.g., CIO, RBB, etc.) Give reason for withdrawal of card. Supply date of preparation of card and your surname. CARD OUT guarterly. London Imprint date .... Card number .... Call number BX 6276. A1B32 Keep 1 set Purpose of removal Title change Date 4/26/83 Name\_ Smith LW 14/84 (rev 4/81)

EXAMPLE 58B : COMPLETED CARD OUT ( 3 x 5 File)



EXAMPLE 58C: CARD OUT SLIP

### II.1 CHANGES

### Types of Changes

One of the more interesting and challenging aspects of serial work is keeping up with changes. Changes can originate with the publisher (e.g., a title change), or with the Library, (e.g., a change of call number). Regardless of the nature of the change, it is important that the serial record entry be kept up-to-date for accurate retrieval of information.

The following list represents changes that occur within the serial itself. The accessioner is responsible for recognizing these changes and taking the appropriate action when any one of them occurs.

Title
Issuing body or Heading
Designation or numbering
Frequency

Changes that originate within the Library are not usually the initial responsibility of the accessioner. It is his/her duty, however, to interpret the changes on the card for accurate processing. Some of these changes include:

Assignments and/or custodial responsibility Call number Retention decisions Series treatment

### II.LA. TITLE OR HEADING CHANGES

### 1. Title

Any change in the wording of a the title, including the order of words, is considered as a potential title change.

NOTE: If the title appears in more than one language on the serial and the first named title is not the one used for the entry, refer serial to your reviser for a possible cross reference. Do not treat as a title change, unless the title used for the entry no longer appears on the piece.

In Example 59 note the difference in the title on the serial record entry card and the title that appears on the piece. Although the first three words, "Sweet's catalog file" are still the same, the words that follow this portion of the title are different. Depending on the way the piece has been cataloged this may or may not constitute a title change. The accessioner should, therefore, refer this piece to the appropriate unit for investigation or change. It is not the duty of the accessioner to make this determination.

### 2. Heading

Changes in the heading may involve:

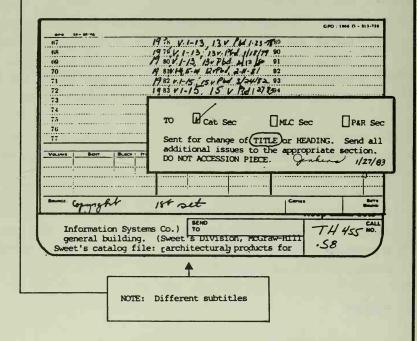
A change in the name of a body,

or

A new body being responsible for the publication

# Sweet's Catalog

→ Products for **General Building** and Renovation





Sweet's Division | McGraw-Hill Information Systems Company 1221 Avenue of the Americas, N.Y., N.Y. 10020



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Annual Statement TCONCESSIONS in the California State Park System 1981-82 fiscal year



State of California -- The Resources Agency Department of Parks and Recreation Office of Economic and Fiscal Affairs P.O. Box 2390, Sacramento 95811 PETE DANGERMOND, JR., Director Department of Parks and Recreation ELIO FREITAS, Chief Office of Economic and Fiscal Affairs

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69				80				91		1		
70		••••••	· · • • • • • • • • • • • • • • • • • •	81				92	70 G	Cat	Sec	MIC Sec PAR Sec
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10 72 AR	1111111	11-91		9.4	•••••	•••••		96	Sent for	r cha	nge o	f TITLE OF HEADING Send all
10:45	HUML-9			8.5		••••••		96				to the appropriate section.
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Ca	lifornia.	Corte	cesai	ons Di	vision.	Ann	al s	tateme	atl. Col	280		

EXAMPLE 60: HEADING CHANGE

A change in heading is noted only when the body has been used in the serial record entry. This includes the following types of entry:

Issuing body. Title
Title (Qualified by issuing body) - green cards only

In example 60 the issuing body on the entry card is: California. Concessions Division. The issuing body on the piece is: State of California — The Resources Agency, Department of Parks and Recreation, Office of Economic and Fiscal Affairs. This is an obvious candidate for a heading change and should be forwarded to the appropriate area for investigation.

To determine who will receive a serial in question, the accessioner examines the entry.

Send to Cataloging Sections if: Keep ... sets

Send to P&R (S&VF Unit) if:

Current issues only
Review before bind
Discard or Sample discard
Send unchecked to ...

## 3. To process title or heading changes:

- a. Do not accession the piece in hand. If there is an assignment, place a completed Special Attention Slip with the assignment in the piece.
- b. In pencil prepare a Card Out. Following the general instructions on Pages 126-127.

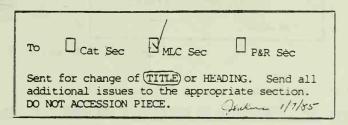
- c. Staple a Title/Heading change slip to the top overrider at the center. Supply surname and date.
- d. Attach a New serial slip (NS slip) to inside cover of piece. Write "Title change" or "Heading change" at bottom of slip. Supply your surname and the date at top. (For instructions see Example 61.)
- e. Insert completed Card Out in the piece. Place on designated shelf.

# 4. Title/Heading Change Slip

This slip is stapled to the first overrider for the entry when a title or heading change is suspected. A Card Out is prepared and inserted in serial. The change slip alerts file users of the possible change prior to filing of the Card Out in the serial record. It also indicates the unit or section to which the problem serial was sent.

# To complete:

- a. Check appropriate box according to selection decision.
- b. Circle the appropriate word for the change in hand (i.e., TITLE or HEADING).
- c. Supply surname and date of processing.



			Fold over back
	NEM SERIVE	E1/2/21 770	Supply your surnar
Newspaper	NEW SERIAL Series Recommendation	Ott 11/13	and date in the appropriate corne
GRR Lav Mule	Eur P. & P Science G. & M.	Arian Afr./ME Him Sel. Off.	
Ecop Rotain:	d for the Library acts Berior be	fore blad _ Sample	
	Bock combers (  By eacheage or gift  Processing  SR   8x5		
	SR   3x5     Rec.   Contact 	Division	
Decimal Classif.  E & G. Division  Order Division	Music Dur, R Micrel Law L Asien	E. one E.E. Array	
Binding Office  Code:	Afr./N	v. Sample 	
Assign classed bound vol	Arian   Afr/ME		◆ Supply either TITLE
L® 1/64 (rev 11/03)	He char	nge	CHANGE OR HEADING CHANGE AT BOTTOM OF OVERTIGER.

EXAMPLE 61 : NEW SERIAL SLIP WITH REQUEST FOR CHANGE

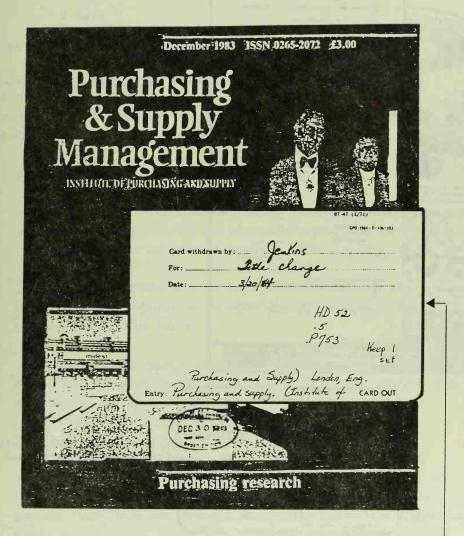
# 5. Title or Heading Changes - Complete Process.

Because title and/or heading changes are the most commonly encountered types of changes it is important that the accessioner understand the entire change process. The steps involved in processing title or heading changes are listed below. (Steps performed by the accessioner are preceded by an asterisk (\*).

- \*1. Accessioner identifies potential change on the piece in hand.
  Piece is not accessioned.
- \*2. Accessioner prepares Card Out; inserts and places it in the serial.

  An NS slip is attached inside back cover. The serial is forwarded to the appropriate section by placing it on designated shelf.
- \*3. Accessioner staples a Title/Heading Change Slip to the top overrider of the serial record entry. The entry is not pulled.
  - 4. The person receiving the piece examines it:
    - a. The title or heading change requires a new entry:
      - He/she crosses out the accessioner's name on the Card Out and countersigns and dates card.
      - 2) The serial record entry is pulled and replaced by the Card Out.
      - 3) A new record is created:
        - (a) If "keep" material a new record containing a linking note is created on the OCLC data base; the serial package is forwarded to the Maintenance Unit for

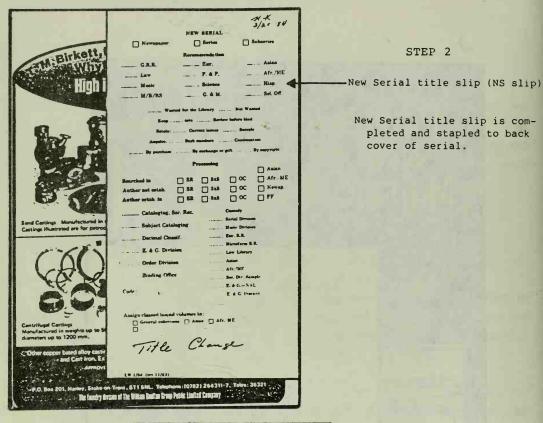
- preparation of the serial record entry card and accessioning.
- (b) If "non-keep" material title is cataloged by the S&VF Cataloging Unit, a new serial record entry card is created, and the piece is accessioned.
- b. The title or heading change does not require a new entry:
  - 1) Serial is returned for accessioning.
  - 2) Cataloger removes slip from serial record entry.
  - 3) Accessioner adds "Record of permanent holdings" overrider to entry. Rejected title, date, and surname of cataloger are added. Further variations rejected as title changes will also be added to this overrider.
- \*5. If later issues are received while the piece is being processed, the accessioner prepares a Card Out Slip and forwards the issue to the appropriate person. The piece is not accessioned.
- 6. After the new entry card is created by either S&VF or the Maintenance Unit, it is filed in the serial record. All future issues bearing the new title or issuing body will be checked-in on that entry.
- 7. Linking notes on both old and new entries refer the searcher to the earlier or later title or heading entry.

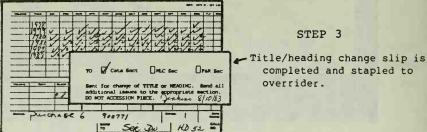


STEP 1

Card Out prepared and attached to serial.\_

EXAMPLE 62A: TITLE CHANGE





#### REVIEW

Steps to follow for title/heading changes include:

and Supply) London, Eng. inchesing and supply. (Institute of Purch

- 1. Card Out prepared and attached to serial.
- New Serial title slip completed with change statement and stapled to back of serial cover.
- Title/heading change slip stapled to serial record file entry overrider.

#### EXAMPLE 62B: TITLE CHANGE

#### II.1B. CHANGES AND INCONSISTENCIES IN NUMBERING OR DATE DESIGNATIONS

A number or date designation found on a serial issue which deviates from the established pattern on the entry may be the result of one of the following:

# 1. Titles are the same but entry does not match the piece

Remember that many serials have similar or identical titles. A number which does not fall into the set pattern is a good clue that the entry found may not be for the piece in hand.

If this occurs check the entire entry against the serial in hand by comparing publishing information and ISSN. Search for other entries in the file which may fit the serial.

If you question the appropriateness of an entry - do not accession the piece. Refer to your reviser or unit supervisor. If no entry is found which matches the piece in hand, place the issue in Search.

# 2. Publisher's error or inconsistencies

Occasionally publishers print an incorrect number or date on a serial issue. This is often easily determined by examining the overrider. If previous issues have been recently recorded the appropriate designation should become obvious.

For example, an issue is received bearing the designation, Vol. 53, no. 5, June 1983. The last issue recorded on the overrider is: Vol. 53, no. 5, May 1983. The frequency is monthly. The accessioner determines the appropriate designation to be Vol. 53, no. 6, June 1983.

When this occurs the correct designation is recorded and asterisked. A note is added to the verso of the overrider: (See Example 63.)

\*Vol. 53, no. 6, June 1983 incorrectly printed as Vol. 53, no. 5

# 3. Change in numbering and/or date

Designation changes may occur apart from any other change in a serial. When this occurs look for some type of explanation in the editorial or introductory pages of the serial.

Minor changes. Minor changes in designation are those which do not significantly alter the original designation.

For example, letters or dates added to or dropped from a number, while the numbering is continued consecutively.

37, 38, A-39, A-40, etc. 84-534, 84-535, 536, 537, etc.

For minor changes the accessioner must determine whether the same overrider is appropriate to the new numbering scheme. If so, the new number is added and asterisked and a note is added to the verso of the overrider to explain the change. If the new numbering will not easily fit on the same overrider, a new overrider is made by the accessioner with the note added.

\*A-39, letter added to nos. with this issue

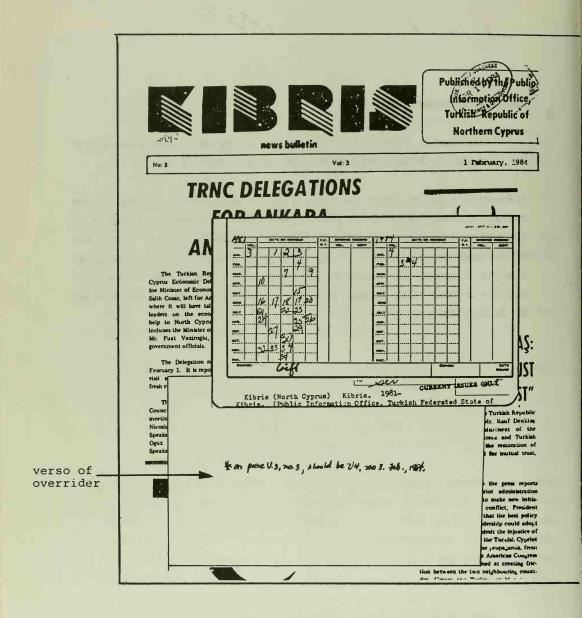
Major changes. Major changes in designation are those which significantly alter or completely change the original numbering/date scheme.

Examples of major changes are:

Consecutive numbering is changed to volume and number designation

Numbering is dropped leaving date as only designation

Frequently a major change in designation signals another change in the serial (although this is not always the case). This may be a change in frequency or a change in the status of the serial itself, such as a merger with another title. In any case where a major change in designation is detected, the accessioner should refer the issue to the catalogers or S&VF Unit, as appropriate. Follow the procedures for a title or heading change.



EXAMPLE 63: PUBLISHER'S ERROR IN NUMBERING

#### II.1C. FREQUENCY CHANGES

It is the responsibility of the accessioner to identify and process frequency changes. These changes should be identified at the time the issue is recorded in the serial record.

When a frequency change is identified the accessioner:

- Determines whether issues with the new frequency may be recorded on the same overrider or a new one is necessary. (For guidance see the "Assignment of overriders by frequency" chart, Appendix A.1.)
- 2. Makes note of the change and any numbering changes by asterisking the issue recorded on the front of the overrider and adding a note to the verso:

\*Jan.-Feb. 1983, No. 1, with this issue frequency changed from monthly to bimonthly.

Although frequency information is provided on the MARC record for the serial title, this information is not updated when a change occurs. There is no need to prepare a Card Out or forward the issue to the catalogers.

NOTE: Occasionally a periodical is issued with an annual summary or cumulation. This does not constitute a change in frequency, however, a separate entry is required for the annual. If the entry for the annual is not located in the serial record send to Search as a new title.

#### II.1D. OTHER CHANGES

#### 1. Assignments and Office symbols

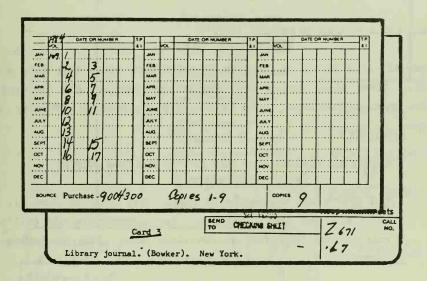
Assignments for a serial are found on the verso of the overrider. Current office symbols are used for each assignment. When the assignment changes (i.e., a new location is designated to receive the issue), the Editorial and Reference Unit is notified of the change and the serial record entry is updated by E&R to reflect this change. The accessioner notes the new designation and routes the serial to the new destination. The same procedure applies to routing changes. (See Example 64.)

Occasionally an LC office symbol is changed to reflect a change in the name of the office or division. When this occurs, it is not possible to make all the necessary changes to the serial record files. It is the responsibility of the accessioner to keep abreast of these changes and make the necessary office symbol changes to the records as they are encountered.

A current listing of LC office symbols is published annually in the LC Telephone Directory.

## 2. Retention Decisions

The Selection Officer is responsible for determining when a retention decision change is needed. If change is warranted, the Selection Officer notifies E&R of change and E&R processes the request. It is the responsibility of the accessioner to interpret the card correctly and process the serial according to the new selection decision.



EXAMPLE 64: ROUTING CHANGE

#### II.2 SPECIAL PROBLEMS

#### II.2A. OTHER VOLUMES IN PROCESS (OVIP'S)

In general, this phrase is used to describe keep items that lack a call number. These items cannot be forwarded for labeling and shelving and receive special treatment. An OVIP slip is used to identify these serials.

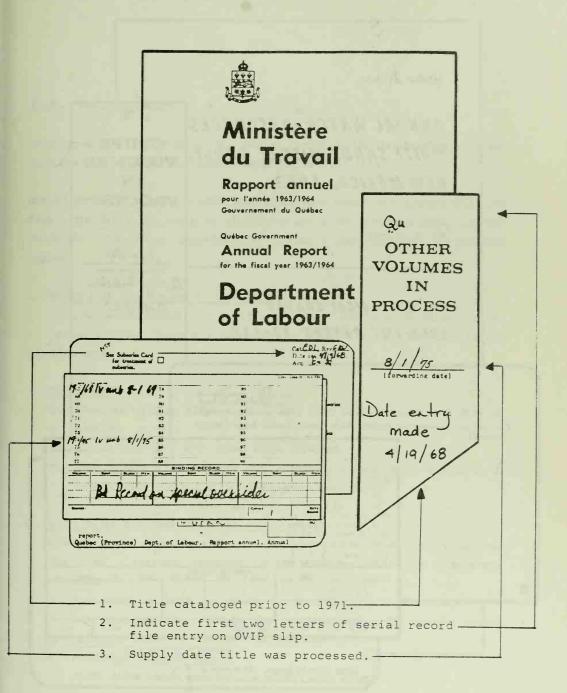
OVIP's are divided into "Old OVIP's" and "New OVIP's"

<u>old OVIP's</u> are those entries established prior to June 14, 1971 which have not been cataloged. When accessioning old OVIP's the entry is not indicated on the title page. (The cataloging date appears on the verso of the entry card) (See Example 65.)

<u>New OVIP's</u> are those cataloged after June 14, 1971. New OVIP's are accessioned according to normal procedures. (See Example 66.)

# To process OVIP's:

- 1. Accession the piece.
  - a. Check in on the overrider.
  - b. Indicate entry on title page if New OVIP.
- Complete OVIP slip.
  - a. Write first two letters of entry at top of slip.
  - b. Write in the day's date on line marked "Forwarding date."
  - c. Write below forwarding date: "Entry made (date from verso of entry card)"
- Insert OVIP slip in piece and place on shelf designated for either Old or New OVIP's.



EXAMPLE 65: OLD OVIP

Hrited States. ANNUAL WATER-RESOURCES OTHER WHITE SANDS MISSILE RANGE, **VOLUMES** NEW MEXICO, 1982 IN **PROCESS** BY R. R. CRUZ U.S. GEOLOGICAL SURVEY OPEN-FILE REPORT 83-695 X Annual water-resources review, White Sands Missile Range (United States) 1700, July har all a fell 100 11/2/82 90 92 THICK . L 1st set Keep ... Sands Missile Bange. Albuquerque, N.M. United States. / Annual water-resources review, White

#### II.2B. PURCHASE ORDERS

Purchase orders are filed in the serial record by the Maintenance Unit and indicate that a serial has been ordered but not yet received.

One of three procedures is used when a purchase order is encountered in the file. The first two apply to situations where the purchase copy has been received. The third explains the procedure for handling non-purchase copies.

# 1. Order slip is attached to blue card

This situation occurs when a title is ordered and no entry exists in the serial record. There are two copies of the order slip attached to the blue card.

# To process:

- a. Indicate on both slips which issues have been received and date of receipt.
- b. Remove blue card with order slips attached.
- c. Insert blue card with one slip attached in publication and place in Search. Place other slip on designated shelf.

# 2. Order slips attached to serial record entry

When an entry already exists for copies received from another source, the order slips are attached to the existing record on a separate overrider.

- a. Pull slips and mark one as above. The other may be discarded.
- b. Set up a separate overrider for purchase copy. Be sure to record the order number at bottom from the order slip.
- c. Accession piece in hand.
- d. Place order slip on designated shelf.

# 3. Purchase order slip is found in file but copy received is non-purchase copy

If the piece received bears a stamp indicating a source other than purchase, the order slip should NOT be pulled. If there is an existing entry in the file, the piece is accessioned on the appropriate over-rider. If there is no existing entry, i.e. purchase order attached to blue card, the piece must be sent to Search.

#### II.2C. SUBSTITUTING COPIES TO FILL SETS

Normally a copy can be checked-in <u>only</u> on the source overrider corresponding to the stamp on the publication. For purchase materials this is <u>always</u> the case. The following procedure is <u>not</u> to be applied to purchase materials. If gift or other source copies are received and the necessary purchase copies have not been received bring to the attention of the unit supervisor so that a claim can be initiated.

When accessioning Bind/Label serials, copies received from E&G may be exchanged with Copyright copies, or vice versa, in order to fill needed sets.

# To fill sets from other sources:

- Accession the piece on the overrider assigned to the source for the set.
   (Not the source of the issue.)
- Asterisk (\*) the designation. On verso of overrider make note: "34th, 1980 rec'd as Gift," etc.
- 3. If the copy is received which normally would have been treated as that set (i.e. the 2nd Copyright copy):
  - a. Treat as a duplicate; or
  - b. Use to fill an X-copy assignment if one is indicated on a non-purchase overrider.

For example, the decision on the serial record entry is "Keep 2 sets" with both sets indicated as Copyright. One Copyright copy has been received and is recorded on the overrider for set 1. A Gift copy is then received and is recorded as the 2nd set on the Copyright overrider. The entry is asterisked and a note is added to the verso of the overrider to indicate the actual source of the copy. There are no X-copies needed, thus, when the 2nd Copyright copy is received it is treated as a Duplicate.

#### II.2D. CHANGING 3X5 ENTRIES TO VISIBLE FILE ENTRIES

The transfer of 3x5 entries and their holdings to the visible file is an ongoing project. In general, all curently received serials with entries in the 3x5 file are transfered to the visible file. Three types of materials are excluded, however, from this procedure: Law, City directories, and discard entries.

# When a periodical is located only in the 3x5:

- 1. Complete a visible file Card Out and file in the visible file. DO NOT make a 3x5 card out. Reason for removal: "transfer holdings to visible file."
- 2. Remove entire entry from 3x5 file.
- 3. Attach waste card with note, "Bring down to visible file."
- 4. Insert cards and note in serial, and place on designated shelf in Editorial and Reference Unit. DO NOT accession the issue.

#### II.2E. DUPLICATE ENTRIES

Occasionally two entries may be found in the serial record for the same title, and these may appear to be duplicates. This may be the case, or there may be a legitimate reason for both entries being in the file. If this situation occurs, examination by a cataloger or more experienced technician is warranted. When duplicate entries are suspected, follow the procedures as listed below.

#### To process:

- Check the entries closely to ascertain whether these entries are possibly duplicates. Check the title, publisher, ISSN, LCN, when available, and all other data and notes on the entry card.
- 2. If they appear to be duplicates prepare two Card Outs. Write "Duplicate entries" as reason for withdrawal of entry from file.
- Pull entries and replace with Cards Out. Give to reviser or place on designated shelf in E&R or S&VF. DO NOT ACCESSION.
- 4. If the reviser or unit supervisor determines that the entries are duplicates, these will be forwarded to the Editorial and Reference Unit (keep material) or S&VF Cataloging Unit (non-keep material) for further processing. (Note: If one of the entries has a keep decision both entries will be forwarded to E&R.)

#### II.2F. BOUND VOLUMES

Bound serials received by the accessioner are accessioned according to the Bind/Label procedures outlined in Part 1.

When the routing is Bind/Label (i.e., the title is an annual or other frequency for which there are no unbound issues), the piece is accessioned and sent to the shelves after labeling. No further action is necessary.

When periodicals are bound by LC they are recorded on the binding record by the Editorial and Reference Unit. A slip called a "binding quad" is used to notify E&R of the bound issue.

When a bound issue is received from an outside source (e.g., publisher, overseas office, etc.), the accessioner processes the piece according to Bind/Label procedures. The custodian is notified of the receipt of the bound volume so that unbound issues may be discarded. A notification card (67-23) is completed by the accessioner for this purpose. (See Example 67.)

# To process bound volumes received from outside sources:

Keep decision on entry:

If needed for collection,

- 1. Accession piece according to Bind/Label procedures.
- 2. Complete notification card and place in designated box.
  Non-keep decision on entry:
  - 1. If decision is Discard or CIO discard the piece.
  - 2. If decision is RBB (Review before bind):
    - a. Pull entry and replace with a completed Card Out. Reason for withdrawal: "Withdrawn for reselection."
    - b. Complete reselection slip and the notification card Insert in piece with entry and forward to Selection Officer.
    - c. DO NOT accession the piece.

EXAMPLE 67 : PUBLISHER'S BIND NOTIFICATION CARDS

#### II.3 SPECIAL TYPES OF MATERIALS

#### II.3A. LIBRARY OF CONGRESS PUBLICATIONS

## 1. Background.

Library of Congress publications are unique because they are collected, or classified, in more than one place. Some copies are classed by subject, and others are collected in a special Z663 class.

Since Aug. 1980 it has been LC policy to retain five copies of all LC publications. They are treated as follows:

Regular Copy	Set	Classification	Assignment
1-2	1st-2nd	Call number	shelf via Bind/Label
3	lst	Z663	Shelf via Bind/Label
4	2nd	Z663	Rare Bk
5	3rd	Z663	MRR Alc via Bind/Label

Prior to Aug 1980 six copies of all LC publications were retained for the general collections. This information is reflected on entries which were prepared before 1980. Today, copy and set assignments remain virtually the same but copy 4 is no longer assigned. Copies are designated as follows:

Сору	Set	Classification	Assignment
1-2	1st-2nd	Call number	shelf via Bind/Label
3	lst	Z663	shelf via Bind/Label
4	Not filled		
5	3rd	Z663	Rare Bk
6	4th	Z663	MRR Alc via Bind/Label

NOTE: The call numbers and set designations are indicated on a binding record which accompanies the entry.

#### Operational

In addition to the above publications, certain operational documents are also collected for the LC collection. These include manuals, instructions, etc. used for internal processing. Two copies of operational documents are retained and treated as follows:

Сору	Set	Classification	Assignment
1	lst	By subject	shelf via Bind/Label
2	lst	Z663	Rare bk

#### Accessioning.

When accessioning LC publications destined for Bind/Label, the accessioner pays special attention to the assignment of sets and call numbers. (Note: Special instructions for Rare Books must be followed when accessioning the copy with that assignment.)

- a. Marking the stamp.
  - Assign consecutive copy numbers according to the number indicated on the overrider.
  - 2) If X-copies are also assigned add "X-" before the copy number in the stamp.
- b. Call number.
  - 1) Supply the call number as indicated for each copy.
  - 2) Add the appropriate issue designation.
  - 3) Assign the set designation, beginning with the lst, for each different call number. Remember, the "lst set" is not written in the call number, but assumed.
  - 4) Add the appropriate assignment symbol.
  - 5) Be sure to follow the special Rare Book instructions for copy 4 or 5, as indicated on the overrider.

The following examples show the designation of copy number, set number, and call number for LC publications, showing the 5 and 6 copy patterns.

# EXAMPLE OF 5 COPY PATTERN

Title: Newspapers in microform.

Copies received: 6

Sets kept: 5

Issue being recorded: 1983

Copy (as written in stamp)	Set (as indicated in call no.)	Assignments and/ or Routing	Call number
1	lst (not written)	Bind/Label	Z6945 •U515a 1983
2	2nd	Bind/Label	Z6945 .U515a 1983 2d set
3	lst (not written)	Bind/Label	Z663 •733 •N47 1983
4	2nd	Rare Bk	Z663 .733 .N47 1983 2d set Rare Bk
5	3rd	MRR Alc via Bind/ Label	Z663 .733 .N47 1983 3rd set MRR Alc
X-6	Х-сору	MRR Ref Desk via Bind/ Label	Z663 .733 .N47 1983 X-copy MRR Ref Desk

#### EXAMPLE OF 6 COPY PATTERN

Title: Newspapers received currently in the Library of Congress.

Copies received: 5

Sets kept: 5

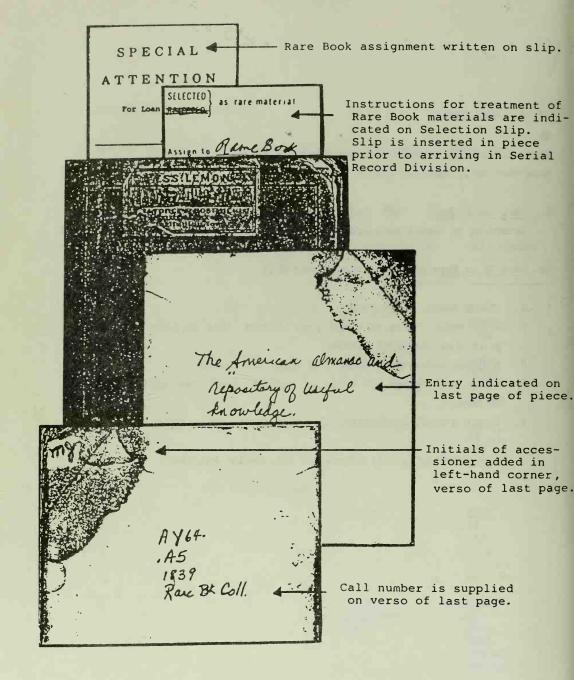
Issue to be recorded: 1983

	Set (as indicated in call number	Assignments and/ or routing	Call number
1	lst (not written)	Bind/Label	Z6945 •U5N42 1983
2	2nd	Bind/Label	Z6945 .U5N42 1983 2d set
3	lst	Bind/Label	Z663 .44 .A42 1983
4	No longer filled		
5	3rd	Rare Bk	2663 •44 •A42 1983 3rd set Rare Bk
6	4th	MRR Alc via Bind/ Label	Z663 .44 .A42 1983 4th set MRR Alc

#### II.3B. RARE BOOKS

Three assignments are used for Rare Book materials: Rare Book Ref., Rare Bk, and Rare Bk. Coll.

- 1. Rare Book Ref. This indicates that the publication is processed according to normal accessioning procedures in Part I.
- 2. Rare Bk or Rare Bk Coll (See Example 68.)
  - a. DO NOT stamp.
  - b. Write entry on top of last page inserial that does not contain any printed or pictorial matter.
  - c. Indicate diacritical marks (..) under the title.
  - d. Write call number on verso of page on which the title has been written.
  - e. Insert a special attention slip with reference assignment written on it.
  - f. Give directly to Unit Supervisor for special handling.



EXAMPLE 68: RARE BOOK MATERIAL

#### II.3C. MATERIALS NOT USUALLY RECORDED IN THE SERIAL RECORD

Certain publications are not recorded in the serial record due to their complexity, lack of value, or various other reasons. Many of these publications are received in Serial Record Division and must be recognized and handled appropriately. The following categories include some publications which must be searched and processed, some which are routed to other divisions, and others which are discarded upon receipt. Careful attention should be paid to the instructions for each type of material.

# 1. Newspapers

A newspaper is a serial publication which is mainly designed to be a primary source of written information on current events connected with public affairs, either local, national and/or international in scope. It primarily contains a broad range of news, and is not limited to any specific subject matter. A newspaper is usually printed on newsprint, and is issued daily or at other short intervals. (Note: Periodicals and other types of serials which are not newspapers may also be issued on newsprint.)

Although a few serial record entries exist for newspapers, they are not processed in the Serial Record Division. All processing of newspapers is done by the custodial divisions housing the newspapers (i.e., Serial Division and European Division.)

A 3x5 title file of newspapers, located above the D section of the visible file, lists titles divided by English and foreign languages. Also available for reference is the publication, Newspapers received currently in the Library of Congress.

# To process publications which appear to be newspapers:

- a. Search the serial.
  - 1) If found process as instructed.
  - 2) If not found, search the newspaper file.
- b. If found in newspaper file send to proper custodial division.
- c. If not found, send to Search.

# 2. Loose-leaf and other updated materials

A loose-leaf publication is one intended to be updated by revisions or change sheets. In general, these publications are hole punched for a ring binder. (See Examples 69-72.)

Loose-leaf publications fall into one of two categories. The first is the basic volume into which updates are added. The second is the update.

The only time a loose-leaf is treated as a serial is when a basic volume is issued on a regular basis, such as annual with updates. In this case the basic volume is recorded in the serial record. The updates are sent unchecked to the custodial division which houses the basic volume, according to instructions on the entry.

# To process loose-leaf materials:

#### Basic volume

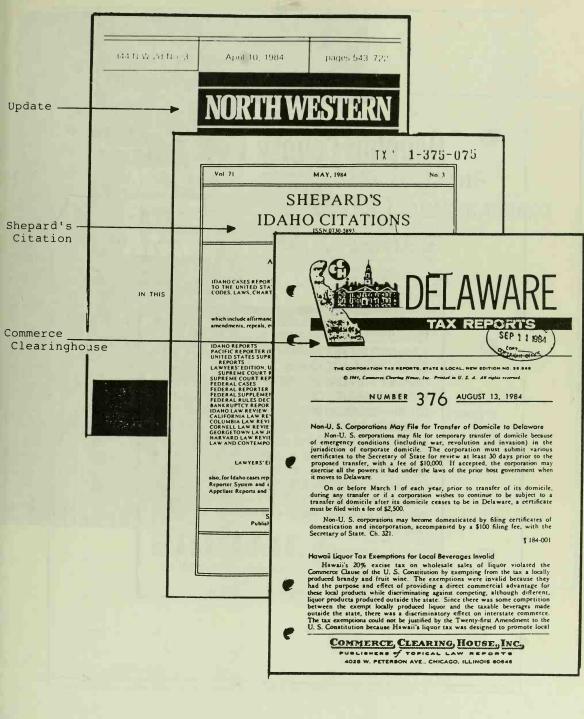
- 1. Search for an entry in the file. If found, accession as instructed.
- 2. If not found:
  - a. If publication appears to be a serial send to Search.
  - b. If publication appears to be a monograph (e.g., no date or numbering appears on piece), place on appropriate monographic shelf.

#### Updates

 Legal updates are <u>not</u> searched in the serial record. Upon receipt they are sent directly to Law Library. These include the following types of publication:

advance sheets
supplements
pocket parts
Shepard's Reporter citations
Commerce Clearing House loose-leaf materials

- Non-legal updates are searched in the file. If found, process as directed.
- 3. If not found place on the Discard shelf.



EXAMPLE 69 : LEGAL LOOSE-LEAF MATERIALS

Continuations Unit: 9004092 Langton, DC 205/0

# ADVANCE SHEET

Pages 179-186

# THE Dauphin County Reporter.

(USPS 810-200)

A WEEKLY JOURNAL CONTAINING THE DECISIONS RENDE 12th JUDICIAL DISTRICT

EPA 1881

No. 4420, Vol. 105

August 24, 1984

No. 17

Entered as 2nd Class Matter, Feb. 16, 1898, at the Post Office at Harrisburg, Pa., under the Act of Congress of March 3, 1879.

DAUPHIN COUNTY COURT

Commonwealth v. Enoch
Dressler v. Ceco Joist Corp., et al. v. Steel Erectors Inc.
183
Bar Association Page
Inside Back Cover

IRA • KEOGH & SIMPLIFIED EMPLOYEE PENSION PLANSPECIALISTS • PIONEER INTEREST CHECKING • INSURED MONEY MARKET DEPOSITACCOUNTS • SUPER NOW ACCOUNTS • CERTIFICATES OF DEPOSIT • 89-DAY REPURCHASE AGREEMENTS • MONEY MARKET CERTIFICATES • CHRISTMAS CLUBS • VACATION SAVINGS • PASSBOOK SAVINGS • DIRECT DEPOSIT ACCOUNTS • STATEMENT SAVINGS • TRANSMATIC ACCOUNTS • MONTHLY INCOME ACCOUNTS • CONSUMER LOANS • MORTGAGE LOANS • HOME IMPROVEMENT LOANS • CONSTRUCTION LOANS • MONEY ORDERS • TRAVELERS CHECKS • 14 CONVENIENT OFFICES • HARRISBURG • YORK • LEBANON • LANCASTER.

EQUAL OPPORTUNITY LENDER



Your Savings Insured to \$100,000.

savings association

EXAMPLE 70 : ADVANCE SHEET

## MINNE: STATUI ANNOT

Annotation Mate Court Rules

Supplemen M.S.A. Pocket Parts fr and Minnesota Rules of Cour For 1984 Laws, see Minnesot

# THE ILLINOIS BUSINESS CORPORATION ACT

ANNOTATED

WITH FORMS

THIRD EDITION

1984 Pocket Part

BY

THE CORPORATION LAW COMMITTEE

OF

THE CHICAGO BAR ASSOCIATION

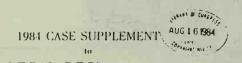
Volume 1

Replacing prior Pocket Part in back of Volume

ST. PAUL, MINN.
WEST PUBLISHING CO.

I III Bus Corp Act 3rd Ed I

EXAMPLE 71 : LEGAL LOOSE-LEAF: Pocket Parts



LEGAL REGION OF THE COMPETITIVE

Cases, Material: on Unfair Business Prac Copyrights an

SECOND EL

Ву

EDMUND W Professor of Law, Univ

> HARVEY S. I Dean, University

Mineola, Ne THE FOUNDATION 1984

## Florida CRIMINAL LAW

and

PROCEDURE Annotated

#### FIFTH EDITION

J. C. Adkins, Jr.

### 1983 SUPPLEMENT FOR USE IN 1984

By The Editorial Staff of The Publisher

Insert in pocket in back of volume



EXAMPLE 72 : LEGAL-LOOSE-LEAF: Supplements

#### 3. Ephemera

Ephemeral materials are those of little or no value to LC which are normally discarded upon receipt. Only materials bearing Copyright or E&G stamps may be treated as ephemera. (Dubious materials received bearing an Order Division stamp may be returned to Order Division for questioning, but should never be discarded upon receipt.)

The following materials are to be treated as ephemera and should be placed unmarked on the DISC/DUPL shelf:

Advertisements, promotional publications, and flyers Bible study guides Conference announcements Crossword puzzle books

Forms

Individual loose-leaf updates which cannot be identified Non-substantial items which do not bear title and/or serial designation

Press releases
Price lists (i.e., not catalogs)
Reprints of single journal articles

NOTE: Materials received from Copyright which bear a red "X" or a "D" are also discarded upon receipt in the same manner as ephemera. (See Example 73.)

All other materials of dubious value which do not fall into one of the categories above should be searched in the serial record and processed according to normal procedures.

#### 4. Comic Books

All comic books are sent unchecked to Chief, Serial Division.



11 1-341-090



1984

### OHIO PROBATE CODE

ANNOTATED

Including the Fiduciary Law, Ohio Estate Tax, and Federal and Ohio Estate Tax Tables

Complete to March 1, 1984

Banks-Baldwin Law Publishing Company

NOTE: Copyright materials bearing an "X" or "D"

are discarded.

EXAMPLE 73 : COPYRIGHT DISCARD MATERIALS

#### 5. College and University Catalogs

This category includes publications listing course curricula, usually for the academic year. Some catalogs cover the entire school; others apply to a specific department, graduate school, etc. This category does not include administrative publications of colleges, nor publications issued by them, such as literary reviews.

The serial record entry for the publication is usually standardized. The entry consists of the name of the institution plus the title 'catalog' with cross references from the actual title when different. This applies to both US and foreign catalogs. (See Example 74.)

Marietta College. Catalog
Bern. Universitat. Catalog

US

Prior to 1975, U.S. college and university catalogs received by LC were treated as keep material, or as non-keep items. Since 1975 the Library has been receiving the microfiche publication of US college and university catalogs published by the National Microfilm Library. This publication eliminated retention of all hard copy editions of these catalogs, with the exception of local schools. Most of the entries in the file bear the note, "Add no more after 1975—retained on microfiche."

The Social Science Reading Room maintains a hard copy collection for the local institutions listed below. All catalogs from these schools, including graduate schools, are to be sent unchecked to Social Science Reading Room. SSRR will discard extra copies.

#### LOCAL SCHOOLS

American University
Bowie State College
Catholic University of America
Charles County Community College
Gallaudet College
George Mason University
George Washington University
Georgetown University
Graduate School (USDA)
Howard University
Marymont College of Virginia
Northern Virginia Community College

Shepherd College
Southeastern University
Towson State University
University of Baltimore
University of Maryland
University of District of Columbia
University of Virginia (Falls
Church Regional Center)
Virginia Tech (Northern Virginia
Graduate Center)
Weekend College of Notre Dame

Pre-1976 catalogs. Older catalogs received for academic years preceding 1976 must be searched in the serial record. After locating the entry accession the piece when it is a keep entry <u>and</u> the issue is needed to fill a gap in the collection.

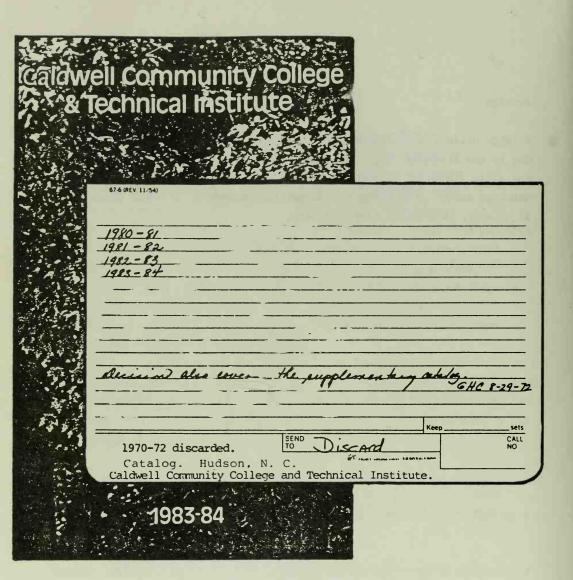
If the entry is non-keep, treat as a Discard.

If the entry is keep but the issue is not needed to fill a gap, treat as a Duplicate.

Current catalogs. Current US catalogs (all those issued after 1976) should not be searched or accessioned. The local catalogs in the list above will be sent unchecked to Social Science Reading Room. All others should be treated as Discards. If a local catalog (one from a college in VA, MD, or DC) is received, which is not on this list, it should be sent to Search and an entry will be prepared for the file giving the proper routing.

#### Foreign

Foreign college and university catalogs may be more difficult to identify due to the different languages. As mentioned above, all entries will bear the title "Catalog" regardless of what appears on the piece. All foreign catalogs are to be searched and accessioned according to normal procedures. If no entry is located, send to Search.



EXAMPLE 74: COLLEGE CATALOG

#### 6. Directories

#### a. U.S. and foreign telephone directories

In general, U.S. or foreign telephone directories issued by a phone company are sent unchecked to the Main Reading Room via the Collections Management Division shelf. This includes only telephone directories issued by phone companies. These directories are retained on a temporary basis and do not become part of the general collections. (See Examples 75 and 76.)

**NOTE:** Purchase-6 directories are searched and processed according to normal processing routines.

#### b. Agency and organization telephone directories

Telephone directories for state/government agencies or organizations are searched in the serial record and processed when an entry is located. If not found, send to Search. (See Example 77.)

#### c. High school/prep school directories

All directories in this category are discarded upon receipt. Mark "DISC" in stamp and place on DISC/DUPL shelf.

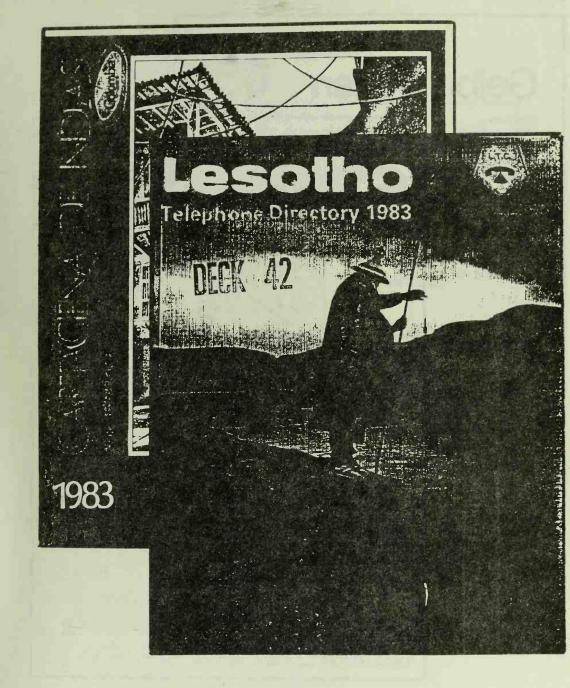
#### d. Criss-cross directories

These are sent directly to Main Reading Room Alcove via Collections Management Division. (See Example 78.)

#### e. Other directories

Other directories are searched and accessioned according to normal procedures. These include:

City/Street address directories (See Example 79.) Social registers or directories (See Example 80.) Business directories (See Example 78.)



EXAMPLE 75 : FOREIGN TELEPHONE DIRECTORIES

## Gelbe Seiten



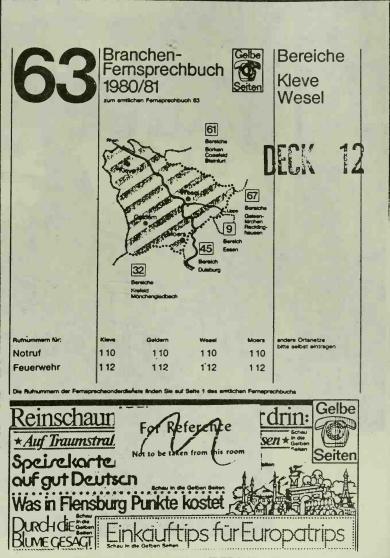
Branchen-Fernsprechbuch

zum amtlichen Fernsprechbuch 63 der Deutschen Bundespost



Ausgabe 1983/84





3 FEB 1983 DEPARTMENT OF HEALTH AND HUMAN SERVICES 200 Independence Avenue, S.W. Washington, D.C. 20201 Phone 655-4000 rectory **FALL 1982** 

EXAMPLE 77: AGENCY TELEPHONE DIRECTORIES





OHIO

# FARM and BUSINESS DIRECTORY 1954

County Information
Buyers Guide Road Guide
Alphabetical Listings
Classified Business Listings
Keyed County Map

A Unique and Complete Guide To The County's Rural Sections

This book includes individual listings of residents in all strictly rural areas of the county but does not cover cities and nearby city population, subdivisions, resort areas or communities with more than twenty-five residences.

Copyright 1954

## RURAL DIRECTORIES, INC.

327 SIXTEENTH STREET
PHONE FA 4732

TOLEDO 2, OHIO

ALL RIGHTS RESERVED

EXAMPLE 78: CRISS CROSS AND BUSINESS DIRECTORY

#### Price & Lee's **FITCHBURG** (WORCESTER COUNTY, MA.) CITY DIRECTORY 197 Your New City Directory contains 4. CONTAI major directories in one volume BUYERS' COMPLETE C BUSINESS DI 1981 Buyers' Guide ALPHABET and Classified OF BUSMESS **COSHOCTON** Business AND PRIVATE Directory (COSHOCTON COUNTY, OHIO) COMPLETE AVENUE GUIO **Alphabetical** CITY DIRECTORY HOUSEHOLDE Directory OCCUPARTS : BUILDINGS A BUSINESS PL locluding TELEPHONE N COSHOCTON COUNTY Street Directory RURAL ROUTE DIRECTORY of Householders TELEPHONE and Businesses DIRECTORY PLUS Numerical USEFUL AND Telephone MFORMATION aty Directory ----AREA CODE 614 COPYRIGHT 1977 THE PRICE & LEE CO PRICE \$65.00 the price A Library Of Out Of Town City Directories Available At Chamber of Commerce R·L·POLK & CO. 6400 Mörroe Boulevard, Box 300 e Teylor, Mich. 46180 Tel. (313) 292-3200

EXAMPLE 79 : CITY DIRECTORIES

1x 1-245-692

Son Angeles
Blue Book

1984 SOCIETY REGISTER

OF SOUTHERN CALIFORNIA

• REGISTERED •

NAMES . ADDRESSES . TELEPHONE NUMBERS

MRS WILLIAM HORD RICHARDSON
EDITOR + PUBLISHER

The Social List
of
Washington, D. C.

The Green Book\*

and Social Precedence in Washington

1983 Edition

Published by Jean Shaw Murray

> Editor Virginia F. Depew

3930 Knowles A. ema. State 302 - Kensington, Md 20895 Telephone 949-7544

Helen Ray Hugner Founder and Publisher 1930-1942 Carolyn Hagner Shaw Publisher 1943-1977

#### II.3D. BILINGUAL SERIALS

Bilingual serials are those which have text in more than one language and, one of the languages is a non-roman alphabet language not handled by Serial Record Division. (ee Example 81.) These include: Chinese, Japanese, Korean, and South Asian languages which are the responsibility of the Asian Division, and Arabic and Persian which are housed in the African and Middle Eastern Division.

Publications exclusively in one of the above languages are not handled by Serial Record Division. If received they are immediately forwarded to the appropriate division for processing.

#### To process a serial which appears to be bilingual:

- Send the serial unchecked to the appropriate division (i.e., Asian or AFR/ME) without searching in the serial record.
  - a. Publications which are recorded in these divisions will not be returned to Serial Record Division.
  - b. Those considered to be bilingual by the custodial division are returned to Serial Record Division for accessioning. These will be returned bearing a "Bilingual slip."
- 2. When a serial is received bearing the "Bilingual slip":
  - a. Search the entry in the file. If found, accession according to normal procedures.
  - b. If not found, send to Search.

BILINGUAL SERIAL

ISSN 0425-4929



## LANGUE ET LITTÉRATURE FRANÇAISES

フランス語フランス文学研究

N° 43

日本フランス語フランス文学会

Société Japonaise de Langue et Littérature Françaises

#### II.3E. PORNOGRAPHIC MATERIALS

Processing of pornographic serials is handled exclusively by the Search and Visible File Cataloging Unit. (In most cases these publications will be routed directly to that unit.)

**Note:** Pornographic serials received by accessioners must be sent to the S&VF Unit for processing.

Pornographic serials are accessioned according to normal procedures. When processing is complete they are forwarded in an envelope to the Serial Division by the Unit Supervisor.

#### II.3F. CUMULATIVE INDEXES

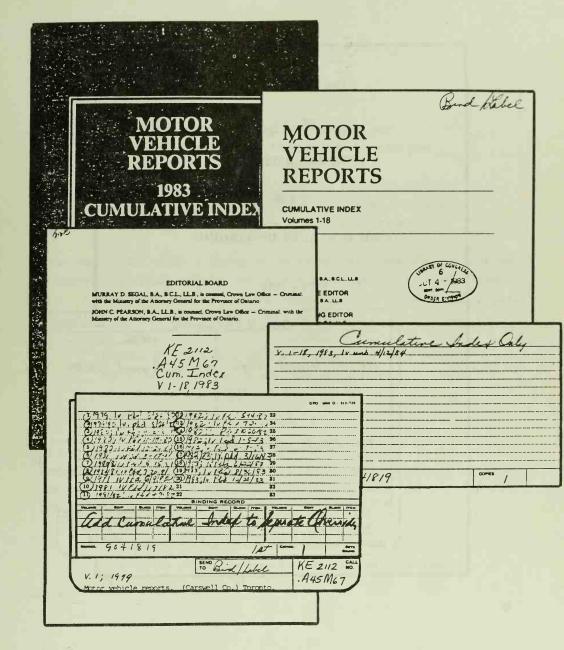
Cumulative indexes cover a span of years, or volumes (e.g., a five-year (quiquennial) index).

The cataloging record bears a note (Field 555 on the OCLC record) which tells the patron which cumulative indexes have been issued. This note must be updated each time a new index is acquired for the first time. This is only necessary on those entries represented by a MARC record (green entry card or yellow with green slash).

Cumulative indexes are accessioned on the entry for the serial they index. A separate overrider is used.

#### To process:

- 1. If record is not green or yellow with green slash:
  - a. Add the cumulative index to the appropriate overrider. Note: A separate overrider should be used to record these indexes. DO NOT record on the back of the overrider.
  - b. Follow normal Bind/Label procedures.
- 2. If record is green or yellow with green slash:
  - a. Prepare a Card Out for copy 1. Supply as reason for entry removal: "Sent to cataloger to add index."
  - b. Pull entry and forward serial and entry to the Cataloging Sections.
  - c. For 2nd or later copies process as appropriate following normal procedures.



NOTE: Separate overrider is used to record the receipt of a cumulative index.

EXAMPLE 82: CUMULATIVE INDEX (volume span)

#### INDEX TO ACTS

OF THE

#### GENERAL ASSEMBLY

OF THE

#### COMMONWEALTH OF VIRGINIA

1976 - 1980

10 67-48 (9/74) Cunulative	Index 400,1175 0-571-773
1960-65; Noopied "130/82	1460-65; 1 v copied "131/83
1976-1980, IV. ptd 2/13/84	
Keep. Sets	
Richmond.  SEE VERST OF CHECKING SERVED  Assembly of the Commonwealth of Virginia.  Virginia. Laws, statutes, etc. Acts of the General  (1213)	

EXAMPLE 83 : CUMULATIVE INDEX (year span)

#### II.3G. SERIES

A series is a group of publications which bear a collective title as well as individual titles for each piece.

In Example 84 'Farm people's health insurance coverage' is an individual title in the series 'Rural development research report." It is number 39 in the series.

Individual titles within a series can be monographs or serials. Usually the presence of personal authors indicates a monographic title. (See Example 84.) A number or date designation appearing with the title is a good indication, however, that the title is a serial.

Example 85 'Fact book of U.S. Agriculture' is a serial title in the series 'Miscellaneous publication.' The date designation '1984' indicates that it is probably an annual publication.

An individual title within a series may also be a series. In this case the larger series is called the <u>main series</u> and the series within it is called the <u>subseries</u>. (Note: a serial within a series, while not a true series itself, is also called a subseries.)

In Example 86, 'Department and Foreign Service series 206' is a series within the larger or main series, 'Department of State publication 9151.'



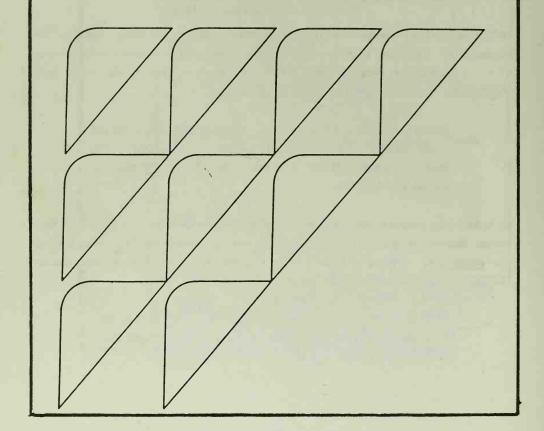
Economic Research Service

Rural Development Research Report Number 39

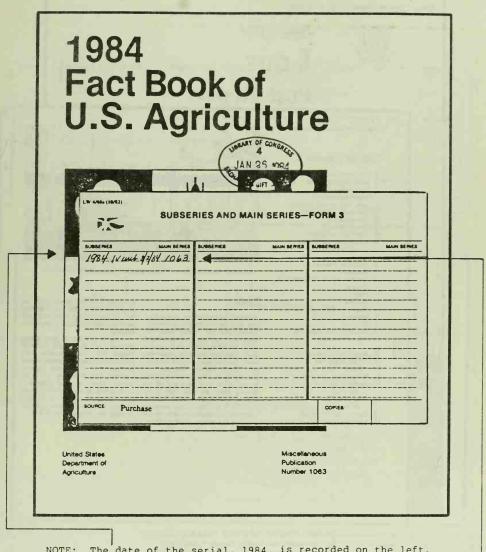
## Farm People's Health Insurance Coverage

Helen H. Jensen





EXAMPLE 84: MONOGRAPH IN A SERIES



NOTE: The date of the serial, 1984, is recorded on the left.

The number of the series, 1063, is recorded on the right.

EXAMPLE 85: CHECK-IN OF A SERIAL WITHIN A SERIES

United States Department of State

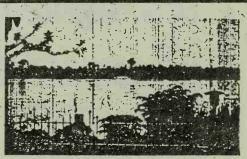


January 1984

## Post Report

#### Table of Contents '.

- Area; Geography, and Clima
- Population Public Institutions Arts, Science, and Education
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- Taxes, Exchange, and Sale
- of Property Recommended Reading
- Local Holidays



#### Preface

only been the Lao People's Democratic Republic since 1975. In the 17th and 18th centuries, it was the Kingdom of Lane Xang, the land of a million elephants. For much of the 19th century the country tras under Thai suzerainty and split into three parts: Luang Prabang, Vientiane, and Champassak. In 1893, France established protectorate over Laos east of the Mekong River; the area west of the

Mekong River became part of Thailand.

After World War II, Laos once again emerged as an independent nation, but was caught up in a struggle which dom Indochina from 1945 to 1975.

December 2, 1975, a group led by the Communist Lao People's Revolutionary Party abolished the Kingdom of Laos and tablished the Lao People's Democratic Republic.

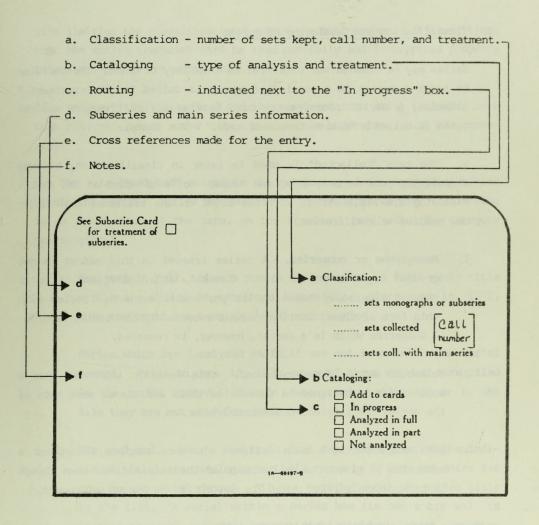
Laos is a notion of great ethnic, linguistic, and geographical diversity. Because of its strategic location, Laos receives a large amount of needed interest and assistance from other assions. Currently, Laos is the only one of in-dochina's three Communist countries in sion. Americans assigned to Embassy Vicatione can expect a stimulating and in teresting, yet confining, tour of duty.

Main series Subseries

DEPARTMENT OF STATE PUBLICATION 9151 Department and Foreign Service Series 206 Foreign Affairs Information Management Center Publishing Services Division

Revised January 1984

For sale by the Superintendent of Documents U.S. Government Printing Office Washington, D.C. 20402



#### 1. Classification and Cataloging of Series

Series may be classed and cataloged in a variety of ways. The decision to treat a series in a particular manner is called "Series treatment." Likewise, a serial record entry card bearing series treatment on the verso is called a "Series treatment card." (See Example 87.)

- a. The term "Collected" is used to refer to classification, because classifying publications under one number 'collects' them on the shelf. Following are explanations of terms found on the treatment card under the heading 'classification.'
- Monographs or subseries. A series treated in this manner is not grouped together on the shelf. Instead, each individual title is classed separately based on its particular subject. Series with this form of classification are not recorded in the serial record. A subseries which is a serial, however, is recorded.
- 2) Collected set or Sets collected. This decision indicates that a call number is assigned to the series title and one or more sets of the series are retained under that number.
- 3) Sets collected with main series. In more complex situations a subseries is given the call number of the main series, even though it is separately filed under its own title in the serial record.

Note: It is currently LC policy to collect a series only in one place. All issues of a subseries or serial within a series may be collected under their own call number, OR, they may be collected with the main series. These serials should not be collected in both places. Because this is a fairly recent policy you will find many series, particularly US federal documents, bearing two or three call numbers on the right hand side of the verso of the treatment card. In most cases a note should appear on the

left limiting the classification to only one call number. It is critical that the entire treatment card be read carefully and interpreted properly before the pieces are accessioned.

If a series title is received and the treatment card still indicates more than one call number refer entry to the unit supervisor. DO NOT accession.

- b. The term "Analyzed" refers to the cataloging of the individual titles within the series. Series may be treated in one of three ways. Following is an explanation of the terms on the treatment card under the category 'cataloging.'
  - Analyzed in full. When a series is 'analyzed in full' every title within the series is cataloged separately. Since June 14, 1971, this treatment has been applied to most series.

Series which are 'analyzed in full' are not recorded in the serial record. Prior to June 14, 1971, many of these entries were filed in the serial record. Though these entries still appear in the file they are not used for check-in.

- 2) Not analyzed. When a series is 'not analyzed' none of the individual titles are cataloged nor will there be a separate entry for them in the serial record. All are recorded under the series title in the file. A serial within a series has its own entry and is treated as 'not analyzed' because the individual issues cannot be cataloged separately.
- 3) Analyzed in part. When a series is 'analyzed in part' some of the titles within the series are given full cataloging and are listed in the shelflist; the remaining titles are not analyzed and are recorded in the serial record. Series are analyzed in part for two

reasons: 1) only some of the title warrant full cataloging or 2) some of the titles are serials and need to be separately listed.

Since 1971 this decision can only apply to U.S. federal documents and some foreign publications. Series which are analyzed in part are screened by selecting officials. Those rejected for analysis should be sent to Serial Record Division. These must bear a reject slip (pink for documents; orange for other publications). (See Example 88.)

Because publications in these series are treated in two ways it is important that this be noted on the treatment card. The note "Other issues may be recorded in shelflist" must appear on any card bearing the decision 'analyzed in part.' This will alert searchers that holdings may appear elsewhere. If the note does not appear refer the piece and entry card to the Editorial and Reference Unit.

All series publications which are 'analyzed in part' are treated as Bind/Label serials and routed to Bind/Label. Because some numbers in the series are cataloged and bound individually it would be difficult to bind the remaining numbers. It was decided, therefore, that all analyzed in part series should be sent to Bind/Label for separate binding. Again, if this is not the routing which is indicated on the treatment card the piece with entry inserted should be referred to the Editorial and Reference Unit. (Note: in many cases the Bind/Label routing will be found in a note on the left hand side of the card.)

Below are the possible combinations of classification and cataloging treatment for series. Only numbers 3 and 4 apply to series currently accessioned in the serial record.

- Not collected. Analyzed in full. Every publication in the series is classed separately and cataloged. These are not in the serial record. Most non-document series are treated in this manner.
- 2) Collected set. Analyzed in full. Every publication in the series is given a series call number but each title is cataloged. Only pre-1971 entries appear in the serial record. These are not checked-in despite the possible presence of an entry.
- 3) Collected set. Analyzed in part. All publications in the series are classed together but only some of the titles are cataloged. Those not cataloged are checked-in in the serial record. The routing is always Bind/Label, and a note on the entry card refers to other possible holdings in the shelflist. Many document series fall into this category.
- 4) Collected set. Not analyzed. All publications in the series are classed together and checked-in under the series title. The only entry is the serial record entry for the series title.

#### 2. Receipt of series

Accessioners should remember that most series are <u>not</u> recorded in the serial record. Since 1971 most series are analyzed in full.

Many series publications are received in Serial Record Division, however, and must be properly forwarded. Non-Federal series which do not bear an orange reject for analysis slip are sent to the Descriptive Cataloging or Shared Cataloging Division. Documents received without the pink rejected for analysis slip are returned to Exchange & Gift Division.

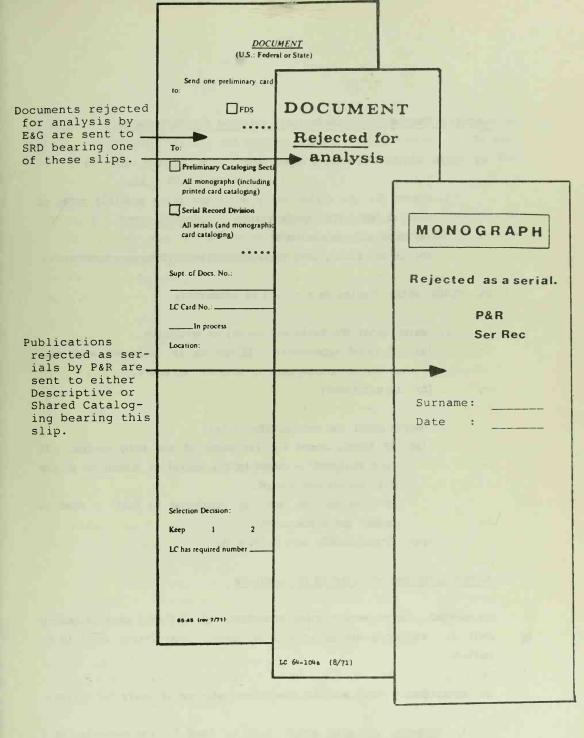
Appendix F lists the various countries and specific languages which are cataloged in the Shared Cataloging Division. When a series is received which has been published in one of these countries or languages, the publication is placed on the Shared Cataloging shelf.

All other non-Federal document series are placed on the monograph shelf for forwarding to the Descriptive Cataloging Division. This includes state documents.

When placing series on either the monograph or Shared Cataloging shelves, supply your surname to a "Monograph" slip and insert slip in piece. (See Example 88.)

NOTE: If the individual title in the series appears to be a serial, rather than a monograph, it should be searched and processed according to normal serial procedures.

Series received bearing the rejected for analysis slip are searched and accessioned according to the following procedures.



EXAMPLE 88: REJECTION SLIPS FOR MONOGRAPH TREATMENT

#### 3. Locating the entry for series with rejected for analysis slip

- a. Title within series is a monograph:
  - Search for the series entry under the three possible types of entry as described in Part I.
    - (a) If found, accession.
    - (b) If not found, send to Search following normal procedures.
- b. Title within series is a serial or subseries:
  - 1) Search under the title for serial or subseries.
    - (a) If found, accession. If series is collected with main series accession also on main series entry.
    - (b) If not found:
  - 2) Search under the main series title.
    - (a) If found, check the treatment of the main series. If 'not analyzed' - check in the serial or subseries on the main series entry card.
      - If 'analyzed in part' or 'analyzed in full' send to Search for a new entry.
    - (b) If not found, send to Search.

#### 4. Accessioning series rejected for analysis

In general, normal accessioning procedures are followed as outlined in Part I. Following are additional procedures/instructions specific to series.

- a. Overriders. There are two overriders used specifically for series.
  - 1) Subseries and main series—form 3. Used for the subseries or a

serial within a series. The number of the subseries or serial, binding status, and date of accessioning are given on the left (for Bind/Label.) The main series number is given on the right. (See Example 85.)

2) Numbered series or annual—long form 1 and 2. This form is used for most other series when only one designation is to be recorded. (See Example A, B, etc.)

- b. Record the number/date designation. It is important when dealing with series to identify the proper designation to be recorded. (This is also critical when adding the designation to the call number.)
  - When recording a straight series the number of the series is circled on the overrider (with numbers exceeding 100 indicated at top.)

In Example 84, the designation 'no. 39' is recorded by circling '39' on the overrider.

When more than one designation appears on the piece in the case of subseries or serials within series the designation must be carefully determined. If there are separate entries for each title the appropriate designation must be recorded on each.

In Example 85, the series maybe recorded twice, first under the serial title, 'Fact book of U.S. agriculture'; secondly under the series 'Miscellaneous publication,' (depending on the treatment of this series). The serial entry is recorded on the Subseries and Main series overrider (shown in example); both '1984' and 'No. 1063' are recorded on the overrider. The main series entry is marked by circling the appropriate number ('No. 1063') on the numbered series or annual overrider (not shown).

3) Occasionally a serial issued within a series retains the same series number from issue to issue, or is published as a supplement to a number in the series. When this occurs record the number of the series and all serial issues within it on a separate overrider. If appropriate add a heading at the top of the overrider, e.g., Supplements, Revised editions, etc. In Example 89, the main series is NBS Special Publication. The serial title, Publications of the National Bureau of Standards, Catalog is issued each year as a numbered supplement to the original publication which is no. 305 in the main series. Each catalog bears the same series number, no. 305, plus consecutive supplement numbers. In order to record the supplements a separate overrider is necessary. Supplements and no. '305' are written at top and the supplements are recorded as received. The no. 305 on the main series overrider is asterisked and a note is added to the verso of the overrider referring to the separate overrider. (NOTE: The supplements should NOT be recorded on the verso of the main series overrider.)

NBS Special Publication 305 Supplement 14 Publications of the National Bureau of Standards 1982 Catalog

Rebecca J. Morehouse, Editor

Technical Information and Publications Division National Bureau of Standards Washington, DC 20234

	IRREGULAR	
Ac. 305 Suppl. 13	1 1 med 2/2/83 + 1 ment 3/2/84	
		*
ounce: Purchase		COPIES

National Bureau of Standards Ernest Ambier, Director

NOTE: Each catalog is issued as a supplement to no. 305 in the series. The supplements are recorded on a separate overrider.

EXAMPLE 89: CHECK-IN OF SERIES NUMBERS WHICH REMAIN CONSTANT

- c. Marking the piece. As with normal serials, series are divided into two groups. Some are sent to a custodial division and treated in the same manner as periodicals; others are sent to Bind/Label. (Remember, all 'analyzed in part' series are sent to Bind/Label.)
  - Copy designation and entry are indicated according to the instructions in Part I. The number of sets assigned is indicated on the treatment card verso as "lst-2d sets collected", "lst-2d sets coll. with main series", etc. (See examples on following pages.)
  - 2) Routing for a series is found to the right of the In progress box on verso of the the treatment card. If the routing has been changed it should be crossed out and the new routing written in to the left. Remember that a change in routing from a custodial division to Bind/Label will change the way the copy is assigned and entry indicated on the piece, as described in Part I.4.
- d. Assigning the call number. For all series with a Bind/Label routing a call number is assigned.
  - 1) If no call number appears on the treatment card follow procedures for Other volumes in progress (II.2A.).
  - 2) If more than one call number is indicated for current assignment, refer the piece to the unit supervisor (unless it is an LC series.)

Call numbers are assigned to the piece according to the treatment card and the appropriate designation must be added.

Sets collected. This line always refers to the title which appears
on the entry card. When sets are collected under that title the
designation for the title is used in the call number.

- 2) <u>Sets coll. with main series</u>. If the sets are to be classified with the main series then the designation of the main series is used in the call number, NOT the designation of the serial or subseries within it. In example 85, "No. 1063' is used in the call number, not 1984.
- 3) <u>Series number repeated</u>. When the issues of a serial within a series retain the same series number add also the designation for each issue to the call number.

In example 89, "No. 305, Suppl. 14" is added to call number.

# 5. Add to cards

a. This treatment refers to pieces recorded only in the shelflist. When this box is checked a waste card is stamped "Add to cards" and inserted in the piece. The piece is sent to Subject Cataloging Division, Shelflisting Section. If shelflisting does not wish to record the holdings on the shelflist card it may be returned with a request to change to "In progress." At this point the holdings will be recorded on the serial record entry and the pieces will be sent to the custodial division or Bind/Label. (These duties are performed by the Editorial and Reference Unit.)

To process: 1. Stamp a waste card "Add to cards."

2. Place on appropriate shelf.

# 6. Series Examples

Series treatment cards are often covered with notes and difficult to read. At first glance some may even seem incomprehensible! The notes are the result of policy changes which have been explained in this section. If one keeps the basic concepts of series in mind the series treatment card will become much clearer.

When looking at the following examples, and others in the file remember the following policies which relate to current treatment of series.

- 1. In general, series can only be collected under one call number.
- Series which are analyzed in part are routed to Bind/Label and bear a note referring to other issues in the shelflist.

- Not all subseries will be found listed on the main series card due to a shortage of space.
- 4. A series which is analyzed in full or treated as 'Monographs' (not collected) is not currently accessioned in the serial record, but old entries may still appear in the file.

# EXAMPLE 90.

Series. Collected. Analyzed in part.

Entry: Research note PSW.

This is an entry for a series comprised of monographs. Note that the treatment is 'analyzed in part.' From the overrider you can see that numbers 51, 56, 58, 61 and 62 were not checked-in. Because this is an analyzed in part series these were probably cataloged and recorded in the shelflist. However, there is no note to indicate that other issues may appear in the shelflist.

Also note that the routing is Serial Division and not Bind/Label. Thus, when the next issue is received this series should be referred to Editorial and Reference Unit for the addition of the note and a change of routing. Bind/Label.

for treatment of Date Rev. 1-2-20	
Classification:	
	onographs or subscries
sets col	llected SD 11
sets col	ll. with main series
Cataloging:	
Add to car	rds ,
In progress ☐ Analyzed i	s to Ser
☑ Analyzed i	n part
□ Not analy:	zed
U.S. GOVERNMENT PRINTING OFFICE 1973 O - 497-143	

_			30	0+						GPO ;	1968 0 - 5	513-728
	34			45					56			
	35			46					(67) / E	-1982,1V.	int 7/	12/83
	86			(47)	198 761	J 11 mm	1.9.2	. 81	58			
	87				Tec. "				(59) Per	982 Irm	162	5-13
	38			49	man 19	21	• ,		O Pec.	1978:114	ul 5	-11-13
-	39			(50)	May 19	81, 1ra	yk 10	-15.8	161			,
-	40			51					(62 Lunk	11953; Jr.	ungin	5-30-84
-	41			62						1983, /4.	inl-11.	-21-83
-	42			(53)	June 19					·····		
-	43				Sept, 1							
-	44			(53)		ING RE		7. 82	66			
-	VOLUME	SOT	BLOCK   17	TEM II VOL		SDIT	BLOCK	ITEM !	VOLUME !	SENT	BLOCK	ITEM
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	Berkele	y, Calif.	·			- ev		16	1034-1900			
	Station	, Forest	Servic	ce, U.	S. Der	ot. of	Agri	.cult	ure) -			
K	Research	note PSW.	. (Pac	CILIC	South	vest F	orest	anc	Range	Experim	ent	

# EXAMPLE 91.

Series. Collected. Analyzed in part.

Entry: U.S. Forest Products Laboratory, Madison, Wis. U.S.D.A. Forest Service research paper FPL.

Here is an example of a properly annotated entry. Since the treatment is 'analyzed in part' a note appears on the left referring to other issues in the shelflist and the routing has been changed from Ser to Bind/Label.

Note that this series also includes at least one serial title which is listed as the subseries for cross reference purposes.

Title varies:  X Its U.S. Forest Service research paper FPL.  Subseries: U.S. Forest Products Laboratory, Madison Wis. Southern wood density survey; status report.	Suly Cal. Dec. Shelf then Classification: Cond  Classification: TS 801  DON, Fald sets collected  . U 493  sets coll. with main series
Analysed issue Juril also be recorded in shellisted sent to Bud flatel Check all serie	Cataloging:  Mdd to cards  In progress Sex., 20  Analyzed in full  Analyzed in part  Not analyzed
U.S. GOVERNMENT PRINTING OFFICE	13 (-13)

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EXAMPLE 91

# EXAMPLE 92.

Series. Collected. Not analyzed.

Entry: LC science tracer bullet.

This is also a series, however, it is a 'not analyzed' series. Even though each issue bears an individual title none are cataloged.

Note that the holdings on the overrider are not complete. Because the holdings are <u>only</u> recorded here it can be assumed that those issues were <u>not</u> received.

The routing is Serial Division. Issues are treated in a similar manner to periodicals for purposes of marking the entry, etc.

Note also that there are <u>two</u> call numbers under which the series is collected. This is permissible because this is an LC publication. However, since the routing is Serial Division the accessioner will not have to assign the sets or call numbers. This will be done by Serial Division when enough issues have been received to be bound.

See Subseries Card for treatment of Date Rev. See Subseries.  Cat. MM Rev. VAI Date Rev. See Subseries Card Acq.  AT 10-	3-17
	Classification:
1st-2d Sets Z7401. L14 3rd-5xh Sets Z663. 41. L14	sets monographs or subscries
Sed-5th Sets Z663.41. L14	sets collected
	sets coll. with main series
	Cataloging:
Control of the Contro	Add to cards
	Add to cards In progress
	Analyzed in full
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Technology Division, Li LC science tracer bullet.	brary of Congr	ess) Was Section S	cience a	and )

# EXAMPLE 93.

Serial within a series. Collected. Not analyzed.

Entry: U.S. Bureau of Labor Statistics. Area wage survey, Washington, D.C., MD, VA. metropolitan area.

This is a serial title within the main series, United States. Bureau of Labor Statistics. Bulletin. (See also example G). Because it is a serial the treatment must be 'not analyzed.'

Of special interest here is the classification treatment. Note that previously the serial title was collected with the main series, as well as on its own. The note on the left changes this treatment. Now only 2 sets are kept and both are collected with the subseries (or serial) call number. This issue is checked in on this entry only and <u>not</u> on the entry for the main series.

Note also that the routing has been changed from GPRR to Serial and this is also found on the left side in the notes area.

See Subseries Card for treatment of subseries.  Cat CPW REV CPW Dats rev. 2/1/64	Classification:
Main series: Its Bulletin.  Beginning with 1701+ of main series, 15++2d 0  sero collected only as subseries. HD 4976 .W3 A37.  La progress to Ser D.  EK & 4/11/72	sets monographs or subseries  HD 4976  3d-4th sets collected W3A37  kt2Msets coll, with main series  HD 805/  Cataloging: A62  Add to cards  In progress GPRR  Analyzed in full  Analyzed in part  Not analyzed

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# EXAMPLE 94.

Serial within a series. Collected. Not analyzed.

Entry: United States. National Bureau of Standards. <u>Publications of the National Bureau of Standards</u>, Catalog.

This is a serial within the main series, United States. National Bureau of Standards. Special publication (See example 6).

This series differs from Example 4 because it is collected only with the main series. Thus, it will also be checked-in on the entry for the main series as well as on this entry. The designation for the main series will be used in the call number.

Not See Subseries Card for treatment of subseries.  Cat. MM. For your for the subseries Card pate from 2-11-61 for the subseries.	5-29-81
Main series: United States. Bureau of Standards. Special publication.	Classification:
	sets monographs or subseries
	sets collected
	pt-22 sets coll, with main series
	Cataloging: QC 100
	Cataloging:  Add to cards  In progress Auditure  Analyzed in full  Apalyzed in part  Not analyzed
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# EXAMPLE 95.

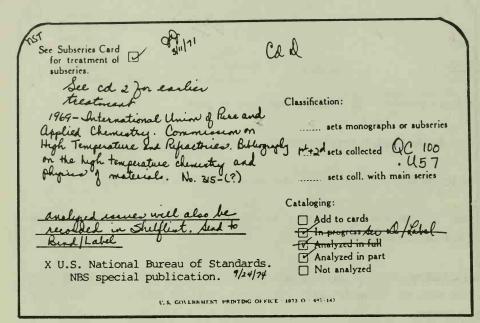
Series (main series). Collected. Analyzed in part.

Entry: U.S. National Bureau of Standards. Special publication.

Here is the main series entry for the serial title in Example E.

Note that the cataloging treatment is analyzed in part, since some of the titles are cataloged separately.

The call number here is written to the right of the sets collected line since the sets are collected with the main series and this is the entry for the main series.



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# EXAMPLE 96.

Series (main series). Sets monographs. Analyzed in part.

Entry: U.S. Bureau of Labor Statistics. Bulletin.

This is the main series entry of which Example D is a subseries. This is a good example of a complex series treatment card!

Note that the treatment, prior to #1701 was Collected set. Analyzed in full. This corresponds with the original classification treatment on Example D where prior to #1701 2 sets were collected with the main series.

After carefully reading <u>all</u> the notes on the card one can determine that no sets are currently collected for this title. Instead, subseries titles are cataloged and classified separately. Thus the treatment 'Monographs.'

The treatment 'analyzed in part' corresponds with the note at left excepting older subseries from the 'Sets mono' treatment. This would seem to indicate that some series are still being classed with the main series. However, the note in lower right corner clarifies this. No publications after #1701 are recorded with the main series. Thus, no issues will be currently checked in on this entry.

This type of series treatment card is very confusing and difficult to properly interpret. Before recording a series on any card where you are unsure of the current treatment, refer the problem to your reviser or unit supervisor.

Rev
Classification:
sets monographs or subseries  [A-12] sets collected HD805[
A62
Cataloging:  Add to cards  In progress SarD
Analyzed in full  Analyzed in part  Not analyzed
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# II.3H MICROFORMS

Microforms are issued as microfiche, microfilm, microcard, ultrafiche, etc. There are two types of microform: original and reproduction.

Original microforms are those issued only in this format. These are usually computer generated or COM (computer output microfiche).

Reproduction microforms, usually microfilm, are those which originated in paper form.

At present accessioning is not done from the microform itself. In most cases microforms are sent directly to the appropriate custodial division, (i.e., Microform Reading Room, Law Library, etc.). It is the duty of the custodial divisions to notify SRD of the holdings so the entry maybe updated by E&R staff.

When microforms are received in SRD (most come from Copyright), they should be placed on the shelf designated "Outgoing microforms" without searching in the file. These are picked up by Microform Reading Room for processing.

Holdings are sent to Serial Record Division from the custodial divisions in the form of listings, memorandums, or snapouts. These holdings are added to the existing record. When no entry is found in the Serial Record the title is referred to Search and Visible File Cataloging Unit.

Microform holdings exist in the 3x5 file and the visible file. Microform holdings in the 3x5 file are recorded on a separate holdings card marked "MICROFORM HOLDINGS". This entry card follows regular holdings cards for the entry.

In the visible file microform holdings appear on either a separate entry or overrider following the entry for the paper edition. In these cases the serial title is followed by [MICROFORM] to distinguish the two entries.

Microform holdings are accessioned according to the procedures on the following pages.

# VISIBLE FILE ENTRY

(The following accessioning procedures are normally performed by the Editorial and Reference Unit)

# One entry for both paper and microform; microform holdings on separate overrider

- 1. Record holdings on "Record of permanent holdings" overrider.
- In blue pencil write custodial division control number at top of overrider and word "MICROFORM" at bottom.

MicRR control # 03527 LL control # LL-02121

- Record volume numbers, dates of coverage, number of reels, fiche, etc., and date accessioned.
- 4. If there is also a binding record with holdings from the same volumes make notes on each sheet.

"See also Microform holdings"

"See also Binding Record"

# Separate serial record entry for microform

- Record holdings on "Binding Record" overrider, giving same information as above.
- Control number will be written in call number box and is not necessary on overrider.

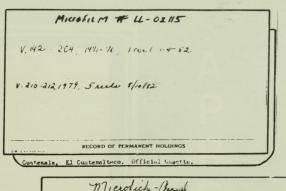
# 3x5 FILE ENTRY

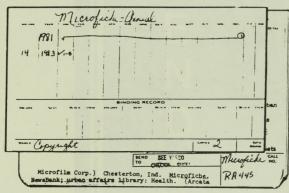
- 1. Type a separate card with "MICROFORM HOLDINGS" in upper right corner and control number in upper left corner.
- 2. Number card and add note to 1st card in set:

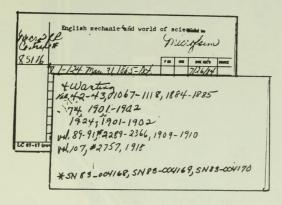
"Microform holdings on card no. ..."

3. Record holdings as specified above.

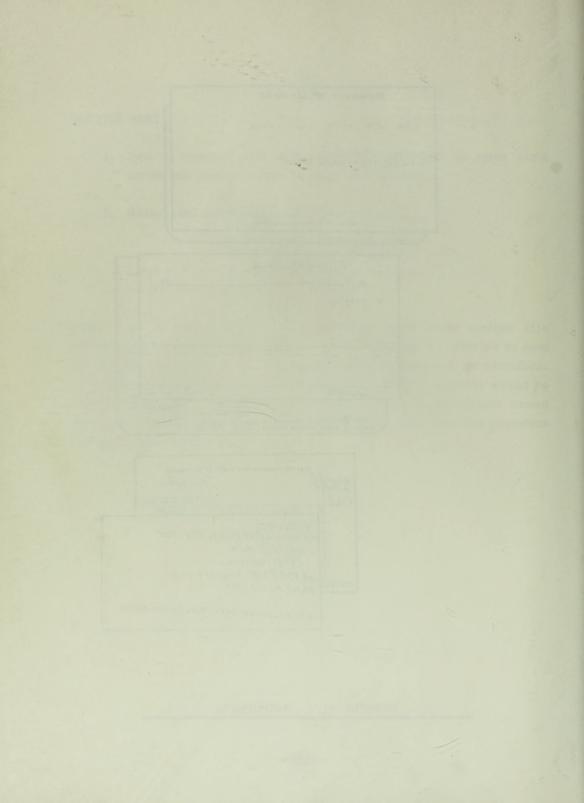
NOTE: The difference in types of microform entry inthe visible file reflects changes in cataloging policy. It is currently the practice to keep all holdings on one entry, not to create a separate entry for the microform. If a separate microform entry already exists in the file holdings should be recorded on it. If an entry exists only for the paper edition record holdings on that entry, creating a separate overrider for the microform holdings when necessary.







EXAMPLE 97 : MICROFORMS



APPENDICES

APPENDIX A: ACCESSIONING-AT-A-GLANCE

# Assignment and Completion of Overriders by Frequency APPENDIX A.1:

SEAGLE OVERSESS	Issue to be recorded: No. 21, 1983 (pts. 1, 2)		1850e to be recorded: 1982-83, no. 5  NUMBERED SENES ON ANNUAL-LONG FORM 1  1
DISTRUCTIONS	- Circle number or write "v" for volume before appropriate nurber on overrider.  - Record date of serial after number.  - Indicate one volume received.  EXCEPTION: If issued in more than one volume or part indicate number received (update entry if necessary).  - Indicate binding status as follows:  pd = publisher's binding ub = urbound  - Record date of accessioning.	- Use "Long Porm 2." - Add "19" before last two digits of date Pollow steps 3 through 5 in first example.	- Pollow instructions for Annual Indicate year span when given on serial Pollow steps 3 through 5 in first exemple.
OVERSIDER	Nutbernd series or Arnual	Numbered series or Armal	Numbered series or Annal
PRECUENCY	- 531 -	Unumbered	Biennial numbered (issued every two years)

FREGUENCY	OVERRIDER	INSTRUCTIONS	SAPLE OVEREIDERS
Biernial unnumered	Irregular	- Indicate date of serial Indicate number of volumes Indicate binding status as follows: pd = publisher's binding unb = unbound - Record date of accessioning.	1880e to be recorded: 1983 (3v.)  1979, 2v., p.bd., 3/R80  1981, 2v., p.bd., 41/81
Bironthly nurtered (issue every two months)	Monthly	- Record mumber in box for first month and draw line through next months.	Issue to be recorded: Vol. 23, no. 1, January - Pebruary 1983  BROWTHLY  WOUNG TAM AM RE MA AM AM AM BET OCT 12 184
Bimonthly universed	Monthly	- Check (V) box for first month and draw line through next box.	Issue to be recorded: March/April 1983
Biweekly	нееклу	- Either date or number may be used depending on how the overrider is marked. The designation not used is crossed out.  - Por NMERSS: write issue number in appropriate how by month, and draw line through next box.  - Por DMTES: write in date of first week and draw line through next box.	Vol. 18, no. 4, February 6 - 17, 1984  January 1 - 14, 1984  Vol. 18, no. 4, February 6 - 17, 1984  January 1 - 14, 1984   WEELLY  THE FORM THE CONTROL OF T

APPENDIX A.1 (continued)

SAPLE OVERLIDERS	Issue to be recorded: Vol. 3, no. 5, January 6, 1984.    2025   Vol.   2   2   2   2   2   2   2   2   2	Issue to be recorded: April 3, 1984  DALY  MAN  MAN  MAN  MAN  MAN  MAN  MAN  MA	Issue to be recorded: Vol. 3, no. 3, March 1984	Issue to be recorded: May 1984
INSTRUCTIONS	- Write year above month column. - Write appropriate number in box.	- Oveck (√) appropriate date box.	- Record number in appropriate box.	- Oreck ( √ ) appropriate box.
OVERRIDER	Daily	Daily	Monthly	Monthly
FREQUENCY	Daily numbered Daily	- 233 -	Monthly numbered	Monthly unnurbered

SWELL CONTRIBUESS	Issue to be recorded: Vol. 8, no. 1, January - March 1983  BONTHLY  SOLING WAN AM ME WAN AM WAY AM ME BET GOT HOW 186 17 1 166	 laste to be recorded: January through June 1983	1913. 1913. 1914.		Issues to be recorded: Vol. 54, no. 2, January 9, 1983	WYERLY    1
INSTRUCTIONS	- Pollow guidelines for recording of a Bimonthly serial see Page	- Record in boxes for January and July (or as appropri- ate). Draw line through the	next tive months.	- Pollow guidelines for recording of Biweekly serial see Page	- Record date or number as indi- cated on overrider.	
OVERNIDER	Penthly	Monthly		Meekly	Weekly	
PREQUENCY	Quarterly	Seniannal		Semimonthly	Neekly	

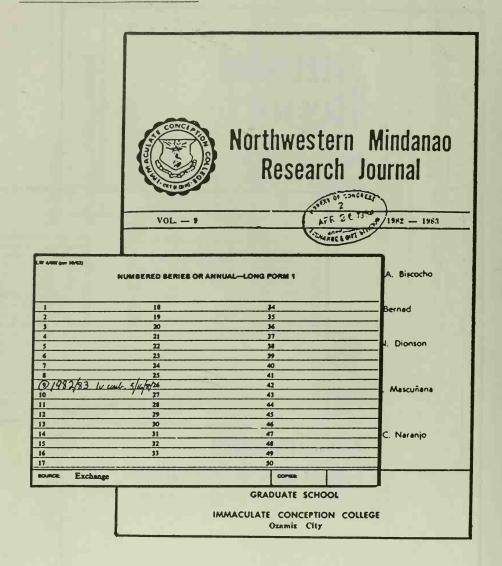
# Annual Report



for the fiscal year ended March 31, 1983

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Department of Government Services



EXAMPLE 99: CHECK-IN BY VOLUME AND DATE: BIENNIAL



84-22



# NOVA SCOTIA

# HOUSE OF ASSEMBLY

# **DEBATES AND PROCEEDINGS**

Speaker: Honourable Arthur Donahoe, Q.C.

Published by Order of the Legislature

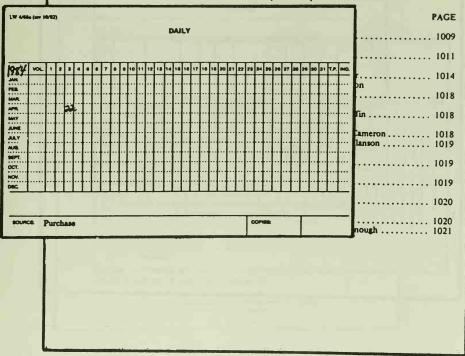
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### Third Session

# TUESDAY, APRIL 3, 1984



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	NAGOYA UNIVERSITY

EXAMPLE 101: CHECK IN BY VOLUME AND MONTH (ISSUES UNNUMBERED): MONTHLY

NEGERI KEDAH  Warta Kerajaan  DITERBITKAN DENGAN KUASA  GOVERNMENT OF KEDAH. GAZETER  PUBLISHED BY AUTHORITY  111. 26  Bil. 18  1 hb September, 1983  AMBAHAN No. 4 PERUNDANGAN  K. P.U. 6.	
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EXAMPLE 102: CHECK IN BY VOLUME NUMBER, ISSUE NUMBER, AND DATE: DAILY

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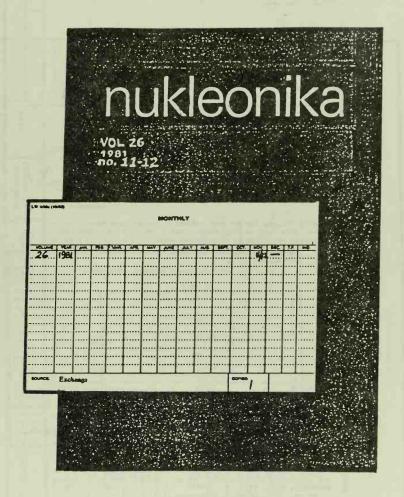
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LW 4464 (16/62) MONTHLY MAY JUME JULY AUG. BEPT. OCT. HOV. DEC. TJ. MO. COPIER BOURCE: Purchase

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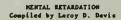
Norm av verdens beste kvinnelige sykkelevttere ventes til Norge under Postgiro Grand Prix 1984, Rittet skal avvikles fra 28. måt til 2. juni tve side 401. Rildet viser i midten Unn Larsen, som ble nr 3 sammenlagt i Postgiro Grand Prix 1983, flankert av Anne Catrine Andersen (tv.) og Liv Foss.



EXAMPLE 104: CHECK-IN BY VOLUME, NUMBER, ISSUE NUMBER AND DATE: BIMONTHLY

### LC Science Tracer Bullet

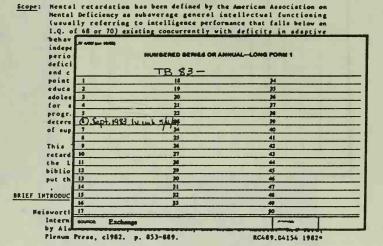
Science Reference Section, Science and Technology Division Library of Congress, 10 First Street, S.E., Washington, D.C. 20540





TB 83-6

September 1983



Stoller, A. Mental subnormality. In Mobson, William, ed. The theory and practice of public health. 3th ed. Oxford, New York, Oxford University Press, 1979. p. 461-471. RA425.M57 1979-Includes bibliographical references.

\*Available in the reference collection, Science Reading Room

EXAMPLE 105: CHECK-IN OF NON-ANALYZED NUMBERED SERIES

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Indicate			Add as last color of call or wheel call or w	
7.6	evel 1401e		Write call number in upper right corner of title page verso If no call number treat as O'DE (See Part 2)	
Indicate routing		Upper right corner of the page server or the page s	Mind/Label is written on upper right corner of cover	Do not write routing on piece; place on abelf to be forwarded to Bind/Label
Indicate entry		Check (V) above entry (V) 2, 3, etc. parts of entry or jurisdiction entry (Supply when necessary) (Serial Division only)	under first letter of entry (supply complete entry when neces- eary) (Do not number parts of entry)	0 0 0 0 0 0 0 0
Indicate copy		Copy number for Copy- right and Furchase Check (V) for ReG, and extra copies DISC for Dis- carda O for Send unchecked	X copy number X toumber X x copies K X X Copies K X X X X X X X X X X X X X X X X X X	Same as above Porvard bound discards and long sets marked biscards to Selection Officer for review
Type of serial			Unbound Bind/Label	Bound series.

What to Search and Record--Special Materials APPENDIX A.4:

	Seri	Serial Record File	ord Fi	le		
Type of material	Se	Search	Rec	Record	Send to	Special instructions
	Yes	No	Yes	ON		
Bilingual serials						
Without bilingual slip		×		×	6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	
With bilingual slip	×		×		Appropriate routing or Search	
College catalogs						
U.S. (current)		×		×	Local to SSRR/EsG; others to EsG via Dup/Discard shelf.	Check special list of local schools; local schools not on the list should be searched and sent to Search if not located
U.S. (pre-1976)	×		×		Routing as indicated on card	Record only if needed to fill a gap and if entry is "Keep."
Poreign	×		×		Appropriate routing or Search	
Comic books		×		×	Chief, Serial Division	
Copyright material marked "x"		×		×	Etc via Dup/Discard	
Directories						
00 es	×		×		Appropriate routing or Search	The state of the s
City/Street	×		×		Appropriate routing or Search	
Criss-cross		×		×	MRR Alc via Collections Management shelf	

		Special instructions									If not found, try to determine whether mono- graph or serial		Generally send unchecked or, if found, discard		Obvious monographs should not be searched; in case of doubt, however, search file.
		Send to			bisold/dnd elv 542	Appropriate routing or Search	Appropriate routing or Search	MRR Alc Via Collections Management shelf	Red via Dup/Discard		Monograph shelves or Search	Law Library	Custodial division or discard	Microform Reading Room via Outgoing microform shelf	Shared Cataloging Divi- alon or Descriptive Cataloging Division monograph shelf
	1e	Record	ON		×			×	×			×		×	×
	ord Fi	Rec	Yes			×	×				×		×		
	Serial Record File	rch	No		×			×	×			×		×	×
PRINCIPAL PRINCI	Seri	Search	Yes			×	×				×		×		
		Type of material		Directories (cont.)	Migh echool/prep school	Sociel registers	Telephone, agency of organizational	telephone, U.S. and foreign	P phene	Loose-lesf materials	Besic volume	Updates, legal	Updates, non-legal	Microforms received from Copyright	Nonographs

APPENDIX A. 4 (continued)

	Special instructions		Search title in serial record and 3 x 5 file; if not found, send to Search		*Accessioners should route these to SEVP for searching and accessioning			
	Send to		Custodial division or Search	Appropriate routing or Search	StvP Unit			EsG (documents); Shared or Descriptive Catalog-ing Division via appropriate monograph shelf Appropriate routing
le	brd	No	×		*		×	
ord Fil	Record	Yes		×				×
Serial Record File	Search	No			*		×	
Seri	Sea	Yes	×	×				×
	Type of material		Neceppers Neceppers	Nevapaper formatted serials	Pornographic serials	Series	Without reject	With reject alip

APPENDIX B: FILING IN THE SERIAL RECORD

### APPENDIX B.1: Filing in the Serial Record

To further enhance our knowledge of the filing order for serial entries, we need to take into consideration several other elements that dictate special filing arrangements.

### Initial articles

Initial articles are ignored in filing when they appear as the first word of the title. (See Appendix B.2 for a complete list of these words). Common examples of initial articles are: a, an, the, la, le les, and l'. \*

### 2. Numerals

Numbers are filed as if spelled—out when the number is an integral part of the serial title.

Example File as

1001 home decorating ideas.

One thousand and one home decorating ideas.

1000 largest U.S. banks.

One thousand largest U.S. banks.

\* Initial articles are no longer included in the visible entry field on the manuscript sheet or OCLC printout.

### Appendix B.1 (continued)

### 3. Compound names

Names beginning with Mac, Mc, M' and Saint and St. are filed as Mac and Saint.

### Entries for filing

McCormick quarterly.

MacCormac Junior College. [Catalog]

MacCormick speciality magazine

Saint Leo College. Catalogue

St. Lawrence University, Canton,

N.Y. Alumni directory.

St. Mary Medical Center. Alcoholism

Treatment Program

Saint Mary College, Leavenworth,

Kansas. [Newsletter]

### File as

MacCormac Junior College. [Catalog]
McCormick quarterly.
MacCormick speciality magazine.
Saint Leo College. Catalogue.
St. Lawrence University, Canton,
N.Y. Alumni directory.
St. Mary Medical Center. Alcoholism Treatment Program.
Saint Mary College, Leavenworth,
Kansas. [Newsletter]

### 4. Compound words.

The following compound words are filed as if one word: hand book, hand-book, year-book, news letter, and newsletter.

NOTE: Words with the prefixes anti-, co-, electro-, ex-, inter-, med-, mid-, non-, pan-, post-, pre-, pro-, trans-, and tri are filed as one word.

### 5. Hyphenated words

In general words separated by a hyphen are filed as two words. The hyphen is ignored in filing. An exception to this rule is the filing of words with prefixes listed in number 4.

### Appendix B.1 (continued)

### 6. Special filing characters

Use the following table when filing words with the following special characters:

Spe	cial character	File as
&	(ampersand)	and
а	(alpha)	ae
\$	(dollar sign)	dollar/s
ક	(percent sign)	percent
Ø	(slash o)	oe
	(umlaut) appears above the	
	following characters	
	Teleki sasaraa	
	a a	ae
	0	oe
	ü	ue
	j j	ij
	(circle above) appears above	
	the following characters	
	å	aa
	ĝ	gg
	ů	uu

NOTE: The foregoing listing is not a comprehensive list of special filing rules used in the serial record file, but is a brief guideline to follow for the most common questions asked when faced with filing entries into the file.

# APPENDIX B.2: Initial Articles

The following alphabetical listing of articles indicates initial articles ignored when filing and searching in the serial record file.

an - English, Portuguenee, Hungarian, Yiddiah hinn - Icelandic and Caelic, Scottish hinns - Icelandic an an - English, Portuguenee, Hungarian hinns - Icelandic an an - English, Yiddish, Gaelic, Scottish hin   Icelandic an	a	English, Portuguese, Hungarian, Yiddish		•	
Geelic, Scottish hinsr declic, Scottish hinsr declic, Scottish Scottish hin bin bin bin bin bin bin bin bin bin b	111		hin		Celandic
Arabic Gaelic, Scottish hinn - Gaelic, Scottish hinn - Gaelic, Scottish hinn - Gaelic, Scottish hin - Gaelic, Scottish hin - Portuguese hin - Portuguese hin - Portuguese hin - German - Norwegian, Swedish, Dutch ke honself, Norwegian, Swedish hit is Danish, Norwegian, Swedish la las Lonish, Norwegian, Swedish la las Louish, Norwegian - German, Yiddish las louish horwegian - Bulgarian las louish horwegian - German, Yiddish las louish horwegian - Bulgarian las louish horwegian - German, Yiddish las louish las las louish las	1-	Gaelic, Scottish	hiner	1	Celandic
Geelic, Scottish hinn -  Gaelic, Scottish hio -  Gaelic, Scottish hio -  Gaelic, Scottish hio -  Fortugese hoise  Fortugese his scottish hio -  Fortugese his scottish his in the scottish hoise his scottish his in the scottish horwegian, Swedish hotch ke hostish, Norwegian, Swedish his last scottish horwegian, Swedish his last scottish horwegian	1	Arabic	hinir	ı	celandie
- English, Yiddish, Gaelic, Scottish hio - Gaelic, Scottish Gaelic, Scottish hoi - Portuguese		Gaelic, Scottish	hinn	1	Icelandic
Portuguese  Hungarian  Danish, Norwegian, Swedish, Dutch  Cerman  Danish, Norwegian, Swedish, Dutch  Cerman, Yiddish  Norwegian  Bulgarian  Bulgarian  Hungarian  Hungarian  Cerman, Yiddish  Norwegian, Yiddish  Norwegian  Bulgarian  Bulgarian  Hungarian  Cerman, Yiddish  Norwegian  Bulgarian  Bulgarian  Bulgarian  Bulgarian  Hungarian  Hungarian  Cerman, Yiddish  Norwegian  Cerman, Yiddish  Hungarian  Hun	1		hio	1	Icelandic
Portuguese  Hungarian  Turkish  German, Norwegian, Swedish, Dutch  Bonish, Norwegian, Swedish, Dutch  German, Yiddish  Cerman, Yiddish  Norwegian  Bulgarian  Bulgarian  Bulgarian  Bulgarian  Bulgarian  Norwegian, Yiddish  Norwegian  Cerman, Norwegian, Yiddish  Bulgarian  Bulgarian  Norwegian  Cerman, Norwegian, Yiddish  Cerman, Norwegian  Spealish  Norwegian  Cerman, Norw	n t		ho	,	ireek, Classical Greek
Hungarian  Gurman  Gurman, Vidish  Danish, Norwegian, Swedish, Dutch  Corman, Viddish  Norwegian  Norwegian  Viddish  Norwegian  Norwegian  Norwegian  Norwegian  Norwegian  Norwegian  Cerman, Viddish  Hungarian  Bulgarian  Bulgarian  Norwegian  Norwegia	1	Portuquese	hoi	'	breek, Classical Greek
Turkish  German, Norwegian, Swedish, Dutch ka  Norwegian  Yiddish, Norwegian, Swedish, Dutch ka  German, Yiddish  Norwegian  Bulgarian  Bulgarian  Norwegian  Norwegi	- 24	Hungarian	4	1	Italian
Cerman, Norwegian, Swedish, Dutch ka - Norwegian, Swedish, Dutch ka - Danish, Norwegian, Swedish, Dutch ka - Danish, Norwegian, Swedish   1	ir -	Turkish	ih.	,	rovencal
Danish, Norwegian, Swedish, Dutch ke banish, Norwegian, Swedish lis las cerman, Yiddish Swedish lis las las yiddish Norwegian, Swedish lis las las yiddish Norwegian lis las las las las las las las las las la	1 80 65	German	11		Provencal, Italian
Norwegian, Swedish Ke - German, Yiddish Swedish Is Danish, Norwegian, Swedish Is Danish, Norwegian, Swedish Is	1	Danish, Norwegian, Swedish, Dutch	ka	-	lavaiian
- Danish, Morvegian, Swedish 1: - Carman, Yiddish Swedish 1as	1	Norwegian	ke	-	lavaiian
- German, Yiddish las - German, Yiddish las - Yiddish, Norwegian lis les contains, Norwegian, Swedish las les contains, Norwegian lis lis las landsatian l	- ue	Danish, Norwegian, Swedish	1.		rench, Italian, Provencal
Danish, Norwegian, Swedish las les carman, Yiddish lis lhi lli lli lli lli lli lli lli lli lli	I Ha	German, Yiddish	14	1	rench, Spanish, Italian, Provencal, Esperanto
riddish Viddish les - Yiddish lis la Worwegian lis lis la Bulgarian lis la Bulgarian lis la Bulgarian lis la Bulgarian lis la la Bulgarian lis la Bulgarian lis la Loutch	et -	Danish, Norwegian, Swedish	las	1	spanish, Provencal
German, Yiddish lhi -  Norwegian Bulgarian los Bulgarian l			Je.		rench, Italian, Provencal
- Yiddish - Yiddish - Yiddish - Hugarian - Bulgarian - Bulgarian - Bulgarian - Dutch - Dutch - Hugarian - Hugarian - Hugarian - Hugarian - Hugarian - Norwegian, Yiddish - Norwegian - Spanish, Norwegian, Swedish - Swedish - Swedish - Swedish - Swedish - Hebrew - Greek, Classical Greek - Greek	ie -		les		rench, Provencal
Norwegian lis - Norwegian lis - Bulgarian lobus Bulgarian lobu	- 80	Yiddish	lhi	1	rovencal
Bulgarian  Bulgarian  Bulgarian  Bulgarian  Bulgarian  Bulgarian  Bulgarian  Bulgarian  Iou  Iou  Iou  Iou  Iou  Iou  Iou  Io	1	Norwegian	1.i		rovencal
Bulgarian los Bulgarian los Bulgarian los Dutch Dutch Horwegian Worwegian, Yiddish na horwegian na horwegian na horwegian na horwegian, Swedish na horwegian, Swedish ta ta ta lan languagian, Swedish ta languagian, Swedish ta languagian, Swedish ta horwegian na hornest na horwegian na horwegian na hornest na horwegian na hornest na horwegian na hornest na horwegian na languagian na hormest na horwegian na horwegia	- uip	Bulgarian	lis	1	rovencal
Bulgarian los  - Bulgarian louch - Dutch - Hungarian mia - Hungarian mia - Hungarian mia - German, Norwegian, Yiddish na - German, Norwegian, Swedish na h - Afabic - Afabic - Danish, Norwegian, Swedish ta - Danish, Norwegian free ta - Gerek, Classical Greek un - Greek classical Greek un - Greek	- qup	Bulgarian	10	1	Spanish, Italian, Provencal
Dutch  Dutch  Hungarian  Norwegian, Yiddish  German, Yiddish  German, Yiddish  Norwegian, Swedish  Spanish, Norwegian, Swedish  Danish, Norwegian  Swedish  Italian  Italian  Hebrew  Gerek, Classical Greek  Gerek	- out	Bulgarian	los	1	Spanish, Provencal
Dutch Norwegian	- ue	Dutch	lou	•	rovencal
Hungarian mia  - Horwegian, Yiddish na har a German, Norwegian, Yiddish na har a Norwegian, Yiddish na har a Spanish, Norwegian, Swedish ta Danish, Norwegian, Swedish ta Lalian lalian lalian hebrew and Hebrew larek, Classical Greek na hebrew larek, Classical Greek	au	Dutch	lu		rovencal
- Norweglan, Yiddish na - German, Norweglan, Yiddish na h German, Yiddish na h Sealish shabic norweglan, Swedish talian - Danish, Norweglan Swedish talian - Italian - Italian - Italian - Hebrew - Greek, Classical Greek nun - Hebrew - Greek classical Greek nun - Hebrew - Greek nun - Greek	١ ١	Hungarian	mia	1	reek
German, Norwegian, Yiddish na h- German, Viddish oo os - Norwegian Swedish cos - Asabic os - Danish, Norwegian Swedish ta Swedish Norwegian the the Swedish Norwegian the the Swedish or Greek Classical Greek un Greek Classical Greek un Greek classical Greek un Gre	1	Norwegian	ď	1	outch Coolin Coottieh
Gerek Arabical Greek Hevest and Union of Coreek Classical Greek Classical Greek Classical Greek Coreek Classical Greek Classical G	1	German, Norwegian, Yiddish	9 1		dawailan, caetic, scoccisii
Norwegyan  Norwegyan  Norwegyan  Norwegian, Swedish  Danish, Norwegian, Swedish  Swedish  Swedish  Italian  Italian  Hebrew  Greek, Classical Greek  Greek  Greek  Oreek  Oreek  Oreek  Oreek  Oreek  Oreek  Oreek  Norwegian  Norwegian  Norwegian  Norwegian  Norwegian  Oreek  Norwegian  Norwegian  Norwegian  Oreek  Oreek  Oreek  Oreek  Oreek  Oreek  Norwegian  Nor	ne -	German, Yiddish	1 8 11		Sortingiese Bomenien
Special Swedish Swedish ta Danish Norwegian, Swedish Canalan the Swedish Norwegian Swedish Casalan Talian T	ا ا	Norwegian	0 0	1	Orthonese, committee
Danish, Norwegian, Swedish ta Danish, Norwegian, Swedish ta Danish, Norwegian to Tealian talian Habrew Greek, Classical Greek un Habrew Greek, Classical Greek un Greek Classical Greek un Danian una Greek Classical Greek una		Spanish		,	outch
Danish, Norwegian the Swedish Norwegian the Swedish Sorwegian to to to tasian train the tasian train the tasian train the tasian train the tasian train		Morrison	, 4	ı	Greek, Classical Greek
Swedish  Italian  Italian  Hebrew  Greek, Classical Greek  Greek  Greek  Greek  Greek  Greek  Ducch		Norwegian	the	ı	Indlish
- Italian - Italian - Hebrew - Greek, Classical Greek - Greek, Classical Greek - Greek	1		t Q	1	Greek, Classical Greek
Italian   Hebrew   Hebrew   Unma   Hebrew   Greek   Classical Greek   Unn   Cleek   Classical Greek   Unn   Cleek   Classical Greek   Unn   Cleek   Classical Greek   Unn   Cleek   Unn   Cleek   Unn   Cleek   Unn   Cleek   Unn   Cleek   Unn   Cleek   Unn   Uns   Cleek   Uns   Uns   Uns   Uns   Uns   Uns   Uns   Uns   Uncoh   Uns   Un	1	Italian	t Q	1	lassical Greek
Hebreev Hebreev Havaian Havaian Hebreev Greek, Classical Greek	1	Italian	En.	1	Portuguese
Greek, Classical Greek un un Havailan un un un un et Greek Classical Greek une con une con Greek une con une con Greek une con une con	1	Hebrew	uma		Portuguese
Heavailan Hebrek Greek, Classical Greek		Greek, Classical Greek	un	1	French, Spanish, Italian, Romanian, Esperanto
Hebrew Greek, Classical Greek une une comparate de compar	1	Hawaiian	, un		Italian
- Greek Classical Greek une - Greek uno - Greek uns - Greek us - Greek us - Greek us - Greek us - Greek y y - Dutch	1	Hebrew	nna	ı	Spanish, Italian, Romanian, Provencal
Greek uns - Greek uns - Greek uns - Dutch yr - Dutch	1		nne	1	French
- Greek uns - Greek y y y - Dutch	heis -	Greek	oun	1	Italian, Provencal
Greek V V I I Dutch	- ua	Greek	nus		rovencel
- Greek y - Dutch	- aus	Greek	sn	1	rovencal
- Dutch	- 884	Greek	^	1	de lah
	-	Dutch	yr	1	delsh

## Serial Record Entries are Filed as Follows APPENDIX B.3:

	iction interfiled alphabatically	Type of Entry		THITTEE BUG LILIE	Initialism	thicialism and title	LUICIGIISS Jurisdiisted	יון	Issuing body. Title		Issuine bode Title			Title	) [-
- Initialism or title preceded by initialism Jurisdiction. Title.	- Issuing bodies and titles beginning with the jurisdiction interfiled alphabetically	Serial Record Entry	WA nevs.	WARM.	W.A.S. newsletter.	WAY.	Washington (State). Monthly fiscal report.	Washington (State). Attorney General's Office.	Washington (State). Dept. of Commerce and Economic	Development. Business and Economic Research Division. Economic review and outlook.	Washington Bible College. Catalog.	Washington blade.	Washington Crop and Livestock Reporting Service. Washington agricultural statistics.	Washington dateline.	Washington state labor news.

APPENDIX C: FOREIGN LANGUAGE SERIAL TERMS

### APPENDIX C.1: Months

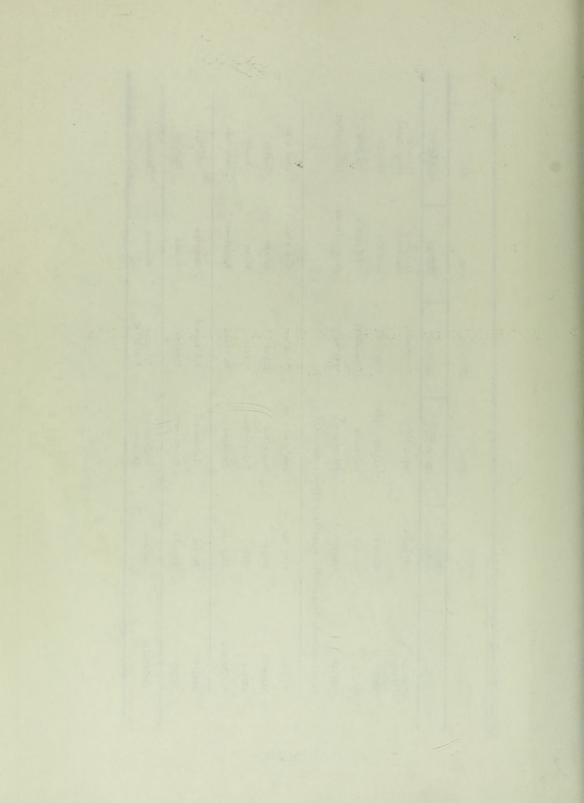
BELORUSSIAN	BULGARIAN	CZECH	DANISH
студв.	ян.	led.	ian.
лют.	февр.	ón.	febr.
Ca.K.	март	břez.	marts
крас.	април	dub.	april
MAR	май	květ.	maj
	Tal.	červ.	juni
чэрв.	DEE	červen.	juli
ліп.	BOJEM	srp.	aug.
жнівень	ABT.		
верас.	OCUT.	září	sept.
кастр.	ORT.	Hj.	okt.
ліст.	HOCM.	list.	nov.
снеж.	дек.	pros.	dec.
DUTCH	ENGLISH	ESTONIAN	FRENCH
jan.	Jan.	jaan.	janv.
feb.	Feb.	vecbr.	ſévт.
maart	Mar.	märts	mars
apr.	Apr.	apr.	avril
mei	May	mai	mai
juni	June	juuni :!	juin :n
juli	July	juuli	jull. août
aug.	Aug.	aug.	sept.
sept.	Sept. Oct.	okt.	oct,
oct. nov.	Nov.	DOY.	nov.
dec.	Dec.	dets.	déc.
occ.	DOM.	0010.	
			INDONESIAN AND
GERMAN	GREEK, MODERN	HUNGARIAN	MALAYSIAN
Jan. (Jän.)	'Iar.	jan.	Jan. (Djan.)
Feb.	Φεβρ.	feb.	Peb.
Milrz	Μάρτ.	márc.	Mrt.
Apr.	'Απρ.	ápr.	Apr.
Mai	Mélos	máj.	Mei (Mai)
Juni	'Ioby.	jun.	Juni (Djuni)
Tuli	Ίούλ.	jul.	Juli (Djuli)
Aug.	Αθγ.	aug.	Ag.
Sept.	Zerr.	szept.	Sept.
Okt.	Ocr.	okt.	Okt.
		nov.	Nop.
Nov.	Notu.	dec.	Des.
Dez.	Δeκ.	ucc.	Da.
TALIAN	7.49904	T A T T A T A T A T A T A T A T A T A T	
	LATIN	LATVIAN	LITHUANIAN
enn.	Ian.	jan.	saus.
ebbr.	Febr.	ſeb.	Vas.
nar. or.	Mart.	marts	kovas bal.
	Apr. Mai,	apr.	
nagg. iugno	Mai. Iun.	maijs junijs	gcg. birž.
uglio	Iul.		
g.	Aug.	julijs aug.	liepa
ett.	Sept.	sept.	rugp.
lt.	Oct.	okt.	rugs. spalis
		VAL	SURIUS
ov.	Nov.	nov.	lapkr.

NORWEGIAN	POLISH		PORTUGUESE	ROMANIAN
jan.	stycz.		jan.	Ian.
febr.	luty		fev.	Feb.
mars	mar.		março	Mar.
april	kwiec.		abril	Apr.
mai	maj		maio	Mai
juni	czerw.		junho	Iunic
juli	lip.		julho	Iulie
aug.	sierp.		agosto	Aug.
sept.	WIZCS.		sct.	Sept.
okt.	paźdz.		out.	Oct.
nov.	listop.		nov.	Noem.
des.	grudz.		dez.	Dec.
RUSSIAN	SERBO-	CROATIAN	stor	/AK
KHB.	јан.	siječ.	l'ad.	jan.
февр.	фебр.	velj.	ún.	ſeb.,
март (мартъ)	март	ożuj.	brez.	mar.
amp.	април	trav.	dub.	apr.
MAH	Maj	svib.	kvet.	máj.
июнь (іюнь)	İVER	lip.	ćerv.	jún
нюль (іюль)	јули	srp.	ćerven.	júl
	••	kol.	srp.	aug.
ABC.	ауг.	ruj.	zíri.	scot.
CEHT.	септ.		ruj.	okt.
OKT.	OKT.	list.		nov.
ноябрь	нов.	stud.	list.	***
цек.	дец.	pros.	pros.	dec.
SLOVENIAN	SPANISH	SWEDISH	UKRAINTAN	WELSH
jan.	enero	jan.	ciч.	Ion.
feb.	feb.	febr.	лют.	Chwe.
mar.	marzo	mars	бер.	Mawr.
apr.	abr.	april	квіт.	Ebr.
maj	mayo	maj	трав.	Mai
iun.	jun.	juni	WeD.	Mch.
iul.	jul.	juli	лип.	Gorf.
avg.	agosto	aug.	серп.	Awst
ecpt.	sept. (set.)	sept.	вер.	Medi
okt.	oct.	okt.	MOBT.	Hyd.
	nov.	nov.	JUCT.	Tach.
nov.		dec.		
doc.	dic.	GCC.	груд.	Rhag.

APPENDIX C.2: Frequencies

APPENDIX C. 2 (continued)

	YEARLY/ YEARBOOK	SEMI ANNUAL.	QUARTERLY	МОЙТИЦХ	WEEKLY
CROATIAN (Serbian)	Codišnjak	Polugodišnji	Tromesecni	Mjesečník (Mesečník)	Tjednik (Nedeljnik)
CZECH	Ročenka	Sestimesičník	čtvrtlník	Mésféník	Týdeník
POLISH	Rocznik	Polrociny	Kvartalník	Mesiecznik	Tygodník
RUSSIAN	Ezhegodnik	Polugodovoj	Kvartel'nyi	Ezhemesfachnyi	Ezhenedelnyi
(Similar Slavic languages: Bulgarian, Slovak, Ukranian	ss: Bulgarian, Slova	k, Ukranian)			
CHINESE	Nien pao	Pan nien k'an	Chi k'an	Yueh k'an	Chou k'an
JAPANESE	Nenpō	Nen nikai	Kiken	Gekkan	Shukan
KOREAN	Yŏnbo	Pallyon'gan	Kyegan	Wólbo	Chugan
VIETNAMESE	Hang nam	Ban-niên	Ba tháng	Nguyet-san	Hang-tuán
ARABIC	Sanaví	Nisf-Sanavi	Rûb'sanavî	Shahriya	Usbūʻiya
HEBREW	Shenati	Had-Shenati	Rive 'on	Hodshi	Shvulon
INDONESIAN	Tahunan	Setengah- Tahunan	Trivulan Kvartalan	Bulanen	Mingguen
THE REAL PROPERTY AND ADDRESS OF THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TWO IS NAMED IN COLUMN TWO IS NAMED IN THE PERSON NAMED IN COLUMN TWO IS NAMED IN THE	the Real Property lies in case of the last		THE PERSON NAMED IN COLUMN TWO IS NOT THE OWNER, THE OW	STREET, SQUARE, SQUARE	



APPENDIX D: CUSTODY OF MATERIALS IN LC's
COLLECTIONS

DCK 310

p. 1

Subject: Custody of Materials in the Library's Collections

### Section 1. Purpose

This Regulation defines the responsibilities of the departments and division of the Library with respect to the custody of the materials in the collections. For the purposes of this Regulation, the collections are defined as those materials which the library collects with the intention of retaining more or less permanently and makes available to the Library's users in the general and specialized public reading rooms.

### Section 2. General Policy

- A. It is the general policy to continue the classic organization of the Library's holdings which is designed to make available, in and through a single classified collection, all material which can be so organized and serviced, separate collections being maintained only when the nature of the material (e.g., motion pictures), the subject (e.g., music), or the language (e.g., Chinese) make the maintanance of a separate collection unavoidable or advisable.
- B. Exceptions to the general policy may be made in regard to specific collections when the Library agrees to a donor's desire to keep an entire collection as a unit regardless of the format of the constituent parts; or when the Library determines, for any other reason, that specified materials are integral parts of a particular collection.
- C. Each division and office in the Library may have assigned to it a working collection of reference materials and ephemera, which materials may or not become part of the Library's permanent holdings.

### Section 3. Definition of Responsibility for Custody

Each department and division that is charged by this Regulation with responsibility for the custody of specific materials in the Library's collections shall maintain, in accordance with standards of care established by the Preservation Office and approved by The Librarian, the physical condition and orderly arrangement of such materials, including, according to current Library policies, the selection and preparation of materials for binding, preservation treatment, and, if necessary, processing. Each unit shall, in likewise manner, maintain and care for reference collections and sphemera assigned to it.

### Section 4. Research Services

### A. Area Studies

### 1. African and Middle Eastern Division

a. Encept for material specifically assigned elsewhere by this Regulation, the African and Middle Eastern Division has oustody of books, novepapers, serials, microforms, fare books, and manuscripts in the languages,

> (Supercedes December 1, 1960, issuance (former General Order 1730) of LCRs 510, 511-2, 511-3, 511-4, 511-5, and 514)

Office of The Librarian

LCR 510 p. 2

regardless of the scripts used, within the areas of responsibility of its Nebraic and Near East Sections, as defined in LCR 214-4, <u>Functions and Organization of the African and Middle Eastern Division</u>, <u>Research Services</u>. These languages include Amharic, Arabic, Aramaic, Armenian, Hebrew, Persian, Turkish, Yiddish, and others that are indigenous to the western Asian and northern African regions within the Division's scope.

- b. The Division also has custody of publications employing both a European language and one of the languages within the scope of its Mebraic and Mear East Sections under the following circumstances:
  - (1) The publication is predominantly in one of the lanquages within the scope of the Hebraic or Hear East Sections, regardless of the language of the title page(s);
  - (2) The publication is primarily intended, as indicated by front matter, etc., for persons whose first language is one of those within the scope of the Hebraic or Hear East Sections, regardless of the language of the title page(s) or the proportion of the text in a European language (e.g., instructional material, bilingual dictionaries); or
  - (3) The publication is a bilingual serial, one of the languages of which is within the scope of the Hebraic or Hear East Section.

### 2. Asian Division

- a. Except for material specifically assigned elsewhere by this Regulation, the Asian Division has custody of books, newspapers, serials, microforms, rare books, and manuscripts in Asian languages, regardless of the scripts used, within the areas of the Division's responsibilities as defined in LCR 214-6, <u>Functions and Responsibilities of the Asian Division, Research Services.</u> These languages include Bengali, Chinese, Kindi, Indonesian, Japanese, Khmer, Korean, Leo, Helay, Hongol, Sanskrit, Sinhalese, Tagalog, Tamil, Thai, Tibetan, Urdu, Vietnamses, and others that are indigenous to Asian countries within the scope of the Division.
- b. The Division also has custody of publications employing both a European language and one of the languages within the ecope of the Division under the following circumstances:
  - The publication is predominantly in one of the lanquages within, the scope of the Division, regardless of the language on the title page(s);
  - (2) The publication is primarily intended, as indicated by its front matter, etc., for persons whose first language is one within the scope of the Division, regardless of the language of the title page(s) or the proportion of the text in a European language (e.g., instructional material, bilingual dictionaries); or

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(3) The publication is a bilingual serial, one of the languages of which is within the scope of the Division.

### J. European Division

The European Division has custody of unbound serials and newspapers (with the exception of those assigned by this Regulation to the Law Library) in any of the Slavic languages and in Estonian, Latvian, and Lithuanian. These include unbound official serial publications issued by the governments of Bulgaria, Czechoslovakia, Estonia, Latvia, Lithuania, Poland, the U.S.S.R., and Yugoslavia and published in the Slavic, Baltic, and western languages. It also has custody of the following collections until they are cataloged the remainder of the Yudin Collection, the Priority 4 Cyrillic Collection, and the collections of uncataloged Russian pamphlets and bound serials.

### B. General Reference

### 1. Collections Management Division

The Collections Management Division has responsibility for the custody of the general book collections of the Library, except for those materials specifically assigned by this Regulation to another unit of the Library. The Division also has custody of the bound city directories, telephone directories, trade catalogs, and social registers; and the collections classified YA, 2(b), Priority 4 (except for the music, law, rare book, Asian, Cyrillic, and African and Middle Eastern portions), and the books which have been given Minimum Level Cataloging.

### 2. General Reading Rooms Division

The General Reading Rooms Division, through its Microform Reading Room Section, has custody of all microforms for which the hard copy would be assigned to the custody of the Collections Management Division or the Rare Book and Special Collections Division.

### 3. Science and Technology Division

The Science and Technology Division has custody of the unprocessed collection of technical report literature, including reports in microform, as well as the standards of the American National Standards Institute and other organizations, as assigned.

### 4. Serial and Government Publications Division

The Serial and Government Publications Division has custody of unbound periodicals, unbound government publications, and other serial publications; books in parts and parts of monographic series being held for blading; and restricted-use government publications, except for materials assigned by this Regulation to another unit of the Library. It also has custody of bound and unbound neverpapers and neverpapers in microform, except those assigned by this Regulation to the Asian, African and Riddle Eastern, and European Divisions, and the Law Library, and sample issues of serials not selected for the collections. The Division also has custody of the depository set of U.S. Government documents and the Federal Advisory archival collections.

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### C. Special Collections

### 1. Geography and Map Division

The Geography and Map Division has custody of maps; nautical, astronautical, and aeronautical charts; globes; photographs with map symbols; panoramic views of a cartographic nature; three-dimensional maps (models); geographic atlasss; cartographic information in machine-readable form; aerial photographs and other forms of remote sensing imagery of the surface of the earth or other planetary bodies taken from airborne or spaceborne vehicles for the purpose of mapping cultural and physical features of the landscape; and other cartographic items, including manuscripts, microforms, and rare copies of such materials, irrespective of language.

### 2. Manuscript Division

The Hansecript Division has custody of all manuscripts (including manuscripts in books) not specifically assigned to the custody of other divisions, and transcripts and photographic reproductions of manuscripts, the original of which would be or have been assigned to the Hanuscript Division. The Division also has custody of non-manuscript materials received with manuscripts inseparable from them either because of donor restrictions, because they explain the text of manuscripts they accompany, or because for other reasons they are an integral part of the collection. In addition, the Division has custody of the Library of Congress archives of historical records, with the active administrative records maintained by the Central Services Division.

### 3. Motion Picture, Broadcasting and Recorded Sound Division

The Notion Picture, Broadcasting and Recorded Sound Division has custody of all motion pictures (including paper prints and photographic stills from motion pictures), videotapes, and eound recordings (except for talking books for the blind and physically handicapped), as well as non-pictorial materials closely related to these collections, such as scripts, brochures, catalogs, advertising materials, etc. Beference tapes of eound recordings may be assigned to the Mispanic Division, American Polklife Center, and other units responsible for providing reference service on portions of the recordings collection. The Division also has custody of all books in all classifications and languages which include sound recordings.

### 4. Music Division

The Music Division has custody of that portion of the book collections, with the exception of those materials in the languages in the scope of the Asian and African and Middle Eastern Divisions, that is classed in N (music and books on music). Included in the Division's custody are rare materials, microforms, bound and unbound serials, libretti, and prints and photographs which are or would be classed in N. The Division also has custody of individual manuscripts, manuscript collections, and other special collections of musicians or related to music activities.

June 12, 1961

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### 5. Prints and Photographe Division

The Prints and Photographe Division has custody of the following categories of matarials, ragardiaes of rarity or language of captions or accompanying taxt: Prints produced by a mechanical or manual process in any medium; wood blocks, lithographic stones and metal plates for prints; drawings; paintings; postars; photographic prints (akcept motion pictures and still photographs from motion pictures); photographic negatives and orginal photographic transparencies; broadsides of pictorial and calligraphic interest; and books illustrated with original prints, drawing or photographic prints or exceptional historic and srtistic interest. Certain pictorial materials relating to music and directly associated with the Music Division collections may be assigned to the Music Division; pictorial and calligraphic works that are integral parts of the Asian and African and Middle Eastern language collections are assigned to the Asian and African and Niddle Eastern Divisions, respectively; and pictorial works that cannot be separated from manuscript collections are assigned to the Manuscript Division.

### 6. Rara Book and Special Collections Division

The Rare Book and Special Collactions Division has custody of materials of the following kinds, when not specifically assigned to another unit of the Library:

- a. Books (including books in manuscript) and non-book matsrials considered rare because of their unusual monetary value, association interest, and/or historical or aesthetic significance or possesseing characteristics that suggest they will become rare with the passage of time.
- b. Books and non-book material having text, illustrations, and/or format which make them unusually susceptible to theft or mutilation.
- c. Books and non-book materials forming parts of special collections assigned to the custody of the Division, developed by the Division in consultation with the Collections Development Office and the Office of the Assistant Librarian for Research Services, or, acquired an bloc by the Library upon the recommendation of the Division.

### Section 5. Law Library

The Law Library has custody of that portion of the book collections, regardless of language, classed in J1-9 (official gazettes), JK (international law, except for JK1305-1999), K (law), and "Law," including rare materials. Microforms, bound and unbound serials, -books in manuscript, and manuscripts of books which are or would be classed as above are also included in the law collections, axcept for materials assigned elsewhere by the Regulation.

### Section 6. Photoduplication Service

The Photoduplication Service has custody of all master negative microforms, Publication Board Reports transferred from the Office of Technical Services, Department of Commerce, through Ney 31, 1961, and other miscellaneous report collections.

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APPENDIX E: TRANSLITERATION TABLES

### APPENDIX E.1: Transliteration Tables (Cyrillic)

Ressing	Utrainian 1	White Russian	Bulgarian	Borblan P
A	Ass	Aaa	Asa	A
B 6 6	B 6 6	B 6 6	B 6 6	D 6 4
B	B	Вве	Вве	B .
Гге	IT T A	FFA	Гге	IT F .
	rra	TEO		
Дд в	Пив	Пя в	Дде	17 - 1
	12.	2.5		D b d
E	E c	E .	E .	E
	8 . 9			2
E 4 4	-	E & G'		
X x al	* 2.		All as al	X = 1
A	3	/D. 76 /A	3	<b>高泉</b>

TRANSLIT	ERATION_	Slavic. (Card	2)	
Panden	1 Obrahasa 1	White Residen	( Delearies	( Berbins F
77 - 7			Hai	H = i
Hai	Hay		11	
I it t	Ili	I i i		I— I
	TTT			
Rac	Bat	Rat	Bac	I
	4 .			1
				1 2 3 3 - 1
K a k	KKk	Kĸk	Kĸk	KĸŁ
пя І	II a l	Bal:	Пя І	II a !
				B & 6
	1		1.4	
M m m	Ми м	Мип	Ми ж	M m m
H H H	HHH	Ния	Ния	H m n
	l			ES as aj
0.0	0	0	0 . 4	0 .
	0	0 0 0		
Ппр	Ппр	Ппр	Ппр	II = /
(Continued on past card)				
L of C Oak rule	(Gappt.)	pr464110y	Bule 16	Lov. Jan. 12, 1946

P P C c	7	Pp	Ppr
. C .			
		Ce	. C .
( TT	1 1	TT	TTI
	· .		B b d
3/ -		V	
		, ,	
1 0 4	1		1 0 6 5
M X x	EA 2	Cx /	M X X A
to II II	4 1	In 6	b P P de
PPL	4 1		L P L
			D = 44
A III =	A I	_	A HE /
		II me a	
	" y y y y y y y y y y y y y y y X x	u	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$

### APPENDIX E.l: Transliteration table (Hebrew and Yiddish)

The following romanization table attempts to represent the sound of the Hebrew or Yiddish word; for this the Hebrew follows the modern Israeli pronunciation and the Yiddish follows the Lithuanian Yiddish pronunciation. In romanizing Yiddish the etymology of the words is ignored.

```
initial and final disregard-
N
              ed; otherwise '
                                              D (final, D) m
2
             b
                                              3 (final, ;)
2
             v (in Yiddish, b)
                                             y
             g
٦
             d
п
                                             Đ
                                                 (final, 7)
             h
                                             Z
                                                 (final. 1')
                                                            ts
                                             P
**
1
             z.
                                                            r
П
0
             y (at beginning of word or
                                             A
                syllable; otherwise, i)
                                                            t (in Yiddish, 3)
= (final, 7) kh
```

Vowels in Hel		Vowe	ls used in Yiddish
•	a	8	a or o
	a e	18 .:	u
1	e o	וו, אוו	oy
٩	u	י. אי	i
	u i	y	e·
:	i e	יי. איי	ay, if pronounced ai as in aisle, or ey, if pronounced ei as in weigh

A single prime (\*) is placed between two letters representing two distinct consonantal sounds when the combination might otherwise be read as a digraph.

his hid

APPENDIX F: SHARED CATALOGING COUNTRIES

### APPENDIX F: Shared Cataloging Countries

### LIBRARY OF CONGRESS PROCESSING SERVICES

Department Memorandum No. 110 (Addendum)

Revised September 1984

THIS ADDENDUM SUPERSEDES ALL EARLIER ADDENDA TO DEPARTMENT MEMORANDUM

Monographic publications from the following countries and in the following languages or language groups are the responsibility of the Shared Cataloging Division:

### A. Former NPAC countries and dates of coverage

### FORMER NPAC COUNTRIES, 1979-

Andorra Hungary Austria Iceland Romania Italy San Marino Belgium Bulgaria Japan Spain Czechoslovakia Liechtenstein Sweden Luxembourg Switzerland Denmark Finland Malta USSR Vatican City France Monaco German Democratic Republic Netherlands Yugoslavia Federal Republic of Germany Norway

### B. Former NPAC Countries (regardless of date of imprint)

Australia Canada Great Britain Ireland New Zealand South Africa

### C. Languages and language groups (regardless of country or date of imprint)

 Afrikaans
 Dutch
 Italian

 Albanian
 Finnish
 Japanese

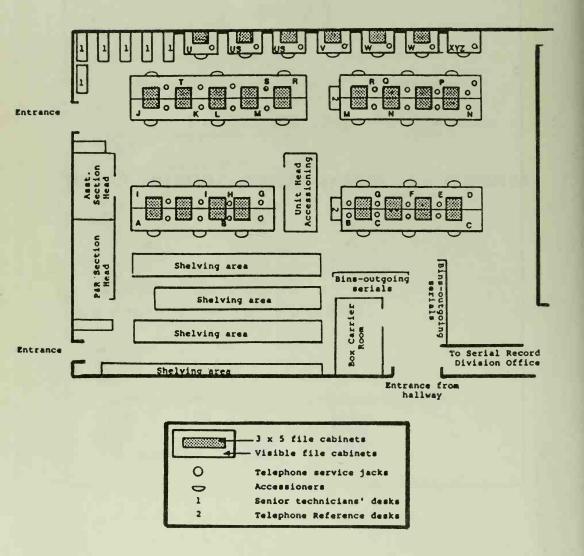
 Balto-Slavic
 Georgian
 Romanian

 Basque
 German
 Scandinavian

 Cyrillic alphabet
 Hungarian
 Slavic

APPENDIX G: SERIAL RECORD DIVISION LAYOUT

APPENDIX G.l: Serial Record File



### APPENDIX G.2: Location of Shelving Units by Number and Name

1.	Accessioning supplies	26.	OVIPS - New
2.	Same	27.	OVIPS - Old
3.	Same	28.	Vacant
4.	Bind/Label - Bound volumes	29.	MCL
5.	Same	30.	NRC
			and a second second
6.	Accessioning Unit1/	31.	Card Out - English
7.	Editorial and Reference Unit1/	32.	Card Out - Foreign
8.	Search and Visible File1/ Cataloging Unit	33.	Serial Problems
9.	Bind/Label - Unbound	34.	MRR/GRR
10.	Same	35.	SSRR/LH&G
11.	Accessioning Unit1/	36.	Xerox supplies
12.	Editorial and Reference Unit1/	37.	Same
13.	Search and Visible File1/ Cataloging Unit		
14.	WMLC to Bind/Label	38.	Ann Gardner
15.	Same	39.	Same
		40.	Same
16.	Recently cataloged2/	41.	Same
17.	Same	42.	Same
18.	Same	43.	SRD office supplies
19.	Cat Ref	44.	Same
20.	CRS	45.	Same
		46.	Vacant
21.	Title change - English	47.	Vacant
22.	Title change - Foreign		
23.	Microform	48.	SRD office supplies
24.	Eur RR - English titles	49.	Same
25.	FRD	50.	Same
		51.	Vacant
		52.	Vacant

Material returned from the Cataloging Section placed on these shelves.
 Material for processing by Telephone Reference Service.

### Carrier Room

- 53. Afr/ME
- 54. Same
- 55. Col Mgmt Telephone Directories
- 56. Music Division
- 57. Asian Division
- 58. Same

- 59. Chief, Serial Division
- 60. Copyright Office
- 61. Euro RR Foreign titles
- 62. Same
- 63. Sci/CRREL
- 64. P&P Division

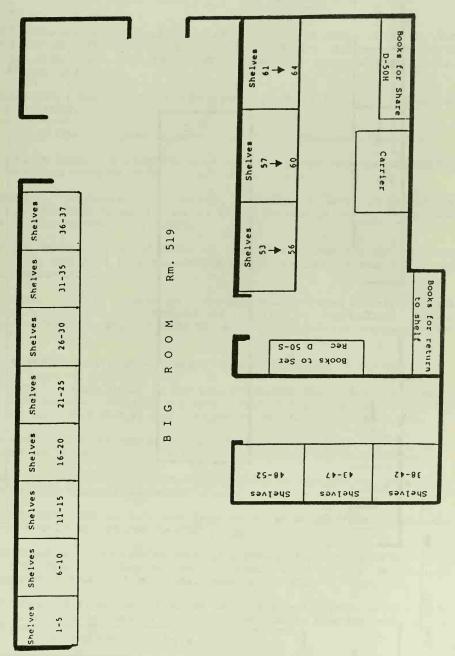
### Sorting Unit

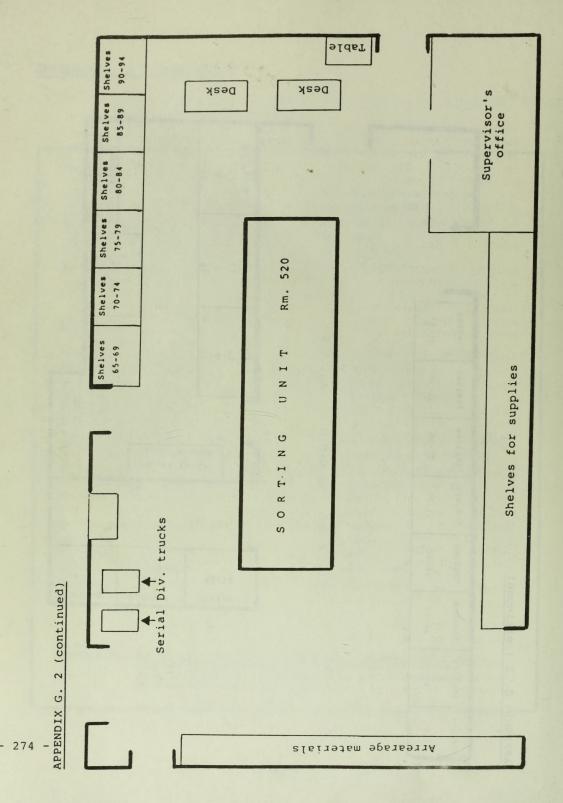
- 65. Law Incoming
- 66. Same
- 67. Same
- 68. Law Outgoing
- 69. Same
- 70. Purchase 6 Order slips
- 71. Purchase 6 Extra copies
- 72. Same
- 73. Law Outgoing
- 74. Same
- 75. Disc/Dup Bound volumes
- 76. Same
- 77. Same
- 78. Disc/Dup Unbound
- 79. Same

- 80. Disc/Dup U.S. Monographs
- 81. Same
- 82. Vacant
- 83. Disc/Dup Unbound
- 84. Same
- 85. Bilingual Serials Incoming
- 86. Sample Serials Incoming
- 87. E&G NAL/Weather Bureau
- 88. Monographs Desc Cat
- 89. Same
- 90. Vacant
- 91. Vacant
- 92. Vacant
- 93. Share NPAC
- 94. Share NPAC

PLEASE NOTE: Any material to be forwarded to a Division not cited in this list should be placed in the Miscellaneous material bin which is located in the Sorting Unit. This material will be mailed out by Sorting Unit personnel.

APPENDIX G. 2 (continued)





## GLOSSARY

AACR (ANGLO-AMERICAN CATALOGING RULES). A code of rules used for the cataloging of various types of materials, including serials. The first edition, AACR 1, was used from 1967 till 1980. The second edition, AACR2, was adopted in 1981.

ACCESSIONING. The process of adding or checking-in serial issues to the library's files.

ANALYZED IN FULL. A series in which each title is cataloged separately.

ANALYZED IN PART. A series in which some titles are cataloged separately and others are recorded in the serial record.

ARREARAGE. Uncataloged publications, including a large collection of serials, initially received prior to 1971. Serials in the arrearage have been recorded in the serial record.

BILINGUAL SERIAL. A serial which has text in more than one language, and one of the languages is a non-roman alphabet language not handled by the Serial Record Division.

BIND/LABEL. 1) Type of material. Serials usually issued annually or less frequently which are to be shelved individually. 2) Destination. Refers to the Bindery and Labeling areas where Bind/Label serials are sent before shelving.

CAPTION TITLE. A title which appears above the beginning of the text.

CARD OUT. A card placed in the file to replace the serial record entry card when removed. The card out should contain the essential information from the entry card such as entry, retention decision, call number, etc.

CATALOGING. The description of a publication as to its bibliographic features and subject content. Also the establishment of filing entries.

CODEN. A 6-digit code (usually letters) found in some serials. The CODEN is assigned by Chemical Abstracts.

COLLECTED SET. One or more sets in a series which are classed under the same call number and shelved together.

CONSER PROJECT. A cooperative cataloging project for conversion of serial cataloging records to machine-readable format, input of new records, and maintenance of a national data base, currently on OCLC.

COVER TITLE. A title taken from the cover of a serial which lacks a title page. Also, a title found on the cover which differs from the title on the title page.

CROSS REFERENCE. A referral from one form of entry to another form in a file.

CURRENT ISSUES ONLY. A retention decision which applies primarily to periodicals but may also be used for annuals which are of current use only. In the case of periodicals "current" is defined as the current year minus one, or the last two years. Periodicals with this decision are not bound; older issues are discarded when no longer current.

CUMULATIVE INDEX. An index covering more than one year or one volume.

CUSTODIAL DIVISION, CUSTODIAN, CUSTODY. Terms which describe the division, etc. responsible for housing and servicing a serial. This may be a temporary or permanent responsibility.

DUPLICATES. Additional copies of Bind/Label serials which are not needed to fill sets or X-copy assignments. Duplicates are sent to Exchange and Gift Division for exchange or disposal.

ENTRY. The word or phrase by which a title is filed in the serial record.

EPHEMERA. Materials of little or no value to the library which are normally discarded upon receipt.

EXTRA COPY. An additional copy of a current periodical; all extra copies are checked and sent to the custodial division. Once a volume has been bound extra copies are discarded.

FINDER. A card in the serial record for non-serial material frequently received in SRD providing instructions for its disposition.

ISSN (INTERNATIONAL STANDARD SERIAL NUMBER). An 8-digit number assigned to many serials which can usually be found on the titlepage, cover, or editorial page. It is also added to the serial record entry card when available and serves as a means of identification.

 $\tt JURISDICTION.$  A place used as the first filing word(s) in the entry. Jurisdiction may be a city, county, state, country or other geographical designation.

KEEP MATERIAL. Refers to titles for which one or more sets will be retained in the permanent collection; any unbound issues will be bound once the volume is complete.

LOOSE-LEAF PUBLICATION. One which is intended to be updated by revisions or change sheets; loose-leaf publications are usually issued with perforations for placement in a binder.

MAIN SERIES. A series containing individual titles which are themselves series or serial titles.

MARC RECORD (MACHINE-READABLE CATALOGING RECORD). A cataloging record which appears on an online data base (OCLC, MUMS). All MARC records are tagged and coded according to the appropriate MARC format for the type of material (i.e., serials, books, etc.)

MASTHEAD TITLE. A title appearing above the table of contents or editorial page.

MINIMAL LEVEL CATALOGING. Cataloging performed on low priority keep materials. Titles cataloged as MLC are not given subject headings or classification numbers. Instead, a shelf number prefaced by "WMLC" is used and is found on the entry card in the call number box. MLC titles are not bound; they are sent for labling and are housed in the custodial divisions.

MONOGRAPH. A nonserial item or an item either complete in one part or complete, or intended to be completed, in a finite number of separate parts.

MUMS. The Library of Congress' cataloging data base. Serial records are input first into OCLC through the CONSER Project, then loaded by tape into MUMS.

NEWSPAPER. A serial publication which is mainly designed to be a primary source of written information on current events connected with public affairs, either local, national and/or international in scope. It primarily contains a broad range of news, and is not limited to any specific subject matter. A newspaper is usually printed on newsprint, and is issued daily or at other short intervals.

NEWSPAPER FILE. A 3x5 file of newspaper titles located above the D section of the serial record.

NON-KEEP MATERIAL. Serials which are not bound and/or retained for the permanent collection. These include serials with retention decisions of Current issues only, Discard, Send unchecked to ..., and Review before bind. (The latter may become 'Keep material' after it has been reviewed by the Selection Officer).

NOT ANALYZED. A series in which none of the individual titles in the series are cataloged.

OCLC. A national data base which contains a large file of cataloging records, including the serial records input through the CONSER Project.

OFFICIAL PUBLICATION. A government publication. this is an old term that will be found at the bottom of older serial record entry cards.

OVIP (OTHER VOLUMES IN PROCESS). A Bind/Label serial for which there is no call number on the entry card.

**PERIODICAL.** A serial, usually issued more frequently than annual, for which multiple issues must be bound together before shelving.

PURCHASE ORDER. A temporary card or slip placed in the serial record to indicate that a title has been ordered by the Order Division.

RETENTION DECISION. The decision whether to keep a serial title for the Library's permanent holdings, retain the current issues only, or discard. The retention decision is stamped on the entry card.

REVIEW BEFORE BIND. Before the custodial area can bind a serial with this decision, the issues must be resubmitted to the Selection Officer who will decide whether they should be kept and bound or discarded.

SERIAL. A publication issued in successive parts bearing numerical or date designations and intended to be continued indefinitely. Serials include periodicals, newspapers, annuals (reports, yearbooks, etc.), the journals, memoirs, proceedings, transactions, etc. of societies, and numbered monographic series.

SERIAL RECORD. The chief file listing the holdings of serials in the Library of Congress. It consists of three separate files; the Visible file, 3x5 filed, and sheet shelflist.

SERIES. A group of publications which bear a collective title as well as an individual title for each piece. Series may be numbered or unnumbered.

SERIES TITLE PAGE. A page preceding or following the title page bearing the series title and other information pertinent to the series.

SHEET SHELFLIST. A book listing which is the oldest part of the serial record. Accessioning is no longer done in the sheet shelflist.

SPECIAL FORMAT MATERIALS. Serials which contain parts issued in a special format other than print. For example, phonodiscs, cassettes, floppy disks, etc.

SPINE TITLE. A title appearing on the spine of the serial.

SUBSERIES. A series within a series, or a serial title within a series.

3x5 (THREE BY FIVE) FILE. A part of the serial record consisting of 3x5 cards in drawers, located above the Visible file. The 3x5 mainly contains entries for annual serials, many of which are no longer received.

TITLE. A word or phrase normally appearing on the publication which names the serial.

TITLE PAGE. A page, usually found at the beginning of an item, bearing the title and often publishing information. Generally, the first page of text is not considered a title page, nor is the editorial page. Many periodicals do not bear a separate title page and for these the cover serves as a title page substitute.

TREATMENT CARD. A hard card which bears series treatment information on the verso.

VISIBLE FILE. The largest part of the serial record, consisting of 4x6 "hard cards in trays. Most currently received titles are found in the Visible file.

X-COPY. Additional copies of Bind/Label serials which are used for reference purposes. All X-copies will have special assignments to a particular office, division, or other location.

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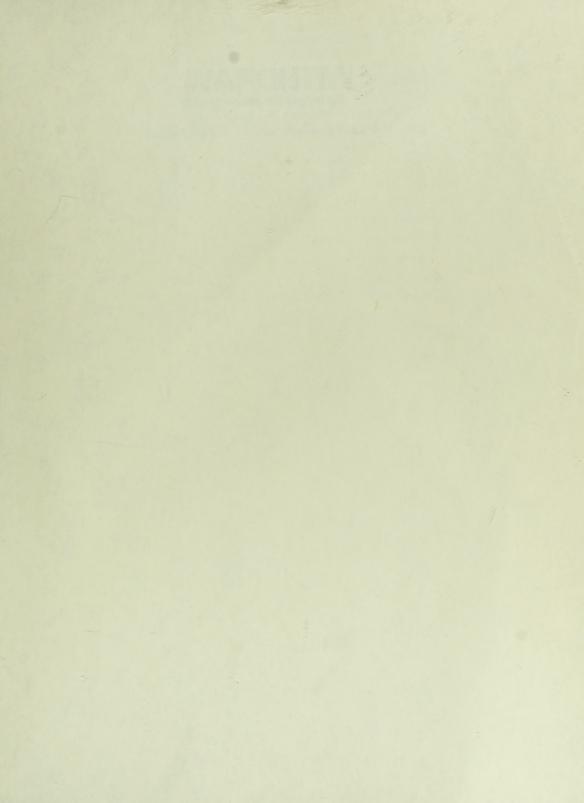
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